



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION
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CIRCULAR LETTER ISSUED TO:
BROKER-DEALERS REGISTERED UNDER
THE SECURITIES ACT, CHAP 83:02

**NOTIFICATION OF PRESCRIBED FORM FOR TRANSMISSION OF SENSITIVE PERSONAL INFORMATION
UNDER THE TAX INFORMATION EXCHANGE AGREEMENTS (UNITED STATES OF AMERICA) ACT 2017
("TIEAA")**

Reference is made to section 17(3) of the Tax Information Exchange Agreements (United States of America) Act, 2017 ("TIEAA") which requires a reporting financial institution to notify an account holder in respect of a reportable account that their sensitive personal information, which is required to be reported, has been reported to the Board of Inland Revenue for transference to the Secretary of the United States Treasury. Section 5.3 of the Trinidad and Tobago Securities and Exchange Commission's Guidelines on the Implementation of the TIEAA reiterates this requirement. As per section 17(5) of the TIEAA, this notification is to be in the form prescribed by the Minister of Finance by Order.

As such, please be informed that the form for Notification of Transmission of Sensitive Personal Information, in accordance with section 17(5) of the TIEAA is the available on the Ministry of Finance's website via the following URL: <https://www.finance.gov.tt/wp-content/uploads/2018/02/Legal-Notice-No.-11-of-2018-The-TIEA-USAPrescribed-Form-Order-2018.pdf>

Please be guided accordingly.

Yours sincerely,

Lystra Lucillio
Chief Executive Officer (Ag.)