



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In the Matter of a Contravention of Section 56(4) of the Securities Act Chapter 83:02 and  
By-Law 53(3) of the Securities (General) By-Laws 2015**

**By**

**Guardian Media Limited**

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**ORDER OF THE COMMISSION**

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Dated this 17<sup>th</sup> day of August, 2021

**WHEREAS** Section 56(4) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 53(3) of the Securities (General) By-Laws 2015 (“the By-Laws”) requires a Reporting Issuer to file its Form No. 6 Notification with the Commission, within the prescribed timeframe;

**AND WHEREAS** Guardian Media Limited (“the Respondent”) filed a Form No. 6 Notification with the Commission in respect of the appointment of Mr. Brandon Khan as its Commercial Manager Radio, effective August 15, 2019, past the due date.

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 56(4) of the Act and By-Law 53 of the By-Laws by failing to file the aforementioned document within the prescribed timeframe.

**AND WHEREAS** the Respondent entered into a Settlement Agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

**AND WHEREAS** pursuant to the Settlement Agreement the Respondent shall pay to the Commission the total sum of Twenty-Eight Thousand, Eight Hundred Trinidad and Tobago Dollars (TT\$28,800.00) within twenty-eight (28) days of the making of this Order;

**AND UPON** the Board of Commissioners considering the submissions in the Settlement Agreement dated 21<sup>st</sup> May 2021 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

**IT IS HEREBY ORDERED THAT:**

1. Guardian Media Limited (“the Respondent”) has contravened Section 56(4) of the Act and By-Law 53(3) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Twenty-Eight Thousand Eight Hundred Trinidad and Tobago Dollars (TT\$28,800.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago

**BY ORDER OF THE COMMISSION**