

THE TRINIDAD AND TOBAGO SECURITIES AND **EXCHANGE COMMISSION**

LIST OF REGISTRANTS AS AT 31ST MARCH, 2019

Commission") is responsible for ensuring that the securities industry operates in a fair, transparent and efficient manner in order to The Commission regulates the securities market by registering all • Broker-Dealer

securities that are distributed to the public, all issuers of those securities, A firm acting as an intermediary between a buyer and a seller of and market intermediaries directly involved in the issuance, offering and securities, usually for a fee or a commission. When acting as a broker, a sale of those securities e.g. Broker-Dealers, Investment Advisers, Broker-Dealer executes orders on behalf of his/her client. When acting as Underwriters, and Self-Regulatory Organizations. Certain employees or a dealer, a Broker-Dealer executes trades for his/her firm's own account officers of Broker-Dealers Investment Advisers and Underwriters are also required to be registered with the Commission as Registered • Investment Adviser Representatives.

INVESTORS? Registration requires all registrants (issuers of securities as well as market An employee or officer of a registered Broker-Dealer, Investment Adviser

HOW DOES REGISTERING WITH THE COMMISSION PROTECT

regarding the registrant itself (such as background information on its operations, management and financial position) as well as the securities • Reporting Issue being distributed. The Commission ensures that the information A corporation that has issued or proposes to distribute securities to the meets the requirements of the law and is available to the public. Firms and individuals are registered by category - each registration

that the companies are genuine entities with a registered office, enforce industry regulations and standards. The priority is to protect staffed by qualified professionals who possess the knowledge, skills investors through the establishment of rules that promote ethics and and experience to conduct the class of business for which the equality companies are being registered. WHAT ARE THE QUALIFICATIONS FOR REGISTRATION?

applicant is fit and proper, meets the requirement of the law with respect Broker-Dealer who sponsors his/her registration with the Commission. A to capital and educational qualifications, and discloses any information. Sponsored Broker-Dealer that is registered with the Commission can

that may affect the conduct and integrity of his/her business. This conduct securities business in Trinidad and Tobago for a maximum of 90 information is also available for public viewing at the Commission. However, the provision of information does not end after the initial • Underwrite registration. Registrants are required to continuously provide the A company that arranges for the issuance or distribution of securities Commission, as well as investors, with information inclusive of and/or agrees to purchase any unsold securities thereby guaranteeing

changes which may positively or negatively impact the performance full subscription of their business and the securities available to investors. IS REGISTRATION AN ENDORSEMENT? While we advise investors to deal only with registrants that are registered **Broker-Dealers, Investment Advisers, Underwriters, Self-Regulatory** with the Commission, registration with the Commission is NOT an Organizations and Reporting Issuers as at March 31st, 2019 as

provides neither guarantee nor opinion on the performance of a

Always remember, even though you are dealing with someone who Tobago Securities and Exchange Commission, at (868) 624-2991, or visit is registered with the Commission, you should evaluate the our website at www.ttsec.org.tt

RBC Merchant Bank (Caribbean) Limited SPONSORED BROKER-DEALERS

The Trinidad and Tobago Central Depository Limited

UNDERWRITER

ASSOCIATED BROKER-DEALER,

Scotiabank Trinidad and Tobago Limited

Aspire Fund Management Company Limited

JMMB Investments (Trinidad and Tobago) Limited

First Citizens Portfolio and Investment Management

SHBL Investments Company Limited

CL Capital Market Brokers Limited

Republic Securities Limited

First Citizens Bank Limited

West Indies Stockbrokers Limited

Scotiahank Trinidad and Tobago Limited

Scotiabank Trinidad and Tobago Limited

Maritime Capital Limited

Services Limited

INVESTMENT ADVISER, OR

The Trinidad and Tobago Stock Exchange Limited

NAME OF SPONSORED

Cusimano, Paul

Stollmever, Scott

Juarez, Yulv

George, Christophe

Vandewater, Thomas

SPONSORED INVESTMENT ADVISERS

Cugliari, Fernando

SELF-REGULATORY ORGANISATIONS

REGISTERED REPRESENTATIVES

Achaiba, Anastaci

Akan, Myrnelle

Alexander, Liesel

Alexander, Liesel

Ali Amanda

Ali. Christopher

Ali, Salahudeen

Ali, Shan

Ali. Tennisha

Alexander, Lisa Mari

NAME OF REGISTERED

REPRESENTATIVE

NAME OF SPONSORED

INVESTMENT ADVISER

independent financial advice before making an investment decision. **DEFINITION OF TERMS**

The Trinidad and Tobago Securities and Exchange Commission ("the registrant and the securities being offered and if necessary, seek

An individual or firm that advises clients on investment in, or the

purchase or sale of securities on a professional basis.

Registered Representative

intermediaries such as Broker-Dealers, Investment Advisers, and or Underwriter who liaises with members of the public on behalf of their **Underwriters)** to provide the Commission with pertinent information employers further to the offering, distribution or sale of securities

public and is subject to the continuous disclosure requirements of the

category attracts different educational, experience, and financial •Self-Regulatory Organization requirements, and permits different activities. The Commission ensures A non-governmental organization that has the power to create and

 Sponsored Broker-Dealer An individual who is employed by a Brokerage firm from a foreign Registration will not be granted unless the Commission is satisfied that an iurisdiction. This individual aligns himself/herself with a local

days in a calendar year.

In accordance with the requirements of Section 52(8) of the Securities Act, 2012, the Commission has provided a listing of its

endorsement of the registrant or of any security. The Commission ndicated on the following pages. For additional information, you are invited to contact, the Trinidad and

BROKER-DEALER ASSOCIATED BROKER-DEALER

Scotia Investments (Trinidad and Tobago) Limited

FirstCaribbean International Bank (Trinidad and Tobago) Limited

TYPE OF REGISTERED

Advising and Brokering

Advising and Brokering

Associate

Brokering

Brokering

Advising

Advising, Brokering and Underwriting

Advising

ASSOCIATED INVESTMENT ADVISER

Allevne, Candice Allevne, Harold Antoine, Ru-Ann

Aparicio-Owen, Talia

Armoogan, Andrea

Ashby, Lyndelle

Austin Marsha

Avev. Richard

Balgobin, Rober

Balroop, Meera

Baptiste, Jason

Basdeo, Roshan

Beharry, Amanda

Bharath, Renata

Bissessar, Avinash

Bleasdell, Jason

Boissiere, Andrew

Boneo, Matthew

42 Rorde-Ferreira Sahrina

Bridglal, Anuradha

43 Bosland, Dirk

46 Carter, Ronald

Boodram, Rodney

Bobb, Sheldon

Boca, Silvine

Beharrylal, Roshin

Bell-Smythe, Nichola

21 Awai, Gary

22 Ayin, Graemo

23 Babb, Tammie

24 Bain, Asha

- Ansa Merchant Bank Limited
- Ansa Securities Limited
- Rourse Brokers Limited **Bourse Securities Limited**
- Capital Markets Flite Group Limited Caribbean Stockbrokers Limited
- Development Finance Limited First Citizens Bank Limited First Citizens Brokerage and Advisory Services Limited
- First Citizens Depository Services Limited
- 14 FirstCaribbean International Bank (Trinidad & Tobago) Limited Firstline Securities Limited Guardian Asset Management and Investment Services Limited
- Guardian Group Trust Limited 18 IMMR Bank (T&T) Limited
- 19 JMMB Investments (Trinidad and Tobago) Limited JMMB Securities (T&T) Limited KCL Capital Market Brokers Limited
- KSBM Asset Management Limited Maritime Capital Limited Murphy Clarke Financial Limited
- NCB Global Finance Limited RBC Investment Management (Caribbean) Limited
- Republic Bank Limited 28 Republic Securities Limited
- 29 Sagicor Life Incorporated Scotia Investments (Trinidad and Tohago) Limited
- Scotiabank Trinidad and Tobago Limited Sheppard Securities Limited
- The Home Mortgage Bank Trinidad and Tobago Unit Trust Corporation
- West Indies Stockbrokers Limited INVESTMENT ADVISERS Aspire Fund Management Company Limited

Burris, Stepher Clewett, Nigel FCL Financial Limited First Citizens Portfolio and Investment Management Services Limited

First Citizens Investment Services Limited

Scotiabank Trinidad and Tobago Limited

Scotiabank Trinidad and Tobago Limited

Scotiahank Trinidad and Tohago Limited

Guardian Life of the Caribbean Limited

Guardian Asset Management and Investment

Guardian Asset Management and Investment

KCL Capital Market Brokers Limited

Development Finance Limited

KSBM Asset Management Limited

West Indies Stockbrokers Limited

Citicorp Merchant Bank Limited

IMMR Securities (T&T) Limited

Development Finance Limited

Rourse Brokers Limited

Services Limited

First Citizens Bank Limited

Sagicor Life Incorporated

JMMB Bank (T&T) Limited

West Indies Stockbrokers Limited

KCL Capital Market Brokers Limited

Scotiabank Trinidad and Tobago Limited

Scotiabank Trinidad and Tobago Limited

RBC Merchant Bank (Caribbean) Limited

Scotiabank Trinidad and Tobago Limited

First Citizens Depository Services Limited

Guardian Asset Management and Investmen

RRC Investment Management (Caribbean) Limited

JMMB Investments (Trinidad and Tobago) Limited

Scotiabank Trinidad and Tobago Limited

First Citizens Investment Services Limited

Scotiabank Trinidad and Tobago Limited

Services Limited

Services Limited

FCL Financial Limited

- Guardian Life of the Caribbean Limited Infinity Financial Engineering Limited Marguis Portfolio Managers Limited Mondial (Trinidad) Limited
- SEAF Caribbean Management LLC SHBL Investments Company Limited 13 Waterloo Capital Advisors Limited

Republic Wealth Management Limited

Scotiabank Trinidad and Tobago Limited Caribbean Stockbrokers Limited Advising, Brokering and Underwriting

Advisina

Associate

Advisina

Advising

Advisina

Associate

Brokering

Brokerina

Associate

Advisina

Associate

Associate

Associate

Associate

Associate

Underwriting

Associate

Brokering

Advisina

Advising and Brokering

Advising and Brokering

Advising and Brokering

Advising and Brokering

Brokering and Underwriting

Advising, Brokering and Underwriting

Advising and Brokering

Advising and Brokering

Advising, Brokering and

Advising and Brokering

Advising and Brokering

Admiral Limited

- Citicorp Merchant Bank Limited

Carter, Ronald

Carter, Tracy

Cassim, Marc

Chamely, Anna Chang, Andrew

Chen, Nicholas

Chin, lan

Clarke, Andre

Clarke, Leslie

Clifton, R. Emile

Darbasie, Karen

Dindial, Leanna

Dookie, Dave

Fligon Michelle

Evans Richard

Eve, Patricia Albe

Fadahunsi, Lai

Ferreira, Giselle

Ford, Brent

Ford, Brent

Frazer, Brian

Gaiadhar, Kerr

Gill, Sherwin M.

Grell, Stenhen

Gatt. Gordon Anthon

Hackett-Murray, Desiree

Hamel-Smith, Alival

Hepburn, Karrian

Hernandez Alicia

100 Hernandez, Jody 101 Hill, Gregory

02 Hill, Gregory

104 Hinkson, Duane

105 Holder, Omar 106 Hosein, Narisa

08 Howell Brendon

109 Hunte, Ricardo

11 Jackman, Ihsan 12 Jackman, Janice

3 Jadoo, Vishal

15 James, Carolyn 16 John, Trever

17 Johnson, Alvin

18 Joseph, Kyle

3 Kelshall Carla

26 King, Dalia Patrice

27 King, Keith Michael

29 King, Maxine Cheryl

30 Kisto, Kurt Mukesh Anthor

1 Klien, Ray Tristyn Burt

32 Lakhan, Jagdesh

34 Lambert, Daniel

36 Leander Yeates, Lisa

40 Lewis, Phillip Andrew

33 Lalla, Jeremy

38 Leons, Keron

25 King, Adah

28 King, Mary

1 Jagbirsingh, Candace

Kalideen, Don-Christia

10 Israel, Stacy

Donaldson-Jeffrey Amri

Crooks, Victoria Marie

Daljitsingh, Sasheka

Coonai. Rvan

Chin Wing, Deneika

Clarke, Christophe

Clarke, John Peter

Carvalho Ashley

rst Citizens Bank Limited De Gale-Harry lieoma idad and Tohago Unit Trust Cornoration quis Portfolio Managers Limited Des Vignes, Michelle L Capital Market Brokers Limited dad and Tobago Unit Trust Corpo ardian Asset Management and Investm

MMB Securities (T&T) Limited

stline Securities Limited

t Citizens Bank Limited

sa Merchant Bank Limited

irphy Clarke Financial Limited

est Indies Stockbrokers Limited

EAF Caribbean Management LLC

rdian Asset Management and

pital Markets Elite Group Limited

HBL Investments Company Limited

MMB Investments (Trinidad and Tobago)

ardian Asset Management and Inves

nidad and Tobago Unit Trust Corporation

otia Investments (Trinidad and Tohago)

nidad and Tobago Unit Trust Corporation

inidad and Tobago Unit Trust Corporation

nidad and Tobago Unit Trust Corporation

nidad and Tobago Unit Trust Corporation

iardian Asset Management and Investm

ritime Capital Limited

ardian Group Trust Limited

aribbean Stockbrokers Limited

nnard Securities Limited

corp Merchant Bank Limited

stline Securities Limited

nsa Merchant Bank Limited

1 Capital Market Brokers Limited

miral Limited

ices Limited

vices Limited

oublic Bank Limited

rdian Asset Managen

ourse Securities Limited

irst Citizens Bank Limited

aribbean Stockbrokers Limited

SBM Asset Management Limited

ardian Asset Management and

stment Services Limited

iabank Trinidad and Tobago Limited

tiabank Trinidad and Tobago Limited

iabank Trinidad and Tobago Limited

public Wealth Management Limited

CL Capital Market Brokers Limited

rstline Securities Limited

ondial (Trinidad) Limited

stline Securities Limited

public Bank Limited

T Financial Limited

Lum Sang-De Silva, Gina RBC Investment Management (Caribbean)

FAF Caribbean Management LLC

erloo Capital Advisors Limited

ardian Asset Management and

estment Services Limited

public Securities Limited

pard Securities Limited

rst Citizens Investment Services Limited

otiahank Trinidad and Tohago Limited

sa Merchant Bank Limited

vices Limited

nidad and Tohago Unit Trust Cornoration

eppard Securities Limited

stment Services Limited

nsa Securities Limited

nublic Rank Limited

ardian Asset Management and

tiabank Trinidad and Tobago Limited

MMB Investments (Trinidad and Tobago)

rst Citizens Investment Services Limited

idad and Tohago Unit Trust Cornoration

idad and Tobago Unit Trust Corporation

irst Citizens Portfolio and Investment nnisivhA erloo Capital Advisors Limited tiabank Trinidad and Tobago Limited

Advising

ssociate

Advisina

rokering and

Advising and Brokering

dvising and Brokering

vising and Brokering

vising and Brokerin

dvising and Brokering

dvising, Brokering and

vising and Brokering

dvising and Brokering lvising and Brokering Advisina dvising and Brokering

sociate

dvisina

derwriting

ising and Brokerin

vising and Brokering

vising and Brokeri

Advising, Brokering and

dvising and Brokering

dvising dvising, Brokering and

Advising, Brokering and

Advising, Brokering and

dvising, Brokering and

Advising, Brokering and

Advising, Brokering and

Advising and Brokering

Advising and Brokering

vising, Brokering and

sociate

ssociate

sociate

Advisina

Advisina

Brokering and

Moore, Nichelle Mungal, Denise Advising, Brokering and Underwriting Advising and Brokering

Murrell, Nicole

Mac Ouan, Keisha

I Maharai Gannadai

6 Maharai, Melissa-Jo

Maharaj, Laura

Maharai Vaughn

Maiid, Fareesha

Maraih, Adita

Mark, Sekou

Martinez, Migue

McKell, Romesh

Mohammed Amani

Mohammed, Nisha

Mohammed, Salisha

Mongroo, Nirmala

nmohan Adriar

Mansoor Natalie Ma

Manohar, Seema

st Citizens Investment Services Limited

ardian Asset Management and

cotiahank Trinidad and Tohago Limited

Scotiabank Trinidad and Tobago Limited

irst Citizens Portfolio and Investment

anagement Services Limited

urphy Clarke Financial Limite

Nurphy Clarke Financial Limited

est Indies Stockhrokers Limited

Guardian Asset Management and

Capital Markets Elite Group Limited

iticorp Merchant Bank Limited

SEAF Caribbean Management LLC

irstCaribbean International Bank

Guardian Asset Management and

First Citizens Brokerage and Advisory

irst Citizens Portfolio and Investment

rst Citizens Brokerage and Advisory

ardian Asset Management and

ment Services Limited

ardian Asset Management and

ardian Asset Management and

tment Services Limited

stment Services Limited

KCL Capital Market Brokers Limited

Citicorp Merchant Bank Limited

heppard Securities Limited

NCB Global Finance Limited

otiabank Trinidad and Tobago Limited

RBC Investment Management (Caribbean

tment Services Limited

nagement Services Limited

CL Capital Market Brokers Limited

vices Limited

nidad & Tobago) Limited

erloo Capital Advisors Limited

RBC Investment Management (Caribbean

nidad and Tobago Unit Trust Corporation

irst Citizens Investment Services Limited

irst Citizens Investment Services Limited

iabank Trinidad and Tobago Limited

otia Investments (Trinidad and Tobago)

nennard Securities Limited

ourse Brokers Limited

iabank Trinidad and Tobago Limite

Associate

Associate

Advising

Advising and Brokering

Advising and Brokering

Advising and Brokering

Advising and Brokering

Underwriting

Brokering and

Brokerina

ssociate

Associate

Associate

Associate

Associate

Associate

Associate

Associate

Advising and Brokering

dvising and Brokering

Advising and Brokering

Advising, Brokering and

\dvising and Broke

ment Services Limited

Muzaffarr Rihi Ru Jarine Kavita O'Neil Gooden, Steve Pagee, Gillian Pardais, Maria Pascal Anand

vierre Cyprian lan 1 Oxley-Fullerton, Judy First Citizens Depository Services Limited West Indies Stockbrokers Limited Patrick, Cole emberton, Tiffany Pereira, Marc Richard erez. Ádrian Persad, Brad

First Citizens Investment Services Limited First Citizens Portfolio and Investment Advisina ement Services Limited Associate erloo Capital Advisors Limited rardian Life of the Caribbean Limited Advisina tline Securities Limited onment Finance Limited hennard Securities Limited dian Group Trust Limite MMR Rank (T&T) Limited cotiabank Trinidad and Tobago Limited Sagicor Life Incorporated ardian Group Trust Limited tiabank Trinidad and Tobago Limited

inidad and Tobago Unit Trust Corporatio

iabank Trinidad and Tobago Limited

rst Citizens Investment Services Limited

finity Financial Engineering Limited

otiabank Trinidad and Tobago Limited

MMB Investments (Trinidad and Tobago)

cotiabank Trinidad and Tobago Limited

st Citizens Investment Services Limited

JMMB Investments (Trinidad and Tobago)

finity Financial Engineering Jardian Group Trust Limited

ourse Securities Limited

ourse Securities Limited

ourse Brokers Limited

nublic Bank Limited

First Citizens Bank Limited

ribbean Stockbrokers Li

uardian Asset Management and

stment Services Limited

Nest Indies Stockbrokers Limited

ardian Asset Management and

neppard Securities Limited

estment Services Limited

First Citizens Bank Limited

Admiral Limited

Advising, Brokering and Associate Advising Advising, Brokering and Advising, Brokering and Advising and Brokering 286 Youseff Danie Advising, Brokering and REPORTING ISSUERS okerina ssociate Advising and Brokering Advising, Brokering and ssociate Associate

inidad and Tobago Unit Trust Corporation Saunders, Sean nidad and Tobago Unit Trust Corporation Seebrath, Shawnee ardian Asset Management and ovestment Services Limited cotiabank Trinidad and Tobago Limited irst Citizens Rank Limited Seepersad, Bliss Seepersadsingh, Sh Shennard Alan Michael Sheppard, George Day Somair, Sean Gregor 252 Sooknanan, Rish 254 Sookoo, Anganie 256 Stewart, Samantha 258 Sukhu, Rochelle 259 Telesford-Pierre Trudie

233 Salloum Christiane

234 Salvary, Brent

7 Scott, Devson

Seenath, Liz

Seepaul, Diana

247 Silva, Loise

248 Singh, Dianne

250 Singh, Lillian

Thomas, Stepher

Thompson Leah

Titus, Heather

266 Valley, Kerwyn

7 Valley, Kerwyr

Valley, Kieran

272 Verguet, Thomas

Wallace, Wilfred Gred

Wehster-Villafana Aki

Westfield, Vanessa

Whiskey Tennille

Whiteman, Sharor

Wilson-Mano, Lind

Young, Angus Pete

83 Woo. Brian

Wynter, Paul

287 Zakour, Georgina

273 Vieira, Lisa

Valley, Kurt

268 Valley Kevin

Tom Yew, Katrine

ardian Asset Management and estment Services Limited rst Citizens Investment Services Limited cotiabank Trinidad and Tobago Limited ennard Securities Limited eppard Securities Limited ardian Asset Management and cotiabank Trinidad and Tobago Limited irst Citizens Investment Services Limited RBC Investment Management (Caribbean) iticorp Merchant Bank Limited

insa Merchant Bank Limited

hennard Securities Limited

KSBM Asset Management Limited

otia Investments (Trinidad and Tobago)

irct Citizans Invactment Services Limited

JMMB Securities (T&T) Limited Brokering MMB Investments (Trinidad and Tobago) heppard Securities Limited irst Citizens Brokerage and Advisory Service KCL Canital Market Brokers Limited estment Services Limited irst Citizens Investment Services Limited hennard Securities Limited

irstCaribbean International Rank

Jardian Asset Management and

KCL Capital Market Brokers Limited

KCL Capital Market Brokers Limited

SEAF Caribbean Management LLC

SHBI Investments Company Limited

irstline Securities Limited

Mondial (Trinidad) Limited

irst Citizens Bank Limited

NCB Global Finance Limited

estment Services Limited

inidad & Tobago) Limited

estment Services Limited

kerina ering and derwriting vising and Brokering ising. Brokering and Aspire Fund Management Company Limite irst Citizens Denository Services Limited

rising, Brokering and

ising and Brokering

ising and Brokering

sing and Brokering

ising and Brokering

ing and Brokering

ising and Brokering

ring and

rst Citizens Investment Services Limited rinidad and Tobago Unit Trust Cornoration otiabank Trinidad and Tobago Limited ising and Brokering inidad and Tohago Unit Trust Cornoration ing and Brokering inidad and Tobago Unit Trust Corporation sing and Brokering nidad and Tobago Unit Trust Corporation sing and Brokering sing, Brokering and irst Citizens Investment Services Limited

NCR Financial Group Limited Nestle Trinidad and Tobago Limited One Caribbean Media Limited Point Lisas Industrial Port Develonme Corporation Limited Port Authority of Trinidad and Tobago Public Transport Service Corporation RBC Merchant Bank (Caribbean) Lim RBC Royal Bank (Trinidad and Tobago)

Prestige Holdings Limited RRTT Finance Limited Tobago Limited The West Indian Tobacco Company Limited

heppard Securities Limited Company Limited Airports Authority of Trinidad and Tobago Tourism & Industrial Development Company of Trinidad & Tobago Limited Trinidad and Tobago Housing Developmen inidad and Tobago Mortgage Finance rinidad and Tobago NGL Limited Trinidad and Tobago Unit Trust Corporation Trinidad Cement Limited National Infrastructure Development National Insurance Property Developmen

ANSA McAL Limited Ansa Merchant Bank Limited Berger Paints Trinidad Limited BWIA West Indies Airways Limited

Angostura Holdings Limited

Caroni (1975) Limited CIBC Private Client Fund Limited

National Flour Mills Limited

Company Limited

CinemaOne Limited Citibank (Trinidad and Tobago) Limited Citicorp Merchant Bank Limited

Plowden, Charielle sociate Pollard Inc. Duke Capital Markets Flite Group Limited Brokerina Pounder, Giselle he Home Mortgage Bank revatt, Osmond st Citizens Investment Services Limited rince, Jamila inidad and Tohago Unit Trust Corporation Advising and Brokering ment Services Limited vising, Brokering an iardian Asset Management and nderwritina ment Services Limited RC Merchant Rank (Caribbean) Limited nderwritin Ragbir, Sana First Citizens Brokerage and Advisory uardian Asset Management and Rajack, Dereck IMMR Bank (T&T) Limited

6 Raikumar, Keisha

Ramadhar Peter

Ramkhelawan, Sarod

Ramkhelawan, Subha

Ramkhelawan, Subhas

Ramkissoon, Baldath

Ramlakhan, Prakash

mlochan, Gideor

Rampartap, Rondel

Ramsahai, Rickhi

Rochard, Wayne

9 Roopchand, Alicia

Sa Gomes, Chin

Rodrigues, Hermar

msaran, Anrika

nsumair, Shivana

mnath-Singh, Gai

ersad-Sookah

amlal Dereck

08 | Ramdeen, Leon

Persad, Savoi

Phelps, Alesha

Phillips, Joel

Pirali. Aamii

Advising, Brokering and

Advising and Brokering

Brokering and

ssociate

Associate

Advising and Brokering

Advising and Brokering

idvising Idvising, Brokering and Brokerina

ducation Facilities Company Limite Ennley Caribbean Property Fund Limited SCC rst Citizens Bank Limited rst Citizens Depository Services Limite First Citizens Investment Services Limited FirstCaribbean International Bank Limited FNCU Venture Capital Company Limited Fortress Caribbean High Interest Fund ortress Mutual Fund Limited Fortress OAM Overseas Fund Limited Furness Trinidad Limited uardian Asset Management and Investmen Services Limited Guardian Holdings Limited Guardian Media Limited JMMB Bank (T&T) Limited JMMB Group Limited

al Investment Fund Holding Compan

National Maintenance Training and Security

IMMB Life Goal Funds \$2 Massy Holdings Limited Mora Ven Holdings Limited

Republic Bank Limited Republic Financial Holdings Limited epublic TT\$ Fixed Income Securities Fund Royal Bank of Canada Royal Skandia Life Assurance Limited Sagicor Financial Corporation Limited Sagicor Funds Incorporated Scotia Caribbean Income Fund Inc. Scotiabank Short-Term Income Fund Inc. cotiabank Trinidad and Tobago Fixed Incom Scotiabank Trinidad and Tobago Growth Fund cotiabank Trinidad and Tobago Limited St. Christopher Air and Sea Ports Authority St. Kitts Urban Development Corporation The Home Mortgage Bank The Sports Company of Trinidad and Tobaq

nilever Caribbean Limited

Water & Sewerage Authority

Urban Development Corporation of Trinidad 8