

FORM 10

MATERIAL CHANGE REPORT

Pursuant to Section 64 of the Securities Act, 2012

1. NAME OF REPORTING ISSUER

Name of Reporting Issuer

FIRST CITIZENS INVESTMENT SERVICES LIMITED

2. DATE OF MATERIAL CHANGE

Date of Material Change

OCTOBER 1, 2020

3. DESCRIPTION OF MATERIAL CHANGE

Provide a description of the material change

We advise that the Board of Directors would have granted approval for an Executive sponsored plan, which is designed to expose managers to cross-training opportunities that will involve assigning selected persons to different functional areas within the Group.

As such, kindly note that Mrs. Sana Ragbir is transferred to First Citizens Bank Limited in the capacity of Group Chief Risk Officer (CRO) effective October 1, 2020.

Mr. Richard Look Kin, the serving CRO, is appointed General Manager of First Citizens Investment Services Limited effective October 1, 2020.

4. DETAILS OF PUBLICATION OF MATERIAL CHANGE

	YES	NO
Will you be seeking an exemption from publishing a notice in accordance with section 64(2) of the Securities Act 2012?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

If "No"

Proposed Date of Publication of Notice (dd/mmm/yyyy)	October 05, 2020
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If "Yes"

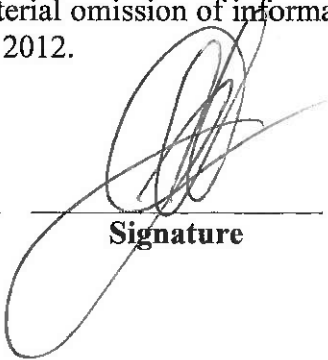
State the reasons for applying for the exemption

5. DETAILS OF SENIOR OFFICER

Name (First name, Last name)	Lindi Ballah-Tull
Position in Organization	Head, Legal, Compliance and Governance
Business Address	#9 Queen's Park East, Port of Spain
Work Phone (1-xxx-xxx-xxxx)	1-868-624-3178 ext. 3070
Fax Phone(1-xxx-xxx-xxxx)	1-868-621-0055
Email Address	lindi.ballah-tull@firstcitizenstt.com

8. DATE, CERTIFICATION AND SIGNATURE

I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material omission of information on this application may result in a breach of the Securities Act, 2012.

Lindi Ballah-Tull  Corporate Secretary 30/09/2020
Print Name **Signature** **Position** **Date**

FOR OFFICIAL USE ONLY

Tool	ID Information
Registrant's Name	
Director's Name	
Document/Record Number	
Record's Management Date Received (dd/mm/yyyy)	

Approved By: _____ Date (DD/MM/YYYY) _____