

INSTRUCTIONS
Appendix C - COLLECTIVE INVESTMENT SCHEME

General Instructions:	This appendix collects information on Collective Investment Scheme transactions. All mandatory fields are marked by an asterisk (*) and must be completed. All sections of the appendices and supporting information should be appropriately numbered and referenced with the corresponding transaction number provided. If the number of transactions being reported exceeds the space provided please complete your submission using another copy of the Collective Investment Scheme appendix, where applicable.
Name of Issuer*	State the legal name of the security issuer.
Address of Issuer*	State the address of Issuer.
Business Phone of Issuer*	Please include a country code followed by the business phone number. For example 1-xxx-xxx-xxxx
Email Address for Issuer	Provide an electronic mail address for the Issuer.
Name of Security*	Please provide the name of the security traded.
Transaction Date *	State the date on which the transaction was executed.
Type of collective investment scheme*	State the type of collective investment scheme, i.e. Fixed or Floating.
Nature of Transaction*	Select the nature of transaction, i.e. Acquisition or Disposal.
Number of units traded*	State the total number of shares or nominal amount of the security traded.
Price per unit*	State the market price per unit of the security as at the transaction date.
Total value of units traded*	State the total value of units traded.
Currency (TTD, USD, etc)*	State the currency in which the transaction is denominated. Where "Other" is selected, please state the currency in which the transaction is denominated in the "Nature of Payment" column.
Nature of Payment	State the nature of payment used in this transaction and include any further details relevant to the payment for this security. Where the allocated space is insufficient, you may attach an additional page to the completed form. All additional pages must be labelled with the relevant appendix heading, transaction number and column heading, where applicable.
Rationale for transaction*	attach an additional page to the completed form. All additional pages must be labelled with the relevant appendix heading, transaction number and column heading, where applicable.
Record of Stamp Duty Date*	State the date on which the stamp duty was paid for this transaction.
Amount of Stamp Duty paid*	State the amount of stamp duty paid.
Reference / Receipt No.*	State the reference number or receipt number of stamp duty paid for this transaction.
Name of Seller*	State the name of the seller.
Address of seller*	State the address of the seller.
Business Phone of Seller*	Please include a country code followed by the business phone number. For example 1-xxx-xxx-xxxx
Email Address for Seller	Provide an electronic mail address for the Seller.
Name of Purchaser*	State the name of the purchaser.
Address of Purchaser*	State the address of the purchaser.
Business Phone of Purchaser*	Please include a country code followed by the business phone number. For example 1-xxx-xxx-xxxx
Email Address for Purchaser	Provide an electronic mail address for the Purchaser