

CHECKLIST FOR AUTHORISATION AS A CUSTODIAN AS PER BYE-LAW 45 OF THE SECURITIES (COLLECTIVE INVESTMENT SCHEMES) BYE-LAWS, 2023

Name of Applicant: _____

	Documents	Submitted	Comments
1.	Form 26	<input type="checkbox"/>	
2.	Form 26 – Appendix I for each Director		
3.	Registration Fee* - TT\$20,000.	<input type="checkbox"/>	
4.	Certified copy of incorporation documents. Where incorporated abroad, please submit proof of registration as an External Company in Trinidad and Tobago.	<input type="checkbox"/>	
5.	Certified copy of most recent Annual Return that was filed with the Companies Registrar.	<input type="checkbox"/>	
6.	Evidence of the applicant <ul style="list-style-type: none"> • being licensed under the Financial Institutions Act; • holding registration as a central securities depository in Trinidad and Tobago; • being regulated as a banking institution, trust company, custodian or central securities depository under the laws of a foreign jurisdiction. 	<input type="checkbox"/>	
7.	A list of the directorships held by current directors of the Applicant in other companies.	<input type="checkbox"/>	

Signature of Designated Person

Date

This Checklist does not preclude the Commission from requesting further information as required.

Please note that all fees are payable at the submission of the application and are non-refundable.

Additionally, please submit the completed checklist together with the application.