



**FORM 10A**

Mar 03, 2023, 11:25 am

**MATERIAL CHANGE REPORT**

**Pursuant to Section 64 of the Securities Act, 2012**

1. NAME OF REPORTING ISSUER

Name of Reporting Issuer
CAPITAL MARKETS ELITE GROUP (TRINIDAD & TOBAGO) LIMITED

2. DATE OF MATERIAL CHANGE

Date of material change
FEBRUARY 27, 2023

3. DESCRIPTION OF MATERIAL CHANGE

Provide a description of the material change
<p>ON JANUARY 23, 2023, THE SECURITIES AND EXCHANGES COMMISSION ("SEC") IN THE UNITED STATES ANNOUNCED ITS INTENTION TO AMENDED THE FEES IT CHARGES FOR SECURITIES TRANSACTIONS FROM \$22.90 PER PER MILLION DOLLARS TO \$8.00 PER MILLION DOLLARS.</p> <p>THIS FEE AMENDMENT ANNOUNCED BY THE SEC TAKES EFFECT ON FEBRUARY 27, 2023. ACCORDINGLY ON FEBRUARY 27, 2023, CAPITAL MARKETS ELITE GROUP (TRINIDAD &amp; TOBAGO) LTD WILL BE AMENDING THE FEE CHARGED TO CLIENTS TO REFLECT THE NEW FEE STRUCTURE ROLLED OUT BY THE SEC.</p> <p>WE WILL AMEND OUR WEBSITE OF FEBRUARY 23, 2023 TO REFLECT THE NEW FEE STRUCTURE AND OUR CLIENTS WILL BE NOTIFIED OF THIS CHANGE BY WAY OF THREE BROADCAST MESSAGES ON FEBRUARY 06, 2023, FEBRUARY 16, 2023 AND FEBRUARY 23, 2023.</p>

4. DETAILS OF PUBLICATION OF MATERIAL CHANGE

	YES	NO
Will you be seeking an exemption from publishing a notice in accordance with Section 64(2) of the Securities Act 2012?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

If “No”

Date of Publication of Notice (dd/mmm/yyyy)	N/A
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If “Yes”


State the reasons for applying for the exemption
<p>Capital Markets Elite Group (Trinidad and Tobago) Limited is applying for exemption from publication in accordance with Section 64 (2) of the Securities Act 2012, All of our clients will be notified of the amendment to the fees via three email broadcasts on February 06, 2023, February 16, 2023 and February 23, 2023. The vast majority of the company's clients reside outside of Trinidad &amp; Tobago, so this was deemed to be the most efficient way to communicate these changes to our clients.</p> <p>As our clients will be informed of the amendments to the fees, and given the geographic location of the majority of our clients, we are requesting an exemption from the requirement to publish a notice in the local newspapers.</p>

5. DETAILS OF SENIOR OFFICER

Name (First name, Last name)	DUKE POLLARD
Position in Organization	CHAIRMAN & MANAGING DIRECTOR
Business Address	9TH FLOOR, ALBION PLAZA, 22-24 VICTORIA AVENUE, QUEENS PARK SAVANNAH, PORT OF SPAIN
Work Phone (1-xxx-xxx-xxxx)	1-829-956-5161
Fax Phone(1-xxx-xxx-xxxx)	
Email Address	dpollard@cmelitegroup.com

6. DATE, CERTIFICATION AND SIGNATURE

I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material omission of information on this application may result in a breach of the Securities Act, 2012.

DUKE POLLARD                                            CHAIRMAN & MANAGING DIRECTOR                      FEBRUARY 01, 2023  
**Print Name**                                      **Signature**                                      **Position**                                      **Date**

**FOR OFFICIAL USE ONLY**

Tool	ID Information
Registrant's Number	
Director's Number	
Document / Record Number	
Record's Management Date Received (dd/mm/yyyy)	

Approved By : \_\_\_\_\_ Date (DD/MM/YYYY) \_\_\_\_\_