



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of Section 61(2) of the Securities Act, Chapter 83:02 of
the Laws of the Republic of Trinidad and Tobago**

By

L.J. Williams Limited

ORDER OF THE COMMISSION

Dated this 2nd day of August, 2022

WHEREAS Section 61(2) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”), imposes certain obligations on reporting issuers;

AND WHEREAS L.J. Williams Limited (“the Respondent”), filed its Revised Registration Statement for the financial year ended March 31, 2020, with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”), past the due date;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 61(2) of the Act, by failing to file the said Revised Registration Statement within the prescribed timeframe;

AND WHEREAS the Respondent entered into a settlement agreement dated June 9, 2022 with the Staff (“the Settlement Agreement”) and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

AND WHEREAS the Respondent shall pay the sum of Forty-Six Thousand Seven Hundred and Fifty Trinidad and Tobago Dollars (TT\$46,750.00) to the Commission within twenty-eight days of the making of this Order;

AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. L.J. Williams Limited (“the Respondent”) has contravened Section 61(2) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Forty-Six Thousand Seven Hundred and Fifty Trinidad and Tobago Dollars (TT\$46,750.00), within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

BY ORDER OF THE COMMISSION