



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

IN THE MATTER OF SECTION 40 OF THE SECURITIES ACT, 2012

AND

THE PROPOSED AMENDMENT TO RULE 400 (2)(i)(c) – [SPECIAL MARKET LISTING REQUIREMENTS IN RESPECT OF THE SMALL AND MEDIUM ENTERPRISE MARKET] OF THE TRINIDAD AND TOBAGO STOCK EXCHANGE LIMITED RULES

NOTICE

TAKE NOTICE that on the 22nd day of July 2025, the Trinidad and Tobago Stock Exchange Limited (“the TTSE”), a duly registered Self-Regulatory Organisation under section 36 of the Securities Act, 2012 (“the Act”), filed with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) a concise Statement of Substance and Purpose in respect of **the proposed amendment to Rule 400 (2)(i)(c) – [Special Market Listing Requirements in respect of the Small and Medium Enterprise Market] of the TTSE Rules** in accordance with section 40 of the Act.

The complete Statement of Substance and Purpose can be accessed via the Commission’s website at the following link: <https://www.ttsec.org.tt/statement-of-substance-and-purpose-proposed-amendment-to-ttse-rule-400-2ic/>

Hard copies can also be obtained from the offices of the Commission and the TTSE at the following addresses:

Trinidad and Tobago Securities and Exchange Commission
Levels 22-23, Tower D
International Waterfront Centre
1 Wrightson Road, Port of Spain

Or

Trinidad and Tobago Stock Exchange Limited
10th Floor, Nicholas Tower
63-65 Independence Square
Port of Spain

AND FURTHER TAKE NOTICE that the Commission is inviting any interested persons to submit written comments on the proposed amendment no later than **December 31, 2025**. Comments may be submitted by letter addressed to the Director Market Regulation and Surveillance, Trinidad and Tobago Securities and Exchange Commission, Levels 22-23, Tower D, International Waterfront Centre, 1 Wrightson Road, Port of Spain OR by electronic mail at rule400amendment@ttsec.org.tt

Dated this 27th day of November 2025.

KESTER GUY
CHIEF EXECUTIVE OFFICER