

FORM 16

CERTIFICATE AS AN APPROVED FOREIGN ISSUER

Pursuant to Section 80(1)(a)(i) of the Securities Act, 2012 and By-law 76(1) of the Securities (General) By-Laws, 2015

General Instructions:	<p>This certificate must accompany the filing of all prospectuses being forwarded to the Commission by issuers seeking to invoke the “Approved Foreign Issuer” exemption provided for at Section 80(1) of the Securities Act, 2012.</p> <p>This certificate should be certified by the Chief Executive Officer, or equivalent, of the Foreign Issuer. Where the Chief Executive Officer is unavailable to sign the certificate, the certificate should be signed by any other duly authorized senior officer whose proof of authorization must be submitted with this certificate.</p> <p>The completed certificate should be addressed to:</p> <p style="padding-left: 40px;">The Director Disclosure Registration and Corporate Finance Trinidad and Tobago Securities and Exchange Commission Levels 22-23, Tower D International Waterfront Centre 1 Wrightson Road Port of Spain, Trinidad</p>
Required Attachments	<p>Documentary evidence which can substantiate each of the statements made in this form.</p> <p>A completed and signed Form 17.</p>

FORM 16

CERTIFICATE AS AN APPROVED FOREIGN ISSUER

Pursuant to Section 80(1)(a)(i) of the Securities Act, 2012 and By-law 76(1) of the Securities (General) By-Laws, 2015

I, _____ (**Name of Chief Executive Officer or equivalent**), _____ (**Position at the approved foreign issuer**) of _____ (**Name of approved foreign issuer**), certify that _____

(Name of approved foreign issuer):

a) Was registered as _____ (**insert category of registration**) with _____ (**insert name of foreign regulator**) on _____ (**insert registration date**)

and remains so registered and in good standing with said regulator;

b) Has been for the three (3) years immediately preceding the date of this declaration, a _____ (**insert category of registration**) under the securities laws of _____ (**insert jurisdiction**);

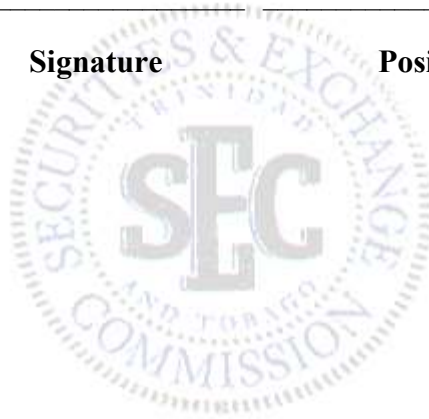
c) Meets the market capitalization requirements as set out in By-law 8(1) of the Securities (General) By-laws, 2015; and

d) Is subject to the disclosure requirements of _____ (**insert jurisdiction**);

in accordance with the definition of the term “Approved Foreign Issuer” as set out at Section 4(1) of the Securities Act, 2012.

I hereby certify that the statement and information in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material omission of information on this application may result in a breach of the Securities Act, 2012.

Print Name	Signature	Position	Date
-------------------	------------------	-----------------	-------------



End of Form

FOR OFFICIAL USE ONLY

Tool	ID Information
Registrant's Number	
Director's Number	
Document / Record Number	
Record's Management Date Received (dd/mm/yyyy)	

Approved By : _____ Date (DD/MM/YYYY) _____

