



**CIRCULAR LETTER**

**REF: TTSEC-EXEC-6759-TD-3/11/2014**

November 3, 2014

Dear Registrant,

**Re: Securities (Amendment) Act, 2014**

On September 10, 2014 the President of the Republic of Trinidad and Tobago assented to the Securities (Amendment) Act, 2014, Act No. 9 of 2014 ("the Amendment Act") which amended the Securities Act, 2012 ("SA 2012").

All registrants are therefore advised to take note that the SA 2012 has been amended. As a consequence the Commission's operations as well as that of the securities markets (and participants therein) in Trinidad and Tobago must be guided by the provisions of the amended SA 2012. Copies of the Amendment Act are available on our website and may be accessed via the following URL: [http://www.ttsec.org.tt/legal\\_framework.php?mid=28](http://www.ttsec.org.tt/legal_framework.php?mid=28)

In light of the fact that the Commission is not in receipt of the Official Consolidation of the SA 2012 from the Law Revision Commission, it will make every effort to post an Unofficial Consolidation to the Commission's website once the necessary approvals have been granted.

We take this opportunity to express our gratitude to all registrants, self-regulatory organizations and other market actors for their invaluable contributions and support provided during the periods of consultation leading to the enactment of the Amendment Act.

Registrants, self-regulatory organizations and other market actors are encouraged to communicate with the staff of the Commission at [sa2012@ttsec.org.tt](mailto:sa2012@ttsec.org.tt) for guidance where there are any concerns or uncertainties as to how to proceed with a particular issue that may present itself in relation to the Amendment Act at this time

We stand ready to assist and guide you throughout this collaborative process.

Yours sincerely,

  
C Wainwright Iton  
Chief Executive Officer

Trinidad and Tobago Securities and Exchange Commission

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