



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In the Matter of the Contravention of Section 61(2) of the Securities Act Chapter 83:02 of  
the Laws of the Republics of Trinidad and Tobago**

**By**

**Nestle Trinidad and Tobago Limited**

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**ORDER OF THE COMMISSION**

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Dated this 21<sup>st</sup> day of April 2023

**WHEREAS** Section 61(2) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file its Revised Registration Statement with the Commission within a prescribed timeframe;

**AND WHEREAS** Nestle Trinidad and Tobago Limited (“the Respondent”), filed its Revised Registration Statement for the financial year ended December 31, 2021, with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”), past the due date;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 61(2) of the Act, by failing to file the said Revised Registration Statement within the prescribed timeframe;

**AND WHEREAS** the Respondent acknowledged that it was in contravention of Section 61(2) of the Act and agreed to pay the consequential administrative fine of Three Thousand Trinidad and Tobago Dollars (TT\$3,000.00) to the Commission within twenty-eight days of the making of this Order;

**AND UPON** the Board of Commissioners considering the submissions by the Staff of the Commission and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

**IT IS HEREBY ORDERED THAT:**

1. Nestle Trinidad and Tobago Limited (“the Respondent”) has contravened Section 61(2) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the sum of Three Thousand Trinidad and Tobago Dollars (TT\$3,000.00) to the Commission within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

**BY ORDER OF THE COMMISSION**