TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Contravention of Section 66(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago

By

Trinidad and Tobago Mortgage Finance Company Limited

ORDER OF THE COMMISSION

Dated this 5th day of April, 2022

     WHEREAS Section 66(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago ("the Act") imposes certain obligations with respect to the filing of the Interim Financial Statements;

     AND WHEREAS Trinidad and Tobago Mortgage Finance Company Ltd ("the Respondent"), contravened Section 66(1) of the Act by filing its Interim Financial Statements for the financial year ended September 30, 2021, with the Commission, past the due date;

     AND WHEREAS staff of the Commission ("the Staff") advised the Respondent that it was in contravention of Section 66(1) of the Act by failing to file the said Interim Financial Statements within the prescribed timeframe;

     AND WHEREAS the Respondent acknowledged that it was in contravention of Section 66(1) of the Act by filing the aforesaid document with the Commission past the due date;

     AND UPON the Board of Commissioners considering the submissions by the Staff of the Commission and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Trinidad and Tobago Mortgage Finance Company Limited ("the Respondent") has contravened Section 66(1) of the Act;

2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of One Thousand Trinidad and Tobago Dollars (TT$1,000.00), within twenty-eight (28) days of the making of this Order; and

3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago

BY ORDER OF THE COMMISSION