



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**NOTICE OF HEARING**

**(Section 134 (1) of the Securities Industry Act, 1995)**

**TAKE NOTICE** that on **Friday, November 20, 2009, at 10:00 a.m.** in the First Floor Conference Room of the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) located at Nos. 57-59 Dundonald Street, Port of Spain, the Commission will hold a Hearing pursuant to section 134 (1) of the Securities Industry Act, 1995 (“the Act”);

**AND TAKE FURTHER NOTICE** that upon the application of the Trinidad and Tobago Stock Exchange Limited (“the Stock Exchange”), a self-regulatory organization under section 34 of the Act, the Commission will adjudicate on the proposed rules under Section 40 of the Act– Rule 405 (1) (Price Stabilization) of the Stock Exchange Rules and the accompanying Horizon Trading Rule 12.8 (Freeze Alerts and Order Rejects) contained in the Statement of Substance and Purpose dated August 12<sup>th</sup> 2009 and published in the following newspapers in keeping with section 40 of the Act:

- Trinidad Guardian- Friday 14<sup>th</sup> August 2009
- Trinidad Express- Friday 14<sup>th</sup> August 2009
- Newsday- Friday 14<sup>th</sup> August 2009

**AND TAKE FURTHER NOTICE** that the Statement of Substance and Purpose and the proposed rules pursuant to the application by the Stock Exchange can be found on the Commission’s website at <http://www.ttsec.org.tt/publications/pub090225.pdf>.

**AND TAKE FURTHER NOTICE** that any person entitled to Notice of this Hearing and/or wishing to be heard at the Hearing must attend or be represented by counsel or agent;

**AND TAKE FURTHER NOTICE** that upon failure of any person to attend at the time and place aforesaid, the Hearing may proceed in the absence of that person and such person is not entitled to any further notice of the Hearing.

Please contact Mrs. Arlene Stephen, Manager- Communications at 624-2991 ext 1275 or [arlenes@ttsec.org.tt](mailto:arlenes@ttsec.org.tt) to indicate whether you will be attending or the name(s) of your representative(s) or if you require a copy of the said Statement of Substance and Purpose.

**Dated this 8<sup>th</sup> day of October, 2009**

**Charles de Silva  
(Ag.) General Manager  
Trinidad and Tobago Securities and Exchange Commission**