



Trinidad and Tobago Securities and Exchange Commission

LIST OF REGISTRANTS AS AT 31ST MARCH 2026

The Trinidad and Tobago Securities and Exchange Commission (“the Commission”) is responsible for the oversight of the securities industry ensuring that it operates in a fair, transparent and efficient manner in order to protect the rights of investors.

The Commission regulates the securities market by registering all securities that are distributed to the public, all issuers of those securities, and market intermediaries directly involved in the issuance, offering and sale of those securities e.g., *Broker-Dealers, Investment Advisers, Underwriters, and Self-Regulatory Organisations*. Certain employees or officers of Broker-Dealers, Investment Advisers and Underwriters are also required to be registered with the Commission as Registered Representatives.

Further, the Commission authorises Responsible Persons and Custodians which are entities involved in the governance and oversight of Collective Investment Schemes, as well as the safekeeping of their assets.

HOW DOES REGISTERING WITH THE COMMISSION PROTECT INVESTORS?

The registration process requires all registrants (issuers of securities as well as market intermediaries such as *Broker-Dealers, Investment Advisers, and Underwriters*) to provide the Commission with information regarding their operations, management and financial position, as well as the securities they have issued or intend to distribute. **The Commission ensures that the information meets the requirements of the law and is available to the public.**

Firms and individuals are registered by category – each registration category attracts different educational, experience, and financial requirements, and permits the registrant to conduct different activities. **The Commission ensures that the companies are genuine entities with a registered office, staffed by qualified professionals who possess the knowledge, skills and experience to conduct the class of business for which the companies are being registered.**

HOW DOES AUTHORISATION OF RESPONSIBLE PERSONS AND CUSTODIANS WITH THE COMMISSION PROTECT INVESTORS?

The authorisation process requires all Responsible Persons and Custodians to provide the Commission with information regarding their operations, management and financial position. **The Commission ensures that the information meets the requirements of the law and is available to the public.**

The Commission ensures that the entities are fit and proper and meet the eligibility requirements such as being appropriately incorporated or licensed financial institutions with adequate capital, qualified personnel and appropriate systems.

WHAT ARE THE QUALIFICATIONS FOR REGISTRATION OR AUTHORISATION?

Registration will not be granted unless the Commission is satisfied that an applicant is fit and proper, meets the requirement of the law with respect to capital and educational qualifications, and discloses any information that may affect the conduct and integrity of the applicant's business. This information is also available for public viewing at the Commission.

The provision of information does not end after the initial registration or authorisation. Registrants are required to continuously provide the Commission, as well as investors, with information inclusive of changes which may positively or negatively impact the performance of their business and the securities available to investors.

IS REGISTRATION AN ENDORSEMENT?

While we advise investors to deal only with registrants that are registered with the Commission, **registration with the Commission is NOT an endorsement of the registrant or of any security. The Commission provides neither guarantee nor opinion on the performance of a registrant or security.**

Always remember, **even though you are dealing with someone who is registered with the Commission, you should evaluate the registrant and the securities being offered and if necessary, seek independent financial advice before making an investment decision.**

DEFINITION OF TERMS

- ***Broker-Dealer***

A firm acting as an intermediary between a buyer and a seller of securities, usually for a fee or a commission. When acting as a broker, a Broker-Dealer executes orders on behalf of their client. When acting as a dealer, a Broker-Dealer executes trades for the firm's own account. Broker-Dealers may also be permitted to discharge the functions of an Underwriter and/or the Manager of a Collective Investment Scheme.

- ***Investment Adviser***

An individual or firm that advises clients on investment in, or the purchase or sale of securities on a professional basis.

- ***Underwriter***
A company that arranges for the issuance or distribution of securities and/or agrees to purchase any unsold securities thereby guaranteeing subscription either in full or in part.
- ***Registered Representative***
An employee or officer of a registered Broker-Dealer, Investment Adviser or Underwriter who liaises with members of the public on behalf of their employers further to the offering, distribution or sale of securities.
- ***Sponsored Broker-Dealer or Sponsored Investment Adviser***
An individual who is employed by a Brokerage or Investment firm from a foreign jurisdiction. This individual aligns with a local Broker-Dealer or Investment Adviser who sponsors that individual's registration with the Commission. A Sponsored Broker-Dealer or Sponsored Investment Adviser that is registered with the Commission can conduct securities business in Trinidad and Tobago for a maximum of ninety (90) days in a calendar year.
- ***Reporting Issuer***
A corporation that has distributed or proposes to distribute securities to the public and is subject to the continuous disclosure requirements of the Commission.
- ***Self-Regulatory Organisation***
A non-governmental organisation that has the power to create and enforce industry regulations and standards. The priority is to protect investors through the establishment of rules that promote ethics and equality.
- ***Responsible Person***
An entity that is responsible for the governance and oversight of the operations of a Collective Investment Scheme, including ensuring compliance with the applicable legal and regulatory framework.
- ***Custodian***
An entity that provides custody or safekeeping of the assets of a Collective Investment Scheme.

In accordance with the requirements of Section 52(8) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago, the Commission provides the undermentioned list of its Registrants and Self-Regulatory Organisations as at 31st March 2026.

WANT TO KNOW MORE?

For additional information, you are invited to contact, the Trinidad and Tobago Securities and Exchange Commission, at (868) 223-2991, or visit our website at www.ttsec.org.tt.

BROKER-DEALERS

1.	Admiral Limited
2.	Ansa Merchant Bank Limited
3.	ANSA Wealth Management Limited
4.	B3V Holdings Limited
5.	Bourse Brokers Limited
6.	Bourse Securities Limited
7.	Capital Markets Elite Group (Trinidad and Tobago) Limited
8.	Caribbean Stockbrokers Limited
9.	CIBC Caribbean Bank (Trinidad and Tobago) Limited
10.	Citicorp Merchant Bank Limited
11.	Development Finance Limited
12.	FigTree Financial (T&T) Limited
13.	First Citizens Bank Limited
14.	First Citizens Brokerage and Advisory Services Limited
15.	First Citizens Depository Services Limited
16.	First Citizens Investment Services Limited
17.	First Citizens Portfolio and Investment Management Services Limited
18.	Firstline Securities Limited
19.	Guardian Asset Management and Investment Services Limited
20.	Guardian Group Trust Limited
21.	JMMB Bank (T&T) Limited
22.	JMMB Investments (Trinidad and Tobago) Limited
23.	JMMB Securities (T&T) Limited
24.	KCL Capital Market Brokers Limited
25.	KSBM Asset Management Limited
26.	Maritime Capital Limited
27.	Massy Finance GFC Ltd.
28.	Murphy Clarke Financial Limited
29.	NCB Merchant Bank (Trinidad and Tobago) Limited
30.	RBC Investment Management (Caribbean) Limited
31.	RBC Royal Bank (Trinidad and Tobago) Limited
32.	Republic Bank Limited
33.	Republic Wealth Management Limited
34.	Sagicor Investments Trinidad & Tobago Limited
35.	Sagicor Life Incorporated
36.	Scotia Investments (Trinidad and Tobago) Limited
37.	Scotiabank Trinidad and Tobago Limited
38.	Sheppard Securities Limited
39.	Sheppard Stockbrokers Limited
40.	The Home Mortgage Bank
41.	Trinidad and Tobago Mortgage Bank Limited
42.	Trinidad and Tobago Unit Trust Corporation
43.	Waterloo Capital Advisors Limited
44.	West Indies Stockbrokers Limited

INVESTMENT ADVISERS

1.	Aspire Fund Management Company Limited
2.	Guardian Life of the Caribbean Limited
3.	Mondial (Trinidad) Limited
4.	SEAF Caribbean Management LLC
5.	SHBL Investments Company Limited
6.	Williams, Aldon Philip

UNDERWRITERS

1.	RBC Merchant Bank (Caribbean) Limited
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REGISTERED REPRESENTATIVES

	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
1.	Abdool, Amani	First Citizens Portfolio and Investment Management Services Limited	Advising
2.	Abraham, Jasmin	West Indies Stockbrokers Limited	Associate
3.	Achong, Sean	Ansa Merchant Bank Limited	Brokering
4.	Adam, Karen	Sagicor Investments Trinidad & Tobago Limited	Associate
5.	Akan, Myrnelle	SHBL Investments Company Limited	Advising
6.	Albert, Joanne	First Citizens Investment Services Limited	Advising
7.	Alexander, Liesel	KCL Capital Market Brokers Limited	Advising, Brokering and Underwriting
8.	Alexander, Liesel	Aspire Fund Management Company Limited	Advising
9.	Alexander, Ria	Scotiabank Trinidad and Tobago Limited	Brokering
10.	Alexander, Sharnet	Republic Wealth Management Limited	Brokering
11.	Alfred-Pierre, Annissa	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
12.	Ali, Salahudeen	Maritime Capital Limited	Brokering
13.	Ali, Salma	West Indies Stockbrokers Limited	Brokering
14.	Ali, Sarfraz	Scotiabank Trinidad and Tobago Limited	Associate
15.	Ali-Manoo, Anisha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
16.	Alleyne, Candice	Scotiabank Trinidad and Tobago Limited	Associate

REGISTERED REPRESENTATIVES			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
17.	Alleyne, Harold	Caribbean Stockbrokers Limited	Advising, Brokering and Underwriting
18.	Alleyne, Joshua	Sheppard Securities Limited	Associate
19.	Alleyne, Joshua	Sheppard Stockbrokers Limited	Associate
20.	Alleyne, Tevaughn	Firstline Securities Limited	Advising and Brokering
21.	Alli, Tariq	Massy Finance GFC Ltd.	Advising, Brokering and Underwriting
22.	Allick-Eustache, Ghislayne	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
23.	Andrews Kent, Susan	Guardian Asset Management and Investment Services Limited	Associate
24.	Andrews, Schubert	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
25.	Andrews, Zibeon	First Citizens Bank Limited	Brokering
26.	Aparicio-Owen, Talia	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
27.	Assing, Ryan	Scotiabank Trinidad and Tobago Limited	Brokering
28.	Atwell, Amrika	First Citizens Bank Limited	Brokering
29.	Atwell, Gabrielle	First Citizens Bank Limited	Brokering
30.	Austin, Marsha	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
31.	Awai, Gary	Development Finance Limited	Advising, Brokering and Underwriting
32.	Baal, Rima	First Citizens Bank Limited	Brokering
33.	Badall, Kavita	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
34.	Badree, Vikal	Sagikor Investments Trinidad & Tobago Limited	Brokering
35.	Baiju, Kirsten	Sheppard Securities Limited	Associate
36.	Baiju, Kirsten	Sheppard Stockbrokers Limited	Associate
37.	Balgobin, Robert	KSBM Asset Management Limited	Advising and Brokering
38.	Balkissoon, Renuka	First Citizens Investment Services Limited	Advising
39.	Ballantyne-Pascall, Keisha	RBC Investment Management (Caribbean) Limited	Advising and Brokering
40.	Balliram-Harripersad, Sharda	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
41.	Bal-Maharaj, Shamela	The Home Mortgage Bank	Advising, Brokering and Underwriting
42.	Bal-Maharaj, Shamela	Trinidad and Tobago Mortgage Bank Limited	Advising, Brokering and Underwriting

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43.	Balraj, Ronnie	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
44.	Bando-Collins, Judith	Republic Bank Limited	Brokering and Underwriting
45.	Baptiste, Jason	JMMB Bank (T&T) Limited	Advising, Brokering and Underwriting
46.	Baptiste, Tamara	RBC Merchant Bank (Caribbean) Limited	Underwriting
47.	Basdeo, Anderson	Citicorp Merchant Bank Limited	Brokering
48.	Basdeo, Roshan	JMMB Securities (T&T) Limited	Brokering
49.	Bassant, Terrence	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
50.	Bayne, Ryan	Sheppard Securities Limited	Advising
51.	Beachoo, Dana	Waterloo Capital Advisors Limited	Brokering
52.	Beharrylal, Roshni	Scotiabank Trinidad and Tobago Limited	Associate
53.	Belmontes, Jesse	Scotiabank Trinidad and Tobago Limited	Associate
54.	Bernard, Rachel	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
55.	Bhagan, Candice	West Indies Stockbrokers Limited	Brokering
56.	Bhagwandeem-Ramdhanie, Samantha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
57.	Bhagwandin, Chrysse	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
58.	Bharat, Renata	Bourse Brokers Limited	Advising
59.	Bhattan-Jones, Susan	First Citizens Bank Limited	Brokering
60.	Bisnath, Julia	The Home Mortgage Bank	Advising, Brokering and Underwriting
61.	Bisnath, Julia	Trinidad and Tobago Mortgage Bank Limited	Advising, Brokering and Underwriting
62.	Bissessar, Avinash	First Citizens Investment Services Limited	Brokering
63.	Bissessar, Avinash	First Citizens Brokerage and Advisory Services Limited	Brokering
64.	Bissessar, Avinash	First Citizens Portfolio and Investment Management Services Limited	Brokering
65.	Blackman, Javan	First Citizens Bank Limited	Brokering
66.	Blake, Lee-Ann	First Citizens Bank Limited	Associate
67.	Bleasdel, Erica	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering

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68.	Bleasdel, Jason	KCL Capital Market Brokers Limited	Associate
69.	Bobb, Sheldon	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
70.	Boisselle, Caroline	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
71.	Boodram, Bheeshma	Republic Wealth Management Limited	Advising and Brokering
72.	Boodram, Rodney Ajit	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
73.	Boodram, Sheryl	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
74.	Boodram, Winston	CIBC Caribbean Bank (Trinidad and Tobago) Limited	Brokering and Underwriting
75.	Borde-Ferreira, Sabrina	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
76.	Bosland, Dirk	First Citizens Depository Services Limited	Brokering
77.	Bourne, Talibah	First Citizens Bank Limited	Associate
78.	Bovell, Khadine	Firstline Securities Limited	Advising and Brokering
79.	Bowen, Garth	Guardian Asset Management and Investment Services Limited	Advising
80.	Bowen, Wendell	First Citizens Bank Limited	Brokering
81.	Bridgelal, Judy	Sagicor Investments Trinidad & Tobago Limited	Associate
82.	Bridglal, Alvin	B3V Holdings Limited	Brokering
83.	Budrie, Leanna	Bourse Securities Limited	Associate
84.	Cabral, Kassann	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
85.	Campbell, Brent	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
86.	Carter, Gennine	First Citizens Investment Services Limited	Advising
87.	Cedenio, Claude	Firstline Securities Limited	Advising and Brokering
88.	Chamely, Anna	Murphy Clarke Financial Limited	Advising
89.	Charles Jnr, Andrew	Murphy Clarke Financial Limited	Brokering
90.	Charles, Latoya	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
91.	Charles, Leosha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
92.	Chen, Nicholas	First Citizens Bank Limited	Brokering
93.	Chester, Ryan	RBC Royal Bank (Trinidad and Tobago) Limited	Associate

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94.	Chin, Ian	Ansa Merchant Bank Limited	Advising, Brokering and Underwriting
95.	Clarke, John Peter	Republic Bank Limited	Advising and Brokering
96.	Clarke, Leslie	Murphy Clarke Financial Limited	Brokering and Underwriting
97.	Clarke-Mohan, Narda	First Citizens Depository Services Limited	Brokering
98.	Clifton, Roger Emile	Guardian Asset Management and Investment Services Limited	Advising and Brokering
99.	Coonai, Ryan	Capital Markets Elite Group (Trinidad and Tobago) Limited	Advising and Brokering
100.	Crawford, Shaun	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
101.	Creese, Marli	NCB Merchant Bank (Trinidad and Tobago) Limited	Advising, Brokering and Underwriting
102.	Crooks, Victoria Marie	Sheppard Securities Limited	Brokering
103.	Crooks, Victoria Marie	Sheppard Stockbrokers Limited	Brokering
104.	Cruickshank-Hosam, Candice	KSBM Asset Management Limited	Advising
105.	Cruickshank-Simon, Lesa	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
106.	Cudjoe-Bowen, Nadine	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
107.	Cummings, Jason	RBC Merchant Bank (Caribbean) Limited	Underwriting
108.	Da Silva, Michael	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
109.	Dalchan-Mahabir, Ena	Republic Bank Limited	Advising, Brokering and Underwriting
110.	Daniel, Adrian	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
111.	Dasrath Singh, Jamie	JMMB Bank (T&T) Limited	Advising, Brokering and Underwriting
112.	Davis, Christie	First Citizens Investment Services Limited	Associate
113.	De Castro, Marlon	Republic Bank Limited	Advising, Brokering and Underwriting
114.	De Freitas, Nicola	Scotiabank Trinidad and Tobago Limited	Brokering
115.	De Graff, Dunovan	Sagicor Investments Trinidad & Tobago Limited	Underwriting

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116.	De Nobriga, Lisa	First Citizens Bank Limited	Brokering
117.	De Sormeaux, Candice	Scotiabank Trinidad and Tobago Limited	Brokering
118.	De Souza, Javan	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
119.	Deokaran, Ria	Scotiabank Trinidad and Tobago Limited	Brokering
120.	Deonarine, Dravid	Republic Wealth Management Limited	Advising
121.	Dillon, Genevieve	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
122.	Dindial, Leanna	Guardian Asset Management and Investment Services Limited	Associate
123.	Donaldson, Graham Duff	Mondial (Trinidad) Limited	Advising
124.	Donaldson-Jeffrey, Amril	First Citizens Portfolio and Investment Management Services Limited	Brokering
125.	Dookie, Dave	Waterloo Capital Advisors Limited	Advising and Brokering
126.	Douglas, Alana	West Indies Stockbrokers Limited	Associate
127.	Drakes, Candice	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
128.	Drayton, Amichai	The Home Mortgage Bank	Advising, Brokering and Underwriting
129.	Drayton, Amichai	Trinidad and Tobago Mortgage Bank Limited	Advising, Brokering and Underwriting
130.	Dukharan, Nirvana	NCB Merchant Bank (Trinidad and Tobago) Limited	Advising and Brokering
131.	Edoo, Richard	Scotiabank Trinidad and Tobago Limited	Associate
132.	Edwards, Belinda	First Citizens Bank Limited	Brokering
133.	Edwards, De Quan	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
134.	Edwards, Stacyann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
135.	Eligon, Michelle	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
136.	Ellis, Rory	Capital Markets Elite Group (Trinidad and Tobago) Limited	Advising and Brokering
137.	Emmanuel, Shayne	Guardian Group Trust Limited	Associate
138.	Fadahunsi, Lai	SHBL Investments Company Limited	Advising

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139.	Farmer, Karen	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
140.	Ferdinand, Jean-Maurice	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
141.	Ferdinand, Jean-Maurice	RBC Investment Management (Caribbean) Limited	Associate
142.	Ferguson, Andrew	Maritime Capital Limited	Advising and Brokering
143.	Ferreira, Greg	First Citizens Investment Services Limited	Brokering
144.	Ferreira, Shauna	Bourse Securities Limited	Advising
145.	Forde, Maria	Trinidad and Tobago Unit Trust Corporation	Advising
146.	Francis, Sparkle Sally	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
147.	Francis, Ulyn-Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
148.	Gabriel, Norlann	First Citizens Investment Services Limited	Brokering
149.	Gabriel, Norlann	First Citizens Brokerage and Advisory Services Limited	Brokering
150.	Gabriel, Norlann	First Citizens Portfolio and Investment Management Services Limited	Brokering
151.	Gadraj-Baboolal, Neena	First Citizens Bank Limited	Brokering
152.	Gajadhar, Kerry	Caribbean Stockbrokers Limited	Associate
153.	Gajadhar, Usha	The Home Mortgage Bank	Brokering and Underwriting
154.	Gajadhar, Usha	Trinidad and Tobago Mortgage Bank Limited	Brokering and Underwriting
155.	Ganga Persad, Amanda	Scotiabank Trinidad and Tobago Limited	Associate
156.	Garcia-David, Apphia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
157.	Gatt, Gordon Anthony	Sheppard Securities Limited	Associate
158.	Gayapersad, Camille	First Citizens Investment Services Limited	Associate
159.	George, Alicia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
160.	George, Carlene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
161.	George, Jahmai	RBC Royal Bank (Trinidad and Tobago) Limited	Associate

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162.	George, Joshua	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
163.	George, Trevelon	First Citizens Bank Limited	Brokering
164.	Gill, Sherwin M.	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
165.	Girod, Renata	JMMB Investments (Trinidad and Tobago) Limited	Advising
166.	Gittens, Richard	Sagicor Investments Trinidad & Tobago Limited	Associate
167.	Gobinpersad, Nikkisha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
168.	Gokool, Kavita	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
169.	Gonzales, Adesha	Scotiabank Trinidad and Tobago Limited	Brokering
170.	Gonzales, Alloysius	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
171.	Goolcharan, Viveka	Guardian Life of the Caribbean Limited	Advising
172.	Gooptar, Jerome	Guardian Asset Management and Investment Services Limited	Advising, Brokering and Underwriting
173.	Gopaul, Teneil	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
174.	Gosine, Ishan	NCB Merchant Bank (Trinidad and Tobago) Limited	Advising and Brokering
175.	Gregory, Christopher Andre	FigTree Financial (T&T) Limited	Brokering
176.	Guevara, Joshua	Capital Markets Elite Group (Trinidad and Tobago) Limited	Associate
177.	Guyadeen-Gosine, Karen	Trinidad and Tobago Unit Trust Corporation	Advising
178.	Hackett-Murray, Desiree	Trinidad and Tobago Unit Trust Corporation	Advising
179.	Hagley, Simone	Guardian Asset Management and Investment Services Limited	Associate
180.	Hamel-Smith, Shane	Sheppard Securities Limited	Advising
181.	Hanooman, Rodney	Scotiabank Trinidad and Tobago Limited	Brokering
182.	Hardeo, Avin	Republic Bank Limited	Advising, Brokering and Underwriting
183.	Harrilal, Tanelle	First Citizens Bank Limited	Brokering
184.	Hector-Orr, Kamala	RBC Royal Bank (Trinidad and Tobago) Limited	Associate

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185.	Henry, Lester	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
186.	Hernandez, Alicia	Firstline Securities Limited	Advising, Brokering and Underwriting
187.	Hernandez, Jody	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
188.	Herrera, Mikael	First Citizens Bank Limited	Associate
189.	Hills, Nikesha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
190.	Holder, Omar	Trinidad and Tobago Unit Trust Corporation	Advising
191.	Hosein, Ahamad	Firstline Securities Limited	Advising, Brokering and Underwriting
192.	Hosein, Areo	Guardian Asset Management and Investment Services Limited	Associate
193.	Hosein, Narisa	Guardian Asset Management and Investment Services Limited	Advising, Brokering and Underwriting
194.	Hosein, Sean	Bourse Brokers Limited	Advising and Brokering
195.	Hosein, Sean	Bourse Securities Limited	Advising and Brokering
196.	Howell, Brendon	Guardian Asset Management and Investment Services Limited	Advising and Brokering
197.	Ible, Isabelle	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
198.	Inglefield, Geoffrey	ANSA Wealth Management Limited	Associate
199.	Isahack-Paul, Naadira	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
200.	Israel, Stacy	First Citizens Investment Services Limited	Associate
201.	Jackman, Ihsan	Guardian Group Trust Limited	Advising, Brokering and Underwriting
202.	Jackson, Mikkell	First Citizens Bank Limited	Brokering
203.	Jackson, Mikkell	First Citizens Investment Services Limited	Brokering
204.	Jacobie, Natalie	Scotiabank Trinidad and Tobago Limited	Associate
205.	Jagbirsingh, Candace	RBC Merchant Bank (Caribbean) Limited	Underwriting
206.	Jagdeosingh, Veronica	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
207.	Jaglal, Shinara	Republic Wealth Management Limited	Associate

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208.	Jagroop, Subash	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
209.	James, Kerron	Republic Bank Limited	Advising and Brokering
210.	James, Marie Lyn	Scotia Investments (Trinidad and Tobago) Limited	Advising and Brokering
211.	James, Michael	JMMB Bank (T&T) Limited	Advising, Brokering and Underwriting
212.	Jan, Nizea	Scotiabank Trinidad and Tobago Limited	Associate
213.	Jardine, Marc	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
214.	Jasper, Jodé	Scotiabank Trinidad and Tobago Limited	Associate
215.	Jenkins, Paul	CIBC Caribbean Bank (Trinidad and Tobago) Limited	Brokering
216.	Joefield-Victor, Camille	First Citizens Bank Limited	Brokering
217.	John, Marisa Elisabeth	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
218.	John, Thora	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
219.	John, Trever	Guardian Asset Management and Investment Services Limited	Advising and Brokering
220.	Johnson, Carolyn	Caribbean Stockbrokers Limited	Brokering
221.	Jones, Brithney	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
222.	Jordan, Brooke	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
223.	Joseph, Davina	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
224.	Joseph, Kyle	Scotiabank Trinidad and Tobago Limited	Associate
225.	Joseph, Nikeisha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
226.	Joseph, Ru-Ann	First Citizens Investment Services Limited	Advising
227.	Joseph, Terri-Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
228.	Joseph-Seerattan, Carlene	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
229.	Julien, Desle	Scotiabank Trinidad and Tobago Limited	Associate

REGISTERED REPRESENTATIVES			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
230.	Julien, Jason	First Citizens Bank Limited	Brokering and Underwriting
231.	Julien-Jack, Maxime	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
232.	Juman, Neil	First Citizens Bank Limited	Brokering
233.	Juman, Rachael	First Citizens Bank Limited	Brokering
234.	Kadir, Amanda	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
235.	Kalicharan, Ramcharan	KSBM Asset Management Limited	Advising and Brokering
236.	Kerr, Candace	Guardian Asset Management and Investment Services Limited	Advising
237.	Kesar, Curley	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
238.	Khafra, Jeremy	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
239.	Khan, Christa	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
240.	Khan, Marsha	Republic Wealth Management Limited	Associate
241.	Khan, Marsha	Republic Bank Limited	Associate
242.	Khan, Meera	Scotiabank Trinidad and Tobago Limited	Associate
243.	Khan, Michael Vaughn	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
244.	Khan, Mykel	Guardian Group Trust Limited	Advising
245.	Khan, Tishara	Guardian Asset Management and Investment Services Limited	Advising
246.	Khan-Abdool, Amirah	Guardian Group Trust Limited	Advising, Brokering and Underwriting
247.	King, Dalia Patrice	Firstline Securities Limited	Advising, Brokering and Underwriting
248.	King, Keith Michael	Firstline Securities Limited	Advising, Brokering and Underwriting
249.	King, Mary	Mondial (Trinidad) Limited	Advising
250.	King, Maxine Cheryl	Firstline Securities Limited	Advising, Brokering and Underwriting
251.	King-Henry, Kerrylyn	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
252.	Kirpaul-Mohammed, Natasha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
253.	Kishore, Rory	First Citizens Bank Limited	Brokering

REGISTERED REPRESENTATIVES			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
254.	Kissoon, Tricia	RBC Investment Management (Caribbean) Limited	Advising and Brokering
255.	La Borde, Kevin	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
256.	La Motte, Alicia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
257.	Lackan, Rajesh	Sagicor Investments Trinidad & Tobago Limited	Brokering
258.	Lakhan, Jagdesh	Waterloo Capital Advisors Limited	Advising and Brokering
259.	Lala, Salisha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
260.	Lall, Sarah	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
261.	Lalla Maharajh, Rianna	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
262.	Lalla, Fianna	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
263.	Lalla, Jeremy	JMMB Investments (Trinidad and Tobago) Limited	Brokering and Underwriting
264.	Lalla, Jeremy	JMMB Securities (T&T) Limited	Brokering and Underwriting
265.	Lawrence, Melanie	West Indies Stockbrokers Limited	Associate
266.	Le Gendre-Thompson, Chala	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
267.	Leach, Keron	Guardian Asset Management and Investment Services Limited	Advising
268.	Leben, Marsha Rae	Trinidad and Tobago Mortgage Bank Limited	Advising, Brokering and Underwriting
269.	Leggard, Kaffi	Sheppard Securities Limited	Advising
270.	Leggard, Kaffi	Sheppard Stockbrokers Limited	Brokering
271.	Leong Poi, Lezanne	Murphy Clarke Financial Limited	Associate
272.	Leons, Keron	First Citizens Investment Services Limited	Associate
273.	Lequay, Marc	Republic Bank Limited	Advising, Brokering and Underwriting
274.	Lewis, Lumecha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
275.	Lewis-Best, Leah	KCL Capital Market Brokers Limited	Associate
276.	Logan, Nigel	Republic Wealth Management Limited	Associate
277.	London, Terrence	Scotiabank Trinidad and Tobago Limited	Brokering

REGISTERED REPRESENTATIVES			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
278.	Loney, Tineal	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
279.	Lucie-Smith, Kristina	Sagicor Investments Trinidad & Tobago Limited	Associate
280.	Lum Sang-De Silva, Gina	West Indies Stockbrokers Limited	Brokering
281.	Lum Sang-De Silva, Gina	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
282.	Lum Sang-De Silva, Gina	RBC Investment Management (Caribbean) Limited	Advising and Brokering
283.	Lutchman, Khadeen	Scotiabank Trinidad and Tobago Limited	Brokering
284.	Ma Kuan, Christopher	Republic Wealth Management Limited	Associate
285.	Ma Kuan, Christopher	Republic Bank Limited	Associate
286.	Mac Quan, Keisha	First Citizens Investment Services Limited	Advising
287.	Mahabir, Keshala	Maritime Capital Limited	Brokering
288.	Mahabir, Shival	Ansa Merchant Bank Limited	Advising and Brokering
289.	Mahabir, Shival	ANSA Wealth Management Limited	Advising and Brokering
290.	Mahaboob-Selvon, Afiesha	First Citizens Bank Limited	Associate
291.	Maharaj, Geerevan	Republic Bank Limited	Advising, Brokering and Underwriting
292.	Maharaj, Sally	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
293.	Maharaj, Sharla	Trinidad and Tobago Mortgage Bank Limited	Advising, Brokering and Underwriting
294.	Maharaj, Varun	Maritime Capital Limited	Brokering
295.	Maharaj-Ramnarine, Laura	Scotiabank Trinidad and Tobago Limited	Associate
296.	Maingot, Danielle	Murphy Clarke Financial Limited	Brokering
297.	Majid, Fareesha	Murphy Clarke Financial Limited	Brokering
298.	Manmohan, Adrian	West Indies Stockbrokers Limited	Brokering
299.	Mansoor, Natalie	Ansa Merchant Bank Limited	Advising and Brokering
300.	Mansoor, Natalie	ANSA Wealth Management Limited	Advising and Brokering
301.	Marcano, Annalee	KCL Capital Market Brokers Limited	Associate
302.	Mark, Terrel	The Home Mortgage Bank	Associate
303.	Mark, Terrel	Trinidad and Tobago Mortgage Bank Limited	Associate

REGISTERED REPRESENTATIVES			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
304.	Marlow, Marissa	Ansa Merchant Bank Limited	Associate
305.	Marlow, Marissa	ANSA Wealth Management Limited	Associate
306.	Martinez, Miguel	Guardian Asset Management and Investment Services Limited	Advising, Brokering and Underwriting
307.	Martinez, Miguel	Guardian Group Trust Limited	Advising, Brokering and Underwriting
308.	Matthews, Christopher	The Home Mortgage Bank	Associate
309.	Matthews, Christopher	Trinidad and Tobago Mortgage Bank Limited	Associate
310.	Mc Comie, Monique Ann	Republic Wealth Management Limited	Advising and Brokering
311.	Mc Donald Kalloo, Candice	Guardian Asset Management and Investment Services Limited	Associate
312.	Mc Donald, Anika	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
313.	Mc Fee, Brent	Trinidad and Tobago Mortgage Bank Limited	Advising, Brokering and Underwriting
314.	Mc Kenzie, Racine	Trinidad and Tobago Unit Trust Corporation	Advising
315.	Mc Quilkin, Michael	Republic Bank Limited	Advising, Brokering and Underwriting
316.	McKell, Romesh	First Citizens Investment Services Limited	Advising
317.	Melville, Adalene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
318.	Merritt, Thandi	Guardian Group Trust Limited	Advising, Brokering and Underwriting
319.	Minwah-Mohammed, Balmatie	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
320.	Mitchell, Myles	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
321.	Mohamed, Christine	First Citizens Bank Limited	Brokering
322.	Mohammed, Denika	Guardian Asset Management and Investment Services Limited	Associate
323.	Mohammed, Faye	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
324.	Mohammed, Jeannine	Republic Wealth Management Limited	Associate
325.	Mohammed, Nicholas	Firstline Securities Limited	Associate
326.	Mohammed, Reshard	Scotia Investments (Trinidad and Tobago) Limited	Brokering
327.	Mohammed, Ronald	Bourse Securities Limited	Associate

REGISTERED REPRESENTATIVES			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
328.	Mohammed, Salisha	Guardian Asset Management and Investment Services Limited	Associate
329.	Mohammed, Shaun	First Citizens Brokerage and Advisory Services Limited	Associate
330.	Mohammed, Shyam	First Citizens Bank Limited	Brokering
331.	Mohammed, Xoceketzal	First Citizens Portfolio and Investment Management Services Limited	Brokering
332.	Mohammed, Xoceketzal	First Citizens Brokerage and Advisory Services Limited	Brokering
333.	Mohammed, Xoceketzal	First Citizens Investment Services Limited	Brokering
334.	Mohammed, Zinora	KCL Capital Market Brokers Limited	Advising
335.	Mohammed-Dass, Shazeema	Trinidad and Tobago Unit Trust Corporation	Advising
336.	Mohan, Justin	Republic Bank Limited	Advising and Brokering
337.	Mohan, Justin	Republic Wealth Management Limited	Advising and Brokering
338.	Mohess, Stephanie	Scotia Investments (Trinidad and Tobago) Limited	Advising and Brokering
339.	Moonan, Videsh	B3V Holdings Limited	Brokering
340.			
341.	Moses, Shawn	JMMB Investments (Trinidad and Tobago) Limited	Brokering and Underwriting
342.	Moses, Shawn	JMMB Securities (T&T) Limited	Brokering and Underwriting
343.	Moses, Shawn	JMMB Bank (T&T) Limited	Advising, Brokering and Underwriting
344.	Moses-Rodriguez, Geneil	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
345.	Mungal, Denise	Guardian Life of the Caribbean Limited	Advising
346.	Murray, Otis	KCL Capital Market Brokers Limited	Advising
347.	Murrell, Cherise	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
348.	Murrell-Richardson, Neisha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
349.	Nagassar, Nigel	Guardian Asset Management and Investment Services Limited	Advising and Brokering
350.	Narine, Estelle	Scotiabank Trinidad and Tobago Limited	Brokering

REGISTERED REPRESENTATIVES			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
351.	Nelson, Avalon	Scotiabank Trinidad and Tobago Limited	Associate
352.	Nuzum, Adrian	Sheppard Securities Limited	Associate
353.	Nuzum, Adrian	Sheppard Stockbrokers Limited	Associate
354.	O'Neill, Shiloh K.	Republic Bank Limited	Associate
355.	Ochoa, Rhea	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
356.	Ollivierra, David	First Citizens Bank Limited	Associate
357.	Ottley, Renee	Sagicor Investments Trinidad & Tobago Limited	Brokering
358.	Pagee, Gillian	First Citizens Investment Services Limited	Advising
359.	Pardais, Maria	First Citizens Portfolio and Investment Management Services Limited	Advising
360.	Parrage, Ryan	Waterloo Capital Advisors Limited	Brokering
361.	Pasea, Christian	Sagicor Life Incorporated	Brokering
362.	Pasee, Ashia	Firstline Securities Limited	Associate
363.	Pasee, Johann	Firstline Securities Limited	Advising and Brokering
364.	Patrick, Cole	Firstline Securities Limited	Advising, Brokering and Underwriting
365.	Patrovani, Mikhail	First Citizens Bank Limited	Associate
366.	Patterson, Denese	First Citizens Bank Limited	Brokering
367.	Patterson, Rodney	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
368.	Paul, Jozanne	Scotiabank Trinidad and Tobago Limited	Associate
369.	Pemberton, Tiffany	Development Finance Limited	Advising, Brokering and Underwriting
370.	Pereira, Marc Richard	Sheppard Securities Limited	Advising
371.	Perez, Adrian	KCL Capital Market Brokers Limited	Advising
372.	Perryman, Jarod	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
373.	Persad, Anuradha	First Citizens Bank Limited	Advising
374.	Persad, Darius	First Citizens Bank Limited	Brokering
375.	Persadie, Ginelle	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
376.	Persad-Joseph, Aneisha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
377.	Persad-Lewis, Cherry-Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
378.	Peters, Samantha	Scotiabank Trinidad and Tobago Limited	Associate
379.	Peterson, Kamilah	Trinidad and Tobago Mortgage Bank Limited	Advising, Brokering and Underwriting
380.	Phelps, Alesha	Sagicor Life Incorporated	Underwriting
381.	Phelps, Alesha	Sagicor Investments Trinidad & Tobago Limited	Brokering
382.	Phillip, Isaiah	Guardian Group Trust Limited	Advising
383.	Phillips, Myles	Citicorp Merchant Bank Limited	Underwriting
384.	Pilgrim, Jonathan	Republic Wealth Management Limited	Associate
385.	Pirali, Aamir	Scotiabank Trinidad and Tobago Limited	Associate
386.	Piralli, Charles	JMMB Investments (Trinidad and Tobago) Limited	Brokering
387.	Piralli, Charles	JMMB Securities (T&T) Limited	Brokering
388.	Pollard Jnr., Duke	Capital Markets Elite Group (Trinidad and Tobago) Limited	Advising and Brokering
389.	Pollidore, Jaeden	Guardian Asset Management and Investment Services Limited	Associate
390.	Pounder, Giselle	Republic Wealth Management Limited	Brokering
391.	Prince, Jamila	Guardian Asset Management and Investment Services Limited	Associate
392.	Racha, Delano	Scotiabank Trinidad and Tobago Limited	Brokering
393.	Rackal, Cindy	First Citizens Bank Limited	Brokering
394.	Ragbirsingh, Diandra	Republic Bank Limited	Advising and Brokering
395.	Ragoonath, Randy	Scotiabank Trinidad and Tobago Limited	Associate
396.	Rajkumar, Keisha	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
397.	Rajmoolie, Cathy	First Citizens Brokerage and Advisory Services Limited	Associate
398.	Rajpaulsingh, Sarah	KCL Capital Market Brokers Limited	Advising
399.	Ramberran, Nishanna	Sheppard Securities Limited	Associate
400.	Rambharack, Kerryann	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
401.	Ramcharan, Diana	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
402.	Ramcharitar, Amar	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
403.	Ramdass, Pamela	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
404.	Ramdeen, Avinash	Republic Wealth Management Limited	Advising and Brokering
405.	Ramdeen-Harry, Seema	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
406.	Ramdewar, Russel	Guardian Asset Management and Investment Services Limited	Associate
407.	Ramdhanie, Timmel	First Citizens Bank Limited	Brokering
408.	Ramdhanie-Sultan, Sita	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
409.	Ramgoolie, Videsh	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
410.	Ramirez, Roberto	Scotiabank Trinidad and Tobago Limited	Underwriting
411.	Ramjattan, Stacey	Guardian Asset Management and Investment Services Limited	Advising
412.	Ramjit, Shivani	Scotiabank Trinidad and Tobago Limited	Brokering
413.	Ramkhelawan, Sarodh	Bourse Securities Limited	Advising, Brokering and Underwriting
414.	Ramkhelawan, Sarodh	Bourse Brokers Limited	Advising, Brokering and Underwriting
415.	Ramkhelawan, Subhas	Bourse Securities Limited	Advising and Brokering
416.	Ramkhelawan, Subhas	Bourse Brokers Limited	Advising and Brokering
417.	Ramkissoon, Baldath	Republic Bank Limited	Advising and Brokering
418.	Ramkissoon, Dana	First Citizens Investment Services Limited	Associate
419.	Ramkissoon-Joseph, Shivana	Republic Bank Limited	Advising and Brokering
420.	Ramkripaul, Rachel	KCL Capital Market Brokers Limited	Associate
421.	Ramlal, Charanjit	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
422.	Ramlal, Dereck	First Citizens Bank Limited	Brokering
423.	Ramlal, Dereck	First Citizens Brokerage and Advisory Services Limited	Brokering
424.	Ramlal, Dereck	First Citizens Investment Services Limited	Brokering
425.	Ramlal, Dereck	First Citizens Portfolio and Investment Management Services Limited	Brokering

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
426.	Ramlochan, Gideon	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
427.	Ramlogan, Tamara	First Citizens Bank Limited	Brokering
428.	Ramnarine, Sasha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
429.	Ramnath, Daniel	First Citizens Bank Limited	Brokering
430.	Ramnath, Rachel	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
431.	Ramnath-Singh, Gail	Caribbean Stockbrokers Limited	Associate
432.	Ramoutar, Emily	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
433.	Ramoutar, Rush	Republic Wealth Management Limited	Advising and Brokering
434.	Ramoutar, Rush	Republic Bank Limited	Advising, Brokering and Underwriting
435.	Rampartap, Rondel	JMMB Investments (Trinidad and Tobago) Limited	Associate
436.	Rampersad, Alicia	Massy Finance GFC Ltd.	Advising, Brokering and Underwriting
437.	Rampersad, Reshma	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
438.	Rampersad, Travis	First Citizens Investment Services Limited	Brokering
439.	Rampersad-Sookah, Annecia	Scotiabank Trinidad and Tobago Limited	Associate
440.	Ramroop, Rajesh	First Citizens Investment Services Limited	Associate
441.	Ramroop, Stephen	Guardian Asset Management and Investment Services Limited	Associate
442.	Ramsamooj, Giselle	First Citizens Bank Limited	Brokering
443.	Ramsamooj, Kelly	Sagicor Investments Trinidad & Tobago Limited	Associate
444.	Ramsaran, Anrika	First Citizens Investment Services Limited	Advising
445.	Ramsaroop, Onilla	Scotiabank Trinidad and Tobago Limited	Associate
446.	Ramsingh, Chanelle	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
447.	Ramsumair-Mahabir, Nadia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
448.	Ramsumair-Mohammed, Shivana	First Citizens Investment Services Limited	Advising

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449.	Ramsundarsingh-Gopaul, Rhonda	Ansa Merchant Bank Limited	Advising
450.	Ramsundarsingh-Gopaul, Rhonda	ANSA Wealth Management Limited	Advising
451.	Ransome, Diann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
452.	Rattan, Basdai	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
453.	Rattan, Kimberly	First Citizens Bank Limited	Brokering
454.	Rattansingh, Prasanna	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
455.	Redhead, Eric	Murphy Clarke Financial Limited	Associate
456.	Reid, Stefan	Guardian Asset Management and Investment Services Limited	Advising
457.	Remy, Crystal	First Citizens Bank Limited	Brokering
458.	Reyes, Erfan	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
459.	Roberts, Pernel	Republic Wealth Management Limited	Advising and Brokering
460.	Robinson, David	Republic Bank Limited	Advising and Brokering
461.	Rodrigues, Herman	Mondial (Trinidad) Limited	Advising
462.	Rodrigues, Matthew	Firstline Securities Limited	Associate
463.	Rodriguez-Greaves, Crystal	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
464.	Romain, Germeel-Amir	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
465.	Romilly-Raymah, Selene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
466.	Roopchand, Alicia	JMMB Investments (Trinidad and Tobago) Limited	Advising
467.	Ruiz, Carol-Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
468.	Sa Gomes, Chip	Admiral Limited	Advising and Brokering
469.	Sabga, Nigel Anthony	Ansa Merchant Bank Limited	Advising and Brokering
470.	Salandy, Crystal-Marie	The Home Mortgage Bank	Advising, Brokering and Underwriting
471.	Salandy, Crystal-Marie	Trinidad and Tobago Mortgage Bank Limited	Advising, Brokering and Underwriting
472.	Salazar, Krystal	Scotia Investments (Trinidad and Tobago) Limited	Brokering
473.	Salloum Clarke, Christiane Michelle	FigTree Financial (T&T) Limited	Brokering
474.	Salvary, Brent	KSBM Asset Management Limited	Advising and Brokering

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475.	Samaroo, Lawrence	Scotiabank Trinidad and Tobago Limited	Brokering
476.	Samlalsingh, Justin	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
477.	Samodee, Keisha	Guardian Asset Management and Investment Services Limited	Associate
478.	Samuel-James, Natasha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
479.	Sanasie-Ali, Renee	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
480.	Sanderson, Daniell	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
481.	Sandy, Dexter	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
482.	Sankar, Shane	Republic Wealth Management Limited	Brokering
483.	Sankar-Cassie, Liandra	Sagicor Investments Trinidad & Tobago Limited	Brokering
484.	Santoo, Barry	First Citizens Bank Limited	Brokering
485.	Saugh, Samanta	Guardian Life of the Caribbean Limited	Advising
486.	Scott, Deyson	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
487.	Sealey, Garette	Firstline Securities Limited	Associate
488.	Seebaran, Madree	Bourse Brokers Limited	Advising and Brokering
489.	Seedhan, Annette	Citicorp Merchant Bank Limited	Brokering
490.	Seedial, Yuri	First Citizens Brokerage and Advisory Services Limited	Brokering
491.	Seenath, Liz	Scotiabank Trinidad and Tobago Limited	Associate
492.	Seenath, Shushilla	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
493.	Seepaul, Diana	First Citizens Bank Limited	Advising
494.	Seepersad Singh, Ornella	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
495.	Seepersad, Shane	Republic Bank Limited	Advising and Brokering
496.	Seepersad, Shani	Republic Wealth Management Limited	Advising and Brokering
497.	Seepersadsingh, Sheldon	Scotiabank Trinidad and Tobago Limited	Associate
498.	Seepersad-Valdez, Stacey	RBC Royal Bank (Trinidad and Tobago) Limited	Associate

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499.	Seurattan, Dylan	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
500.	Sharma-Kamaludin, Adita	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
501.	Sheppard, Alan Michael	Sheppard Securities Limited	Advising
502.	Sheppard, George David	Sheppard Securities Limited	Brokering
503.	Silva, Loise	Guardian Asset Management and Investment Services Limited	Associate
504.	Simeon, Simone	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
505.	Simmons, Dhaana	Scotiabank Trinidad and Tobago Limited	Associate
506.	Sinanan-Seepersad, Sally	Republic Wealth Management Limited	Associate
507.	Sinanan-Seepersad, Sally	Republic Bank Limited	Associate
508.	Singh, Amrit	West Indies Stockbrokers Limited	Associate
509.	Singh, Dianne	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
510.	Singh, Gia	Sheppard Securities Limited	Advising
511.	Singh, Jynelle	Guardian Asset Management and Investment Services Limited	Associate
512.	Singh, Lillian	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
513.	Singh, Mario	KSBM Asset Management Limited	Advising
514.	Singh, Mark	KCL Capital Market Brokers Limited	Advising, Brokering and Underwriting
515.	Singh, Vicky Varuna	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
516.	Sirju, Chaitram	First Citizens Brokerage and Advisory Services Limited	Associate
517.	Slater, Sue-Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
518.	Smith, Cortlyn	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
519.	Smith, Kamlis	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
520.	Somair, Sean Gregory	RBC Investment Management (Caribbean) Limited	Advising and Brokering
521.	Sookdeo, Avind	JMMB Investments (Trinidad and Tobago) Limited	Associate

REGISTERED REPRESENTATIVES			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
522.	Sookdeo, Natasha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
523.	Sookdeo, Shivanand	Republic Bank Limited	Advising, Brokering and Underwriting
524.	Sookhan, Sheba	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
525.	Sookoo, Anganie	JMMB Investments (Trinidad and Tobago) Limited	Brokering
526.	Sookoo, Anganie	JMMB Securities (T&T) Limited	Brokering
527.	Sookoo, Jennifer	JMMB Investments (Trinidad and Tobago) Limited	Advising
528.	Sookoo, Neisha	Scotiabank Trinidad and Tobago Limited	Brokering
529.	Sookram-Bridgelal, Nadia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
530.	St. Clair, Dirk	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
531.	Stafford, Terri	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
532.	Stanford, Dahlia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
533.	Stephen, Chrissie	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
534.	Stone, Frank	Murphy Clarke Financial Limited	Associate
535.	Sudama, Neeta	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
536.	Sui, Peter	Guardian Asset Management and Investment Services Limited	Associate
537.	Sukhu, Rochelle	First Citizens Bank Limited	Advising
538.	Sund-Olton, Angelique	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
539.	Sutherland, Damian	First Citizens Bank Limited	Brokering
540.	Sylvester, Kristopher	Guardian Asset Management and Investment Services Limited	Associate
541.	Syne, Sacha	First Citizens Bank Limited	Brokering and Underwriting
542.	Tannis, Rudy-Ann	First Citizens Bank Limited	Brokering
543.	Thomas, Alvin	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
544.	Thomas, Gary	Bourse Securities Limited	Advising and Brokering
545.	Thomas, Marquis	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering

REGISTERED REPRESENTATIVES			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
546.	Thomas, Reanna	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
547.	Thomas, Rowland Marc	Guardian Asset Management and Investment Services Limited	Advising, Brokering and Underwriting
548.	Thomas, Shantelle	Scotiabank Trinidad and Tobago Limited	Associate
549.	Thompson, Cedric	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
550.	Thompson, Shauna	West Indies Stockbrokers Limited	Associate
551.	Tirbany, Lena	First Citizens Bank Limited	Brokering
552.	Tittil, Daniel	Admiral Limited	Advising and Brokering
553.	Titus, Heather	CIBC Caribbean Bank (Trinidad and Tobago) Limited	Brokering and Underwriting
554.	Tom Yew, Brad	Republic Wealth Management Limited	Advising and Brokering
555.	Tom Yew, Brad	Republic Bank Limited	Advising, Brokering and Underwriting
556.	Tom Yew, Katrine	Guardian Asset Management and Investment Services Limited	Advising and Brokering
557.	Toney-Gooding, Marcia	First Citizens Depository Services Limited	Brokering
558.	Toolsee, Jayde	Bourse Brokers Limited	Advising
559.	Trestrail, Robert	Sagicor Life Incorporated	Brokering
560.	Valentine, Selene	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
561.	Valley, Kerwyn	KCL Capital Market Brokers Limited	Advising, Brokering and Underwriting
562.	Valley, Kerwyn	Aspire Fund Management Company Limited	Advising
563.	van der Vaart, Hubertus Jan	SEAF Caribbean Management LLC	Advising
564.	Vieira, Lisa	SHBL Investments Company Limited	Advising
565.	Vincent, Trisha	First Citizens Investment Services Limited	Advising
566.	Walcott, Chelsey	CIBC Caribbean Bank (Trinidad and Tobago) Limited	Advising
567.	Walker, Laurette	Trinidad and Tobago Mortgage Bank Limited	Advising, Brokering and Underwriting
568.	Walker-Huggins, Tricia	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
569.	Wallace, Wilfred Gregory	Mondial (Trinidad) Limited	Advising

REGISTERED REPRESENTATIVES			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
570.	Webster, Natalie	Republic Bank Limited	Advising, Brokering and Underwriting
571.	Webster-Villafana, Akisha	Trinidad and Tobago Unit Trust Corporation	Associate
572.	Welch, Luke	Sheppard Securities Limited	Associate
573.	Wells-Fraser, Bernadette	JMMB Securities (T&T) Limited	Associate
574.	Westfield, Vanessa	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
575.	Whiskey, Tennille	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
576.	Whiteman, Sharon	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
577.	Williams, Aldon Philip	Trinidad and Tobago Unit Trust Corporation	Advising
578.	Williams, Beverly	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
579.	Williams, Marlon	First Citizens Bank Limited	Associate
580.	Williams, Reynold	Ansa Merchant Bank Limited	Advising and Brokering
581.	Williams-Samlal, Kerry Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
582.	Wilson-Mano, Linda	Trinidad and Tobago Unit Trust Corporation	Advising
583.	Wright, Christopher	Ansa Merchant Bank Limited	Advising
584.	Wright, Christopher	ANSA Wealth Management Limited	Advising
585.	Yearwood, Patricia	First Citizens Bank Limited	Brokering
586.	Young, Angus Peter	NCB Merchant Bank (Trinidad and Tobago) Limited	Advising, Brokering and Underwriting
587.	Youssef, Daniel	First Citizens Investment Services Limited	Advising and Underwriting
588.	Zakour, Georgina	NCB Merchant Bank (Trinidad and Tobago) Limited	Associate

SPONSORED BROKER-DEALERS		
	NAME OF SPONSORED BROKER-DEALER	ASSOCIATED BROKER-DEALER
1.	Cusimano, Paul	Scotia Investments (Trinidad and Tobago) Limited
2.	Guedez, Arnaldo Jose	Scotia Investments (Trinidad and Tobago) Limited
3.	Juarez, Yuly	Scotia Investments (Trinidad and Tobago) Limited
4.	Stollmeyer, Scott	Scotia Investments (Trinidad and Tobago) Limited
5.	Vandewater, Thomas	Scotia Investments (Trinidad and Tobago) Limited

6.	Ylagan, Carlo	Scotia Investments (Trinidad and Tobago) Limited
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REPORTING ISSUERS

1.	A.S. Bryden & Sons Holdings Limited
2.	Add Venture Capital Fund Limited
3.	Agostini Limited
4.	Airports Authority of Trinidad and Tobago
5.	Angostura Holdings Limited
6.	ANSA McAL Limited
7.	Ansa Merchant Bank Limited
8.	Ansa Money Market Funds
9.	BWIA West Indies Airways Limited
10.	Capital Markets Elite Group (Trinidad and Tobago) Limited
11.	CIBC Caribbean Bank Limited
12.	CinemaONE Limited
13.	Citibank (Trinidad and Tobago) Limited
14.	Citicorp Merchant Bank Limited
15.	Dynamic Equity Fund II Limited
16.	Education Facilities Company Limited
17.	Endeavour Holdings Limited
18.	Eppley Caribbean Property Fund Limited SCC
19.	Eric Solis Marketing Limited
20.	First Citizens Bank Limited
21.	First Citizens Depository Services Limited
22.	First Citizens Group Financial Holdings Limited
23.	First Citizens Investment Services Limited
24.	First Money Market Fund
25.	Flavorite Foods Limited
26.	FNCU Venture Capital Company Limited
27.	Furness Trinidad Limited
28.	GraceKennedy Limited
29.	Guardian Asset Management and Investment Services Limited
30.	Guardian Caribbean Equity Fund
31.	Guardian Fixed Income Fund
32.	Guardian Holdings Limited
33.	Guardian Media Limited
34.	JMMB Bank (T&T) Limited
35.	JMMB Group Limited
36.	JMMB Life Goal Funds S2
37.	L.J. Williams Limited
38.	Mack Investment Business Corporation Limited
39.	Maritime Group of Mutual Funds
40.	Massy Holdings Limited
41.	Medcorp Limited
42.	Mora Ven Holdings Limited
43.	Mortgage Participation Fund

REPORTING ISSUERS

44.	MPC Caribbean Clean Energy Limited
45.	National Enterprises Limited
46.	National Flour Mills Limited
47.	National Infrastructure Development Company Limited
48.	National Insurance Property Development Company Limited
49.	National Investment Fund Holding Company Limited
50.	National Maintenance Training and Security Company Limited
51.	NCB Financial Group Limited
52.	Nestle Trinidad and Tobago Limited
53.	One Caribbean Media Limited
54.	Point Lisas Industrial Port Development Corporation Limited
55.	Port Authority of Trinidad and Tobago
56.	Prestige Holdings Limited
57.	Public Transport Service Corporation
58.	RBC Merchant Bank (Caribbean) Limited
59.	RBC Royal Bank (Trinidad and Tobago) Limited
60.	Republic Bank Limited
61.	Republic Financial Holdings Limited
62.	Republic TT\$ Fixed Income Securities Fund
63.	RGM Property Fund
64.	Royal Bank of Canada
65.	Sagicor Financial Company Limited
66.	Sagicor Funds Incorporated
67.	Sagicor Go-Mutual Funds
68.	Samaan Tree Fund
69.	Savinvest India Asia Fund
70.	Scotia Canadian Equity Fund
71.	Scotia Caribbean Income Fund Inc.
72.	Scotia Global Equity Fund
73.	Scotia Money Market Fund
74.	Scotia Trinidad and Tobago Fixed Income Fund
75.	Scotia Trinidad and Tobago Growth and Income Fund
76.	Scotia Trinidad and Tobago Short Term Income Fund
77.	Scotia US Dollar Bond Fund
78.	Scotia US Equity Fund
79.	Scotiabank Trinidad and Tobago Limited
80.	Seprod Limited
81.	St. Christopher Air and Sea Ports Authority
82.	St. Kitts Urban Development Corporation Limited
83.	Telecommunications Services of Trinidad and Tobago Limited
84.	The Home Mortgage Bank
85.	The Sports Company of Trinidad and Tobago Limited
86.	The West Indian Tobacco Company Limited
87.	Tourism & Industrial Development Company of Trinidad & Tobago Limited
88.	Trinidad and Tobago Housing Development Corporation

REPORTING ISSUERS

89.	Trinidad and Tobago Mortgage Bank Limited
90.	Trinidad and Tobago NGL Limited
91.	Trinidad and Tobago Unit Trust Corporation
92.	Trinidad Cement Limited
93.	Unilever Caribbean Limited
94.	Urban Development Corporation of Trinidad & Tobago Limited
95.	UTC (Cayman) SPC Ltd
96.	Utmost International Isle of Man Limited
97.	Water & Sewerage Authority

SELF-REGULATORY ORGANISATIONS

1.	The Trinidad and Tobago Central Depository Limited
2.	The Trinidad and Tobago Stock Exchange Limited

RESPONSIBLE PERSONS

1.	First Citizens Trustee Services Limited
2.	Guardian Group Trust Limited
3.	RBC Trust (Trinidad & Tobago) Limited
4.	Scotiabank Trinidad and Tobago Limited
5.	Trinidad and Tobago Unit Trust Corporation

CUSTODIANS

1.	Ansa Merchant Bank Limited
2.	First Citizens Depository Services Limited
3.	Guardian Group Trust Limited
4.	RBC Trust (Trinidad & Tobago) Limited
5.	Scotiabank Trinidad and Tobago Limited