



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of Section 40 of the Securities Act, 2012

AND

In the Matter of an Application by the Trinidad and Tobago Stock Exchange Limited to amend Rule 400(2)(i)(c) [Special Market Listing Requirements in respect of the Small and Medium Enterprise Market] of the Trinidad and Tobago Stock Exchange Limited Rules and to amend Rule 224 [Trading Sessions] of the Trinidad and Tobago Stock Exchange Limited Rules

TAKE NOTICE that the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) will convene a virtual Hearing to consider:

- an application by the Trinidad and Tobago Stock Exchange Limited (“the TTSE”) to amend Rule 400(2)(i)(c) [Special Market Listing Requirements in respect of the Small and Medium Enterprise Market] of the TTSE Rules in accordance with Section 40 of the Securities Act, 2012; and
- an application by the TTSE to amend Rule 224 [Trading Sessions] of the TTSE Rules in accordance with Section 40 of the Securities Act, 2012.

The complete Statements of Substance and Purpose can be accessed via the Commission’s website www.ttsec.org.tt at the following links:

<https://www.ttsec.org.tt/wp-content/uploads/2025/11/Statement-of-Substance-and-Purpose-SOSP-TTSE-Rule-4002ic.pdf>

<https://www.ttsec.org.tt/wp-content/uploads/2025/04/Statement-of-Substance-and-Purpose-SOSP-TTSE-Rule-224-Trading-Sessions.pdf>

AND TAKE NOTICE that:

1. This Hearing will be an expedited Hearing pursuant to Rule 11 of the Securities Industry (Hearings and Settlements) Practice Rules, 2008 and will be held via a **virtual meeting platform** at **10:00 a.m. on Tuesday 30th June 2026**.
2. Interested parties who wish to be heard at the Hearing, may appear virtually either in person or be represented by Counsel. Such parties are requested to make written submissions addressed to the Commission’s Corporate Secretary and submitted via email to hearings@ttsec.org.tt **no later than 4:00 p.m. on Tuesday 23rd June 2026**.
3. Members of the public wishing to attend the Hearing should confirm their attendance, provide the name(s) of any representative(s) and indicate that they are attending as a member of the public which is all to be submitted via email to hearings@ttsec.org.tt **no later than 4:00 p.m. on Tuesday 23rd June 2026**.

All communication concerning this Hearing must be directed to the Corporate Secretariat via email to hearings@ttsec.org.tt.

Dated June 16th, 2026

BY ORDER OF THE COMMISSION