



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of Section 61(2) of the Securities Act Chapter 83:02 of
the Laws of the Republic of Trinidad and Tobago**

By

JMMB Bank Trinidad and Tobago Limited

ORDER OF THE COMMISSION

Dated this 23rd day of September, 2022

WHEREAS Section 61(2) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a Reporting Issuer to file its Revised Registration Statement with the Commission within the prescribed timeframe;

AND WHEREAS JMMB Bank Trinidad and Tobago Limited (“the Respondent”) filed its Revised Registration Statement for the financial year ended March 31, 2022, with the Commission, past the due date;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 61(2) of the Act by failing to file the aforementioned document within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 61(2) of the Act;

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 67 of 2022 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. JMMB Bank Trinidad and Tobago Limited (“the Respondent”) has contravened Section 61(2);

2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the sum Seven Thousand Trinidad and Tobago Dollars, (TT\$7,000.00) to the Commission within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

BY ORDER OF THE COMMISSION