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December 29, 2025

**CIRCULAR LETTER ISSUED TO:**

**BROKER-DEALERS, INVESTMENT ADVISERS AND UNDERWRITERS  
REGISTERED UNDER THE SECURITIES ACT, CHAP 83:02 OF THE LAWS OF THE  
REPUBLIC OF TRINIDAD AND TOBAGO**

**Trinidad and Tobago Securities and Exchange Commission Prudential Submission  
Platform**

As the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) continues to strengthen its macroprudential oversight and its capabilities to provide stakeholders with timely and accurate data on the securities market of Trinidad and Tobago. We are pleased to announce the deployment of our new online Prudential Submission Platform.

This platform has been designed to streamline the collection, validation, consolidation, and storage of all Micro and Macro Prudential Reporting Framework (“MMRF”) data. It will also modernize and automate the current manual MMRF process, supporting greater operational efficiency and enhanced data-driven decision-making.

Key features of the new solution include:

- Real-time data accuracy and completeness checks at the point of entry.
- An embedded online validation process with immediate error notifications.
- An enhanced user experience through real-time feedback, automated reminders, and instant alerts for submission anomalies.

Once implemented, future phases of the platform will include capabilities for stakeholders to auto-generate visual reports and indicators for improved analytics and monitoring.

The new platform will be a web-based application accessible via the Commission’s corporate website and is scheduled to be introduced to the market in **February 2026** to support the submission of **Q4 2025 (October to December 2025) MMRF Reports**.

Trinidad and Tobago Securities and Exchange Commission

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LEVELS 22-23, TOWER D, INTERNATIONAL WATERFRONT CENTRE,  
1 WRIGHTSON ROAD, PORT OF SPAIN, TRINIDAD, W.I.  
Tel: (868) 223-2991 | Email: [ttsec@ttsec.org.tt](mailto:ttsec@ttsec.org.tt) | Website: [www.ttsec.org.tt](http://www.ttsec.org.tt)



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In our efforts to ensure a smooth transition, one-on-one training sessions with all registrants will be scheduled between **February 2<sup>nd</sup> – 13<sup>th</sup>, 2026** to ensure the MMRF Q4 2025 submissions are uploaded via the new platform. All registrants are expected to have their MMRF quarterly submissions prepared by **January 31<sup>st</sup>, 2026**.

During these sessions the MMRF team will provide hands-on guidance on navigating and using the new platform effectively.

We thank you for your continued support and look forward to improving the reporting experience through this initiative.

Sincerely,

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**Mr. Kester Guy**  
Chief Executive Officer

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Trinidad and Tobago Securities and Exchange Commission

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1 WRIGHTSON ROAD, PORT OF SPAIN, TRINIDAD, W.I.  
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