

FORM 21

REPORT BY REGISTRANT OF TRADES EXECUTED OTHER THAN THROUGH A SECURITIES EXCHANGE

Pursuant to Section 86 of the Securities Act, 2012 and By-law 56 of the Securities (General) By-Laws, 2015

<p>General Instructions:</p>	<p>Please complete all relevant sections of the form. If the space allocated in the form is insufficient, you may attach an additional page which should be appropriately numbered and referenced.</p> <p>All mandatory fields are marked by an asterisk (*) and must be completed.</p> <p>This form and any attachments should be certified by the Chief Executive Officer and two Directors of the Registrant. Where the Chief Executive Officer is unavailable to sign the form, the form should be signed by any other duly authorized senior officer whose proof of authorization must be submitted with this form.</p> <p>Completed forms should be submitted to:</p> <p>The Director Market Regulation and Surveillance Division Trinidad and Tobago Securities and Exchange Commission Levels 22 – 23, Tower D, International Waterfront Centre, 1 Wrightson Road, Port of Spain, Trinidad and Tobago</p>
<p>Item 1</p>	<p>Select the exact name as specified in the registrant's constituting or organizational documents and state the last day of the quarter being reported.</p>
<p>Item 2</p>	<p>Select the last day of the quarter being reported.</p>
<p>Item 3</p>	<p>Please mark "x" by the relevant checkbox to indicate whether the trades were executed other than through a securities exchange. If "NO" is selected, please proceed by completing Item 5 & Item 6. If "YES" is selected, please proceed by completing Item 4 followed by the applicable Appendix based on the type/s of securities traded, followed by Item 5 & Item 6.</p>
<p>Item 4</p>	<p>Please mark "x" by the relevant checkbox to indicate the type(s) of securities traded. Where "Other" is selected, provide complete details on the type of security in the space provided.</p> <p>Registrants are required to fill out the details of securities traded in the applicable appendices, i.e. Appendix A - Equity, Appendix B - Debt, Appendix C - Collective Investment Scheme, Appendix D - Securitized Instruments and Appendix E - Other.</p> <p>All sections of the appendices and supporting information should be appropriately numbered and referenced with the corresponding transaction number provided. If the number of transactions being reported exceeds the space provided please complete your submission using an additional copy of the appendix, where applicable.</p>
<p>Item 5</p>	<p>State the name, position, telephone number and email address of a senior officer of the registrant who is knowledgeable about the filing and who may be contacted for discussions.</p>
<p>Item 6</p>	<p>Date the application. Certify this form and any attachment(s) by including the signature of the Registrant (if an individual) or the signatures of the Chief Executive Officer and two directors of the Registrant (if a company). Where the Chief Executive Officer is unavailable to sign the form, the form should be signed by any other duly authorized senior officer whose proof of authorization must be submitted with this form.</p>