



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

PUBLIC NOTICE

Persons and Entities Not Registered with TTSEC

The Trinidad and Tobago Securities and Exchange Commission (“**TTSEC**”) is the regulator for the securities industry in Trinidad and Tobago.

As part of its mandate to educate the public on the securities industry, we issue this Public Notice (“**Notice**”) to provide investors with essential information for identifying whether an entity or individual which they are considering investing in might be unregistered.

The TTSEC wishes to bring to the attention of the general public that the following list of persons and entities are **NOT** registered with the TTSEC.

- **Stable Rowdex**
- **RecSmarTT Ltd**
- **Rhonda Regis**
- **Cavelle Regis**
- **Christine Commissiong**
- **Financial Enrollment Investment Platform**
- **Anthony Pachoon**
- **Nuno Moura**
- **Sattie Shyanne**
- **Alpha Capital TT**
- **Kadean Anita**
- **Trinidad and Tobago Investment Company Ltd**
- **Martha James**
- **Trinidad Investment Limited**
- **Trinidad Investment Company**

By law, the above-mentioned persons and entities are not authorised to engage in securities and investment-related activities in Trinidad and Tobago, inclusive of offering securities and investment products for sale; acting as a broker-dealer to facilitate transactions related to securities or investments; and providing investment advice.

Members of the public are strongly advised to always conduct due diligence on any unsolicited communications or advertisements in relation to any investment products or opportunities being offered to ensure that such offerings as well as their promoters are registered with the TTSEC.

A list of businesses and individuals currently registered under the Securities Act to undertake investment business in Trinidad and Tobago can be found at <https://www.ttsec.org.tt/wp-content/uploads/Registrant-Listing-as-at-31-March-2025.pdf>. For further information please contact us at 1-868-624-2991 or email us at ttsec@ttsec.org.tt

Dated: August 18, 2025.

The inclusion of an individual or entity in this Notice does not indicate that the TTSEC has determined that the Securities Act has been contravened.

Issued for the guidance of the general public by the
Trinidad and Tobago Securities and Exchange Commission