



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 136(1) of the Securities Act, Chapter
83:02
of the Laws of the Republic of Trinidad and Tobago**

By

Kathryn Abdulla

ORDER OF THE COMMISSION

Dated this 18th day of July, 2019

WHEREAS Section 136(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a person connected to a reporting issuer to file a Form 22- Trading Report of a connected person to a Reporting Issuer with the Commission, within a prescribed timeframe;

AND WHEREAS Kathryn Abdulla (“the Respondent”), filed a Form 22- Trading Report of a connected person to a Reporting Issuer with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”), past the due date of August 16, 2018;

AND WHEREAS Staff of the Commission (“the Staff”) advised the Respondent that she was in contravention of Section 136(1) of the Act by failing to file a Form 22- Trading Report of a connected person to a Reporting Issuer with the Commission, within the prescribed timeframe;

AND WHEREAS the Respondent entered into a settlement agreement with the staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

AND WHEREAS pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Twenty-Four Thousand Six Hundred Trinidad and Tobago Dollars (TT24,600.00) within twenty-eight days of the making of this Order;

AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated the 9th July, 2019 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10) (a) of the Act.

IT IS HEREBY ORDERED THAT:

1. Kathryn Abdulla (“the Respondent”) has contravened Section 136(1) of the Securities Act,
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission, an administrative fine in the sum of Twenty-Four Thousand Six Hundred Trinidad and Tobago Dollars (TT24,600.00), within twenty-eight (28) days of the date of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

BY ORDER OF THE COMMISSION