



Frequently Asked Questions	Response
<p>1. What is EASi?</p>	<p>The Electronically Advanced Submission interface (“EASi”) allows persons or organisations involved in the Securities Market to manage their registration applications, renewal applications and disclosure filings online.</p> <p>EASi aims to improve the current process by allowing users to conveniently conduct their business at any time from any place, over the internet. It also allows for a faster review and turnaround time for submissions and facilitates the user’s ability to track the status of its submission. It is a secure, convenient and cost-effective method of doing business with the Commission.</p>
<p>2. What are the benefits of EASi?</p>	<p>The following are some benefits of EASi:</p> <ul style="list-style-type: none"> i. Convenient and reliable service - Users can log on to EASi from anywhere at any time and conduct their business 24 hours a day, 7 days a week, once internet access is available (except in cases of scheduled maintenance or emergency repairs. ii. Faster processing time - Reduction in the turnaround time for processing submissions for the registration of companies and securities and continuous disclosure filings. iii. Reduced transaction cost of doing business inherent with moving from a paper-based process to electronic submissions. iv. Improved transparency and visibility of submissions. v. Easy tracking of the status of submissions and end to end engagement with the Commission throughout the process. vi. Streamlined reporting and resolution of users’ queries.
<p>3. How can EASi be accessed?</p>	<p>EASi can be accessed via the Commission’s website: www.ttsec.org.tt, and navigating to the tab labelled “EASi” for related resources. The “EASi login” link under this tab will launch a “Terms and Conditions” landing page. Once you have agreed to the Terms and Conditions, the login page will appear.</p>
<p>4. How do potential registrants and non-registrants (persons not currently registered with the Commission) gain access to the platform?</p>	<p>Potential Registrants and non-registrants (“Users”) will be required to create an account in order to access and utilise the platform. Users can create an account on the EASi login page.</p> <p>EASi can be accessed via the Commission’s website: www.ttsec.org.tt, and navigating to the tab labelled “EASi” for related resources. The “EASi login” link under this tab will launch a “Terms and Conditions” landing page. Once you have agreed to</p>



	<p>the Terms and Conditions, the login page will appear. The option to create an account can be found on the top left-hand corner.</p> <p>After the account is created, the user will receive a verification email in the email account which was provided when the account was created (check inbox or junk for the email). In the verification email, select “here” to activate the account. The account on EASi will be activated, and at this point the user will be required to attempt logging on to the account. Upon doing the same, you will be prompted to change your password on EASi.</p> <p>Once the password is changed, you will be able to access the Forms on the platform and begin completing applications for submission to the Commission</p>
<p>5. Is electronic registration, renewal and filing of disclosure documents secure?</p>	<p>Yes, electronic registration, renewal and filing of disclosure documents is secure. No one can view or access your company’s information besides you, other authorised employees from your organisation and authorised Commission Staff.</p> <p>EASi stands on a robust security infrastructure including the use of SSL Certificates which encrypts the information received via the web browser. Additionally, EASi incorporates a strongly enforced password policy as well as restrictions and limitations on how the data is accessed and returned securely to authorised users.</p>
<p>6. What types of files are accepted by EASi?</p>	<p>Standard file types are supported by EASi e.g. .pdf, .docx, .xlxs, .txt, etc.</p>
<p>7. What is the session duration on EASi?</p>	<p>When a user is successfully logged on to EASi, the session duration is unlimited once the user is active on the system. If however, the user is inactive for sixty (60) minutes, the system will automatically log the user off.</p>
<p>8. What happens if a registrant user starts a Form/Filing but does not submit said Form/Filing and logs off of the platform?</p>	<p>If a registrant user starts a Form/Filing but does not submit said Form/Filing and logs off of the platform, the data entered prior to logging off will automatically be saved in the Form/Filing.</p> <p>The next time the registrant user logs in to the platform, he/she will be able to access the same Form/Filing in either the “Filings” or “In-Progress Applications” pages and will be able to continue completing the Form/Filing.</p>
<p>9. What browsers are compatible with EASi?</p>	<p>EASi is compatible with standard browsers. The following browsers are recommended:</p>



	<ul style="list-style-type: none"> i. Google Chrome; ii. Firefox; and iii. Internet Explorer (version 11 or higher).
10. During which hours will EASi be accessible?	<p>EASi will be accessible twenty-four (24) hours a day, seven (7) days a week, inclusive of holidays and weekends, except in cases of scheduled maintenance or emergency repairs.</p> <p>In the event of scheduled maintenance or emergency repairs, the same will be communicated to users in advance and in a timely manner via the Commission website www.ttsec.org.tt</p>
11. What should a user do if he/she encounters an issue while using EASi?	<p>If a user encounters an issue while using EASi, he/she should formally communicate the issue to the Commission via the EASi Helpdesk page. The EASi Helpdesk page can be accessed via the Commission’s website www.ttsec.org.tt. Once on the website, the user will be required to click on the EASi tab, then click on the EASi Helpdesk to access the Issue Escalation Form.</p> <p>Once the form is completed with a description of the issue encountered and submitted to the Commission, a representative will reach out to the user within two (2) business days.</p> <p>Additionally, if the user wishes to provide feedback on his/her experience, the same can be communicated to the Commission by completing and submitting the User Feedback Form which can be found when the EASi Helpdesk is selected.</p>
12. What should a user do if he/she forgets his/her password?	<p>On EASi’s login page there is a “Forgot Password” option. When the user clicks on this option a new page will appear and the user will be required to provide the email associated with the account. As an additional safety measure, the user will also need to repeat the information displayed in the captcha. Once this is completed, the user will be required to click on the “Send new password” button. This will send the new password to the associated email account and the user can then log on to the platform using the new password.</p>
13. What is the number of failed login attempts before a user is locked out from his/her account and what is the process for unlocking the account?	<p>The maximum number of failed login attempts before a user is locked out of his/her account is three (3). If a user is locked out of his/her account, he/she should submit a ticket to the EASi Helpdesk by navigating to the Commission Website and under the “EASi” tab select “EASi Helpdesk”. An administrator will reach out to the user within one (1) business day and assist with unlocking the account.</p>



<p>14. What payment options are available for applications submitted via EASi?</p>	<p>The following payment options are available for applications submitted via EASi:</p> <ul style="list-style-type: none"> i. Cheques, which must be delivered via hand or by mail to the Commission; OR ii. Electronic payments, such as wire transfer and Automated Clearing House (ACH). <p>There are NO options for credit and debit card payments at this time.</p>
<p>15. What is the payment process for applications submitted via EASi?</p>	<p>Once an application is submitted via EASi, a payment row is generated and displayed below the application that was submitted. The user will be required to select the payment row and a dialogue box will appear, which includes the fees for the particular application. The user will be required to verify the fees stated, upload evidence of the actual payment made (see FAQ 14) and then select the button “Make Payment”.</p> <p>When uploading evidence of the payment made to EASi, the user must do the following:</p> <ul style="list-style-type: none"> i. For a cheque, include the unique request ID of the application on the remittance section of the cheque; OR ii. For an electronic payment via ACH or wire transfer, include the unique request ID of the application and any other remittance information in the remittance advice template which can be downloaded from the Commission’s website under the “Forms” tab
<p>16. Is the use of EASi compulsory?</p>	<p>Use of the EASi platform is not compulsory, however, it will be the Commission’s primary means of accepting Registrant submissions. Applications submitted via EASi are instantaneously received, which leads to faster and more efficient processing of submissions.</p>
<p>17. What are the Terms and Conditions for using EASi?</p>	<p>The Terms and Conditions for use of the EASi platform can be accessed here under the “EASi Login” option</p>
<p>18. What can users do on EASi?</p>	<p>Users can submit registration applications, renewal applications, disclosure filings and notifications via EASi, as well as view the progress of their submissions. Additionally, users can also view correspondence, submit queries and communicate with Staff of the Commission via EASi.</p>



<p>19. What is On-boarding?</p>	<p>The EASi on-boarding exercise will be conducted to bring entities already registered with the Commission into the system, bypassing the registration process. It will involve all existing Registrants populating simplified Forms on the system to create a profile for themselves. Onboarding will ensure that the Commission captures current information for all existing Registrants on EASi. This information will then be used as a baseline on the system for each existing Registrant once a simplified workflow review process by Staff of the Commission is conducted.</p>
<p>20. What is expected to happen after On-boarding?</p>	<p>After Registrants are successfully on-boarded, filings can be facilitated using EASi. In later updates, applications for renewal of registration and submission of additional applications will be facilitated online.</p>
<p>21. Who should access EASi?</p>	<p>EASi is accessible to the general public, however it is recommended for persons or organisations involved in the Securities Market.</p>
<p>22. How long does the application process take?</p>	<p>Registrants will initially be required to submit On-boarding/Simple applications, after which registration applications, renewal applications and filings can be submitted via EASi. Application processing will vary depending on the type of application submitted, as well as the accuracy of the information provided.</p>
<p>23. Have changes been made to the Commission's Forms with the implementation of EASi?</p>	<p>Yes, changes have been made to the Commission's Forms with the implementation of EASi. The substantial changes have been published via Board Order.</p>
<p>24. Will users be expected to submit hard copies of Forms submitted via EASi?</p>	<p>No, users will not be expected to submit hard copies of Forms submitted via EASi.</p>
<p>25. What will happen if a filing is not submitted on or before the scheduled due date?</p>	<p>If a filing is not submitted on or before the scheduled due date, the user will still be able to submit the filing via EASi, however, the Registrant may be liable for penalties which may be imposed, if the filing is late.</p>
<p>26. What is the process for adding organisation users to EASi?</p>	<p>Each organisation has an Organisation Administrator User who has administration privileges that allow them to add new users to the Organisation.</p> <p>The Organisation Administrator User will be required to do the following to add a new user:</p> <ol style="list-style-type: none"> i. Go to the Control Panel and click 'Users and Organisations';



	<ul style="list-style-type: none"> ii. Click on the 'All Organisations' tab; iii. Click the 'Actions' button; iv. Select 'Add User'; and v. Enter the new User's data in the required Fields.
<p>27. What is the process for deactivating or deleting an organisation's user access to EASi?</p>	<p>Each organisation has an Organisation Administrator User who has the administration privileges that allow them to deactivate or delete a User's profile on EASi.</p> <p>The Organisation Administrator User will be required to do the following to deactivate a User's access:</p> <ul style="list-style-type: none"> i. Go to the Control Panel and click 'Users an Organisations'; ii. Click on the 'All Users' tab; iii. Click the 'Actions' button for the specific User; and iv. Click the 'Deactivate' button. <p>The Organisation Administrator User will be required to do the following to delete a User's access (Only deactivated users can be deleted):</p> <ul style="list-style-type: none"> i. Go to the Control Panel and click 'Users and Organisations'; ii. Click on the 'All Users' tab; iii. Select 'Inactive' in the 'Show' dropdown list to view deactivated users; iv. Click the 'Actions' button for the specific User; and v. Click the 'Delete' button.
<p>28. How will a user identify the status of a Form/Filing that has been submitted via EASi?</p>	<p>Once a Form has been submitted, its status can be viewed in the "Submitted Applications" page.</p> <p>Once a Filing has been submitted, its status can be viewed in the "Submitted Filings" page.</p>
<p>29. Can I view past submissions on EASi?</p>	<p>All past submissions that have been submitted on EASi and are closed/completed by the Commission can be viewed on EASi. All previous versions of the same application will be overwritten once changes have been made and the application re-submitted via EASi. For record keeping purposes, users will be required to maintain personal copies of applications and submissions as they require. This can be facilitated by utilising the "Download all" feature for each Form in the package, immediately after submission.</p>
<p>30. How will errors noted after a submission has been made, be addressed with the Commission?</p>	<p>Users will be required to contact the Commission via formal correspondence, advising of the errors which were noted after submission. Once the same is done, a member of the Staff of the</p>



	Commission will have the ability to re-open the application for amendments, where necessary.
31. Is electronic registration and/or filings completely paperless?	Yes, normally, e-filing is completely paperless. After you have submitted your electronic return, you should: <ul style="list-style-type: none"> i. Print and/or save a copy of your form; ii. Keep copies of the form and other documents used to complete your return; and iii. Retain all records (electronic or physical) for a period of at least six (6) years
32. Is a submission considered complete if made via EASi but the associated payment has not yet been received by the Commission?	A submission is considered only when its associated payment is received and validated by the Commission's Accounts department.
33. Is a renewal submission considered complete if made via EASi but the associated payment has not yet been received by the Commission?	A renewal submission is considered complete only when its associated payment is received and validated by the Commission's Accounts department.
34. At what point is a submission/filing be considered past due?	A submission/filing will be considered past due if it is not submitted to the Commission on or before its due date.
35. What happens if my due date falls on a weekend or official holiday?	If a submission due date falls on a weekend or official holiday in Trinidad and Tobago, you will have the ability to submit the Filing on the next business day without being liable for any penalties associated with late submission.
36. What will a certificate be generated for?	A certificate will be generated for the registration, or renewal of registration of Broker-Dealers, Investment Advisers (Individual and Corporate), Underwriters, Self-Regulatory Organisations and Reporting Issuers. Certificates will also be generated where Reporting Issuers submit their annual Revised Registration Statements. Certificates will not be generated when current Registrants are "Onboarded" (see FAQ 20) to EASi.
37. How long is a certificate valid for?	A certificate for a Broker-Dealer, Investment Adviser (Individual and Corporate), Underwriter, Self-Regulatory Organisation is valid for a period of one year from registration/renewal date.



	For Reporting Issuers, while certificates may not expire, penalties can be imposed for late or non-submission of Revised Registration Statements.
38. What is an e-Signature?	<p>An “e-Signature” is basically an electronic signature, which is required to be appended to the Form/Filing before the Form/Filing can be successfully submitted via EASi.</p> <p>The user will be prompted to electronically sign the form prior to submission and will be redirected to an e-Signing Room where specific instructions for e-signing are included.</p>
39. How will certification of forms be facilitated via EASi?	The officer appointed by the Applicant/Registrant, to electronically sign and submit the Form via EASi, will be required to download a copy of the completed Form. The individual/s required to certify the contents of the submission being made will be required to append their signature to the downloaded copy. The user will be required to upload the signed copy at the identified attachment item on the electronic Form.
40. How will EASi facilitate the certification of information provided by Registered Representatives as part of their registration application?	<p>The Registered Representative will not be able to sign the disclosures made via EASi, as done previously in the Form 3B. On EASi, the Applicant/Registrant with whom the Registered Representative is employed, will be required to appoint an officer to complete and electronically sign and submit the form containing the Registered Representative’s information.</p> <p>Applicants/Registrants will be required to ensure that disclosures made on behalf of its Registered Representatives via EASi are appropriately certified by these individuals. Appropriate documents in support of this are also required to be maintained.</p>
41. How will EASi facilitate the certification of information provided by Directors as part of the registration application?	<p>The Director will not be able to sign the disclosures made via EASi, as done previously in the appendices to Forms 1, 2A and 8. On EASi, the Applicant/Registrant of whom the individual is a director, will be required to appoint an officer to complete and electronically sign and submit the form containing the Director’s information.</p> <p>Applicants/Registrants will be required to ensure that disclosures made on behalf of its Directors via EASi, are appropriately certified by these individuals. Appropriate documents in support of this are also required to be maintained.</p>



<p>42. Are there any requirements for the upload of attachments via EASi?</p>	<p>Yes, one of the requirements for the upload of attachments via EASi is specific naming conventions. The following naming conventions are therefore required to be utilised when uploading attachments via EASi:</p> <ul style="list-style-type: none"> i. For external correspondence, the naming convention should be <dateofupload_Acronym of the company to TTSEC_subject matter>. For e.g. 23062020_BBL to TTSEC_Re Response to Deficiency Letter 1. <p>A list of standard company acronyms is available below.</p> <p>These naming conventions for attachments will allow for the following:</p> <ul style="list-style-type: none"> i. Easy identification of documents on the system; ii. Easy extraction of documents; and iii. Maintenance of a standardised naming convention in the system.
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Listing of all Names of Individuals and Companies with whom the Commission conducts business and the associated acronym for each:

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Company/Organisation/Entity/Institution	Acronym
A J Mauritzen &Company Ltd	AJM
Add Venture Capital Fund Ltd	AVCF
Admiral Capital Ltd	AdCl
Admiral Ltd	AdLd
Adrian Bharath	ABharath
Adrian Manmohan	AManmohan
Afcorp Mutual Funds Ltd	AMF
Agostini Insurance Brokers Ltd	AIB
Agostini's Ltd	AGL
AIC Barbados Ltd	AICBdos
Air Liquide Trinidad and Tobago	ALTT
Airport's Authority of TrT	AATT
Alain Christian Marie Agostini	Aagostini
Aldon Phillip Williams	AWilliams
Algico (T&T)Ltd	ALGTT
Alignvest Acquisition II Corporation	AAC



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Company/Organisation/Entity/Institution	Acronym
Aliyah Jaggassar	AJaggassar
Allycia Precilla	APrecilla
Alpha Savings and Trust Ltd	ASTL
Alstons Ltd	ALS
Alvin Johnson	AJohnson
Amanah Securities Ltd.	ASecL
Anderson Basdeo	ABasdeo
Andrew Ferguson	AFerguson
Angostura (Barbados) Ltd	ABL
Angostura Holdings Ltd	AHL
Angostura Ltd	ANG
Annette C Borel	Aborel
Ansa McAl Ltd	AMCL
Ansa Merchant Bank Ltd	AMBL
Ansa Money Market Funds	AMMF
Ansa Securities Ltd	ASL
Aspire Fund Management Company Ltd	AFMCL
Assuria Life TnT Ltd.	ALTTL
Barclays Investment Funds	BIF
Barclays Investment Funds Channel Islands Ltd	BIFCIL
BCB Holdings Ltd	BCBH
BE TAG Antilles Group (St. Lucia) Ltd	BETAG
Berger Paints Trinidad Ltd	BER
Bermudez Group Ltd	BGL
BHP Billiton Ltd	BHP
Bidrate Ltd	BrL
Bothnia Internation Insurance Company Ltd	BIICL
Bourse Brazil Latin American Fund	BBLF
Bourse Brokers Ltd	BBL
Bourse Securities Ltd	BSL
Built Financial Investors Ltd	BFIL
BWIA West Indies Airways Ltd.	BWIA
C&W Business	CWB
Cable Bahamas Ltd	CBL
Calypso Macro Index Fund	CALYP
Camille Mohammed	CMohammed
Capital & Credit Financial Group Ltd	CCFG
Capital & Credit Merchant Bank Ltd	CCMB
Capital Markets Banc	CMB



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Company/Organisation/Entity/Institution	Acronym
Capital Markets Elite Group Ltd	CMEG
Caribbean Stockbrokers Ltd	CSL
Caribbean Venture Capital Company Ltd	CVCCL
Caroni 1975 Ltd	CaL
Celadon Financial Group	CFG
Central Bank of Trinidad and Tobago	CBTT
Chip Sa Gomes	CSaGomes
Chivonne Wallace	CWallace
CIBC Private Client Fund Ltd	CIBCPCF
CinemaONE Ltd	COL
Citibank Trinidad and Tobago Ltd	CTTL
Citicorp Merchant Bank Ltd	CMBL
CLICO Investment Bank Ltd	CIBL
Clico Investment Fund	CIF
Clico Trust Corporation Ltd	CTC
Cohiba Consulting Limited	COHIBA
Colonial Life Insurance Company Trinidad Ltd.	CLICO
Compass Wealth Management Limited	CWML
Credit Suisse AG Cayman Islands	CSAG
Credit Suisse Barbados	CSBdos
Cristina Bermudez-Lucky	CLucky
Dan Martineau	DMartineau
Daniel Lambert	DLambert
Development Finance Ltd	DFL
Dolphin Property Fund 1	DPF1
Donald Nock	DNock
Douglas Glan	D Glan
Dwane Baird	DBaird
Dynamic Equity Fund II Ltd	DEFII
Dynamic Equity Venture Fund Ltd	DEVF
Edeavour Holdings Limited	EHL
Education Facilities Company Ltd	EFCL
Empresa Generadora de Electricidad Haina, S.A.	EGEHaina
Eppley Caribbean Property Fund Limited SCC	ECPFLSCC
FCL Financial Ltd	FCLFL
First Citizens Asset Management Ltd	FCAM
First Citizens Bank Ltd	FIRST
First Citizens Brokerage & Advisory Services	FCBAS
First Citizens Depository Services Limited	FCDSL



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Company/Organisation/Entity/Institution	Acronym
First Citizens Holdings Limited	FCHL
First Citizens Investment Services Ltd	FCISL
First Citizens Portfolio and Investment Management Services Limited	FCPIMS
First Citizens Securities Trading Ltd	FCST
First Citizens Trustee Service Ltd	FCTS
FirstCaribbean International Bank (Trinidad and Tobago) Ltd	FCIBTT
FirstCaribbean International Bank Ltd	FCIB
Firstline Securities Ltd	FSL
Flavorite Foods Ltd	FFL
FNCU Venture Capital Company Ltd	FNCU
Fortress Caribbean High Interest Fund Ltd	FCHIF
Fortress Caribbean Property Fund	CPF
Fortress Fund Managers	FFM
Fortress Global Value Fund Ltd	FGVF
Fortress Mutual Fund Ltd	FMFL
Fortress OAM Overseas Fund Ltd	FOOFL
Francisco Carrera-Justiz	FCJustiz
Franco Investments Company Limited	FICL
Funds International Limited	FIL
Furness Trinidad Ltd	FTL
GFG Fund PCC Ltd	GFGFPL
Global Financial Brokers Ltd	GFBL
Godfrey Gosein	GGosein
Gordon Junior Gatt	GGatt
Government of Aruba	GOA
Government of Suriname	GOS
Government of the Republic of Trinidad and Tobago	GORTT
Grace Kennedy Ltd	GKL
Greystone Ltd	GREY
Guardian Asset Management Ltd	GAM
Guardian Asset Mgmt and Investment Services Ltd	GAMISL
Guardian Group Trust Ltd	GGTL
Guardian Holdings Ltd	GHL
Guardian Life of the Caribbean Ltd	GLOC
Guardian Media Ltd	GML
Hussain Haroon	HHaroon
Hyatt Regency Trinidad	HYATT
Ian Chin	IChin
Ian L Benjamin	



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Company/Organisation/Entity/Institution	Acronym
Ian Narine	INarine
Ian Quan-Soon	IQuan-Soon
Infinity Financial Engineering Ltd	IFEL
Intercommercial Bank Ltd	IBL
Intercommercial Trust and Merchant Bank Ltd	ITMBL
Jaime Dasarathsingh	JDasarathsingh
Jamaica Money Market Brokers Ltd	JMMB
Jamaica Public Service Company	JPSC
Jamaica Select Index Fund Ltd	JSIF
JMMB Bank TnT Ltd.	JMMBBTT
JMMB Fund Managers Ltd.	JMMBFML
JMMB Group Ltd	JMMBGL
JMMB Investments Trinidad and Tobago Ltd.	JMMBITTL
JMMB Life Goal Funds S2	JLGFS2
JMMB Securities TnT Ltd	JMMBSTT
Joanne Bridgewater	JBridgewater
Jody Hernandez	JHernandez
Judy Inniss-Bernard	JBernard
Karen Darbasie	KDarbasie
Kathryn Abdulla	KAbdulla
KCL Capital Market Brokers Ltd	KCLCMBL
Kempenfelt House Consulting Inc	KHCI
Kerry Gajadhar	KGajadhar
Kerry Maharaj	KMaharaj
KPMG Trinidad and Tobago	KPMG
KSBM Asset Management Ltd	KSBMAML
L. J. Williams Ltd	LJW
La Brea Industrial Development Co. Ltd.	LIDCO
Larry Howai	LHowai
Lex Caribbean Attorney at Law	LCAL
Life Settlements Funds Ltd	LSF
Lisa Maria Alexander	LAlexander
Lou-Ann Gilkes	LGilkes
Manulife Investment Exchange Funds Corp	MIEFC
Marc Anatol	MA
Maritime Capital Ltd	MCL
Mark Ramkerrysingh	MRamkerrysingh
Marquis Portfolio Managers	MPM
Massy Holdings Ltd	MASSY



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Massy Motors	MML
Massy Technologies InfoCom Trinidad Ltd	MassyTech
Matthew Aliby vs JMMB Investments TnT Limited	
Mega Insurance Company Ltd	MICL
Michael Savarin	MSavarin
Mondial (Trinidad) Ltd	MTL
Mora Ven Holdings Ltd	MOV
MPC Caribbean Clean Energy Feeder Limited	MPC
Murphy Clarke Financial Ltd	MCFL
Myrnelle Akan	MAkan
Nancy Chen	NChen
National Commercial Bank Jamaica Ltd	NCBJ
National Energy Corporation	NEC
National Enterprises Ltd	NEL
National Flour Mills Ltd	NFM
National Infrastructure Development Co. Ltd.	NIDCO
National Insurance Property Development Co. Ltd.	NIPDEC
National Investment Fund Holding Company Ltd	NIFHL
National Maintenance Training and Security Co. Ltd.	MIS
NCB Financial Group Ltd	NCBFG
NCB Global Finance Ltd	NCBGFL
NCB Global Holdings Ltd	NCBGHL
Neil Salandy	NSalandy
Nestle Trinidad and Tobago Ltd	NTTL
Nigel Clewett	NClewett
Nigel Scott	NSCOTT
NiQuan Energy Trinidad Limited	NETL
Nisha Mohammed	NMohammed
Odyssey Consult Inc	Odyssey
Old Mutual International Isle of Man Ltd	OMIIML
One Caribbean Media Ltd	OCM
Oscar Alviar	OAlviar
Pan Caribbean Asset Management Ltd	PCAM
Patricia Eve	PEve
Paul Jenkins	PJenkins
Point Lisas Industrial Port Development Corp Ltd.	PLD
Port Authority of Trinidad and Tobago	PATnT
Praetorian Property Mutual Fund	PPMF
Prakash Ramlakhan	PRamlakhan



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Prestige Holdings Ltd	PHL
Proven Investment Limited	PIL
PSCU Property Management Ltd	PSCU
Public Transport Service Corporation	PTSC
Quilter International Isle of Man Limited	QIIML
Rawle Ramlogan	RRamlogan
RBC Financial Caribbean Ltd	RBCFCL
RBC Holdings Trinidad and Tobago Ltd	RBCHTT
RBC Investment Management (Caribbean) Ltd	RBCIMCL
RBC Merchant Bank (Caribbean) Ltd	RBCMB
RBC Royal Bank TnT Ltd	RBCIT
RBC Trust (Trinidad and Tobago) Ltd	RBCITTL
RBTT Bank Barbados Ltd	RBTTBdos
RBTT Finance Ltd	RBTTFL
RBTT Financial Holdings Ltd	RBTTFH
Reaaz Shah	RShah
Readymix West Indies Ltd	RML
REAL Marketing Ltd	RML
Republic Bank Ltd	RBL
Republic Finance and Merchant Bank Ltd	FINCOR
Republic Financial Holdings Ltd	RFHL
Republic Securities Ltd	RSL
Republic TTD Fixed Income Securities Fund	RFISF
Republic Wealth Management Ltd	RWML
Resolution Life Assurance Company Limited	RLACL
RGM Ltd	RGML
RNC Genter Capital Management	RNC
Rohini Hanoman	RHanoman
Roshan Basdeo	RBasdeo
Royal Bank of Canada	RBC
Royal Dutch Shell PLC	Shell
Royal Skandia Life Assurance Ltd	RSLA
Sagicor Asset Management TnT Ltd.	SAMTT
Sagicor Financial Company Ltd	SFCo
Sagicor Financial Corporation Limited	SFCL
Sagicor Funds Incorporated	SFI
Sagicor Investments Trinidad and Tobago Limited	SagITTL
Sagicor Life Incorporated	SLI
Salma Ali	SAli



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Company/Organisation/Entity/Institution	Acronym
Schroder International Selection Fund	SISF
Schroder Investment Management (Luxembourg) S.A.	SIM
Scotia Caribbean Income Fund Inc	SCIFI
Scotia Investments Jamaica Ltd	SIJL
Scotia Investments Trinidad and Tobago Ltd.	SITTL
Scotiabank Global Partners Bal Growth Portfolio Inc.	SGPBGPF
Scotiabank Global Partners Bal Income Portfolio Inc.	SGPBIP
Scotiabank Global Partners Growth Portfolio Inc.	SGPGP
Scotiabank Global Partners Income and Cons Growth	SGPICG
Scotiabank Short-Term Income Fund Inc	SSTTIF
Scotiabank Trinidad and Tobago Fixed Income Fund Inc.	SBTTFI
Scotiabank Trinidad and Tobago Growth Fund Inc.	SBTTGF
Scotiabank Trinidad and Tobago Ltd	SBTT
SEAF Caribbean Management LLC	SCML
SHBL Investments Ltd	SHBL
Sheldon Powell	SPowell
Shelton Nicholls	SNicholls
Sheppard Securities Ltd	SSL
St Christopher Air and Sea Ports Authority	StCASPA
St. Kitts Urban Development Corporation Ltd	StKUDC
Stallion Property Trust	SPT
Stephen Burris	SBurris
Sterling Tobago Airways Ltd	STAL
Stone Street Capital Ltd.	SSCL
Streamline Systems Co Ltd	SSCL
Supreme Ventures Ltd	SVL
TCL Leasing Ltd	TCLL
TCL Service Ltd	TCLS
TECU Credit Union	TCN
Telecommunications Services of TnT Ltd	TSTT
The Barbados Shipping & Trading Company Ltd	BSTC
The Home Mortgage Bank	HMB
The Home Mortgage Bank Mortgage Participation Fund	HMBPF
The Home Mortgage Bank Mortgage Participation Fund 2	HMBPF2
The National Football Stadium Company Ltd	NFSC
The National Gas Company of Trinidad & Tobago	NGC
The National Insurance Board of TnT	NIBTT
The Sports Company of Trinidad and Tobago Ltd	SPORTT
The Trinidad and Tobago Central Depository Ltd	TTCD



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Company/Organisation/Entity/Institution	Acronym
The Vehicle Management Corporation of Trinidad & Tobago Ltd.	VemCOTT
The West Indian Tobacco Company Ltd	WCO
Tourism and Industrial Development COTT	TIDCO
Towers Alliance Ltd	TAL
Transjamaican Highway Ltd	THL
Trinidad & Tobago Unit Trust Corporation Ltd	TTUTC
Trinidad and Tobago Electricity Commission	TTEC
Trinidad and Tobago International Financial Centre	TTIFC
Trinidad and Tobago NGL Ltd	NGL
Trinidad and Tobago Securities and Exchange Commission	TTSEC
Trinidad and Tobago Stock Exchange	TTSE
Trinidad Cement Ltd	TCL
Trinidad Dry Dock Company Limited	TDDCL
Trinidad Express Newspapers	TEN
Trinidad Generation Unlimited	TGU
Trinidad Petroleum Holdings Limited	TPHL
Trinidad Select Index Fund Ltd	TSIFL
Triple M Equity Investment Services	TMEIS
TT Housing Development Corporation	HDC
TT Mortgage Finance Company Ltd	TTMF
TT Unified Calypsonians Organisation	TTUCO
Unilever Caribbean Ltd	UCL
Unit Trust Corporation (Cayman) SPC Ltd	UTCSPC
Universal Investments Ltd	UIL
Urban Development Corporation of TnT Ltd	UDECOTT
UTC Trust Corporation (Cayman) SPC Ltd	UTCSPC
Vega Capital Management Ltd	VCML
Vernon Walkins Transport Services	VWTS
Viola Asset Management Ltd	VAML
VT Umbrella Fund PCC Ltd	VTU
Water & Sewerage Authority	WASA
Waterloo Capital Advisors Ltd	WCAL
Wayne Yip Choy	WYipChoy
Welthecon Investment Managers Ltd	WIML
Wendel Mottley	WMottley
Wendell Bobb	WB
West Indies Stockbrokers Ltd	WISE
Western Medical Radiology	WMR
World Wide Net Limited	WWNL



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Company/Organisation/Entity/Institution	Acronym
Yogendranath Ramsingh	YRamsingh
Zaheer Edoos	ZEdoo