

MEDIA RELEASE
(For Immediate Release)



Trinidad and Tobago
Securities and Exchange Commission
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TTSEC Strengthens SME Capital Market Awareness at TIC 2026 Business Seminar Series

Port of Spain---- The Trinidad and Tobago Securities and Exchange Commission (TTSEC) successfully participated in the Trade and Investment Convention (TIC) 2026 Business Seminar Series on 9 and 10 July 2026, delivering two investor education seminars aimed at helping small and medium-sized enterprises (SMEs) better understand the opportunities available through Trinidad and Tobago's capital market.

The seminars, entitled "**Accessing Capital Markets: The SME Path to Listing,**" formed part of the Commission's ongoing investor education programme and were conducted in collaboration with the Trinidad and Tobago Stock Exchange Limited (TTSE).

As the regulator of the securities industry, the TTSEC continues to fulfil its mandate under Section 6(g) of the Securities Act, Chapter 83:02, to educate the public on the securities industry and the benefits, risks and responsibilities associated with investing. The initiative also supports the Commission's Strategic Plan – 2025 to 2028, top priorities to *Empower and Protect Investors and Deepen Stakeholder Engagement and Strategic Partnerships*, while aligning with the International Organization of Securities Commissions (IOSCO) Investor Education Principles and Best Practices.

TIC, the Caribbean's premier trade and investment event, provided the ideal platform to engage entrepreneurs, SMEs, start-ups, investors and business leaders on the important role that capital markets can play in business growth and economic development.

During the seminars, participants were exposed to practical guidance on:

- The role and functions of the TTSEC;
- Compliance obligations under securities legislation when raising capital;
- Investment products, including stocks, bonds, and mutual funds;
- Details on the SME pathway to listing on the Trinidad and Tobago Stock Exchange; and
- Protecting businesses and investors from investment fraud and financial scams.

A Presentation was delivered by Ms. Natasha Bhola, Marketing and Communications Manager at the Trinidad and Tobago Stock Exchange Limited, who provided valuable insights into the listing process and opportunities available to SMEs seeking to access capital through the local stock market. Representing the TTSEC were Ms. Charissa Blackburn-Grant, Senior Financial Research Officer, Disclosure, Registration and Corporate Finance Division, who addressed regulatory and compliance considerations for capital raising, and Senior Communications Officers Ms. Natalie Millington-Walters and Ms. Rachael Rampersad,

who shared practical guidance on investor protection, recognising investment fraud and how to make a complaint.

The interactive sessions encouraged meaningful dialogue between presenters and participants alike, with attendees exploring the regulatory framework governing capital raising, the benefits of accessing the capital market, and the importance of making informed investment and business financing decisions.

The Commission extends its appreciation to the Trinidad and Tobago Manufacturers' Association (TTMA), organisers of TIC 2026, for the opportunity to contribute to the Business Seminar Series, and to the Trinidad and Tobago Stock Exchange Limited for its valued partnership in delivering this important investor education initiative.

The Commission remains committed to building an informed investing public, supporting responsible capital formation, and fostering confidence, transparency and integrity within Trinidad and Tobago's securities market, for a financially resilient and empowered society.

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About the TTSEC: The TTSEC is governed by the Securities Act Chapter 83:02 and is responsible for overseeing the securities market and ensuring that fairness, equity and transparency remain common values in the marketplace. Our vision is to be an innovative regulator of a thriving securities market, enabling economic development for the benefit of all.

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