



## FORM 10A

### MATERIAL CHANGE REPORT

Mar 03, 2023, 9:53 am

Pursuant to Section 64 of the Securities Act, 2012

1. NAME OF REPORTING ISSUER

Name of Reporting Issuer
CAPITAL MARKETS ELITE GROUP (TRINIDAD & TOBAGO) LIMITED

2. DATE OF MATERIAL CHANGE

Date of material change
JANUARY 06, 2023

3. DESCRIPTION OF MATERIAL CHANGE

Provide a description of the material change
<p>EFFECTIVE JANUARY 06, 2023, CAPITAL MARKETS ELITE GROUP (TRINIDAD &amp; TOBAGO) LTD MADE THE FOLLOWING AMENDMENTS TO THE FEES PAID BY CLIENTS:</p> <ol style="list-style-type: none"><li>1. AMENDMENT TO THE APPLICATION OF TRADE FEES FROM USD \$2.95 PER TRADE TO USD \$2.95 PER EXECUTION. THE COMPANY IS CURRENTLY CHARGED PER EXECUTION BY ITS BROKERS AND HAD PREVIOUSLY ABSORBED THESE COSTS IN ORDER TO MAINTAIN THE \$2.95 PER TRADE FEE PAID BY CLIENTS.</li><li>2. INTRODUCTION OF A STOCK LOAN FEE. WHEN OUR CLIENTS HOLD OVERNIGHT SHORT POSITIONS, THE COMPANY INCURS STOCK LOAN FEES FOR THESE POSITIONS. PREVIOUSLY THE COMPANY ABSORBED THE COST OF THESE FEES, BUT GOING FORWARD THE CUSTOMER WILL BE RESPONSIBLE FOR PAYING THIS FEE.</li></ol> <p>ALL CUSTOMERS WERE NOTIFIED OF THESE AMENDMENTS BY WAY OF AN EMAIL BROADCAST ON JANUARY 06, 2023.</p>

#### 4. DETAILS OF PUBLICATION OF MATERIAL CHANGE

	YES	NO
Will you be seeking an exemption from publishing a notice in accordance with Section 64(2) of the Securities Act 2012?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

If “No”

Date of Publication of Notice (dd/mmm/yyyy)	N/A
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If “Yes”


State the reasons for applying for the exemption
<p>Capital Markets Elite Group (Trinidad and Tobago) Limited is applying for exemption from publication in accordance with Section 64 (2) of the Securities Act 2012, All of our clients were notified of the amendment to the fees charged by way of an email broadcast on January 06, 2023. The vast majority of the company's clients reside outside of Trinidad &amp; Tobago, so this was deemed to be the most efficient way to communicate these changes to our clients. As our clients have already been informed of the amendments to the fees, and given the geographic location of the majority of our clients, we are requesting an exemption from the requirement to publish a notice in the local newspapers.</p>

#### 5. DETAILS OF SENIOR OFFICER

Name (First name, Last name)	DUKE POLLARD
Position in Organization	CHAIRMAN & MANAGING DIRECTOR
Business Address	9TH FLOOR, ALBION PLAZA, 22-24 VICTORIA AVENUE, QUEENS PARK SAVANNAH, PORT OF SPAIN
Work Phone (1-xxx-xxx-xxxx)	1-868-610-2634
Fax Phone(1-xxx-xxx-xxxx)	
Email Address	dpollard@cmelitegroup.com

## 6. DATE, CERTIFICATION AND SIGNATURE

I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material omission of information on this application may result in a breach of the Securities Act, 2012.

<u>DUKE POLLARD</u>		CHAIRMAN & MANAGING DIRECTOR	<u>JANUARY 23, 2023</u>
<b>Print Name</b>	<b>Signature</b>	<b>Position</b>	<b>Date</b>

### FOR OFFICIAL USE ONLY

Tool	ID Information
Registrant's Number	
Director's Number	
Document / Record Number	
Record's Management Date Received (dd/mm/yyyy)	

Approved By : \_\_\_\_\_ Date (DD/MM/YYYY) \_\_\_\_\_