



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of Section 66(1) of the Securities Act
Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago**

By

Nestle Trinidad and Tobago Limited

ORDER OF THE COMMISSION

Dated this 23rd day of September, 2022

WHEREAS Section 66(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a Reporting Issuer to file its Interim Financial Statements with the Commission within the prescribed timeframe;

AND WHEREAS Nestle Trinidad and Tobago Limited (“the Respondent”) filed its Interim Financial Statement for the financial period ended September 30, 2021, with the Commission, past the due date;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 66(1) of the Act by failing to file the aforementioned document within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 66(1) of the Act;

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 67 of 2022 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Nestle Trinidad and Tobago Limited (“the Respondent”) has contravened Section 66(1);

2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the sum Two Thousand Trinidad and Tobago Dollars (TT\$2,000.00) to the Commission within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

BY ORDER OF THE COMMISSION