



# INVESTOR TIPS



TRINIDAD AND TOBAGO  
SECURITIES AND EXCHANGE COMMISSION  
*Building stakeholders' confidence in the local capital market*

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## About The Trinidad and Tobago Securities and Exchange Commission

The Securities and Exchange Commission ("the Commission") was established by the Securities Industry Act 1995. It is an autonomous body whose role is to provide for the regulation of the securities market in Trinidad and Tobago.

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# GETTING TO KNOW THE TTSEC

The Trinidad and Tobago Securities and Exchange Commission is the primary regulator of the securities industry in Trinidad and Tobago. We register, authorize and regulate individuals and products in accordance with our Legislation.

**Some people are investors and they don't even know it.**

- Do you own shares or units in a mutual fund?
- Do you own stocks or shares in any company?
- Do you receive dividend payments?
- Have you received payments from your workplace in the form of bonds or shares?

If you answer yes to any of these questions then you are an investor! Check our website for investor education tips designed for you to Invest with Confidence!

REGULATING THE SECURITIES INDUSTRY SO THAT YOU CAN

**INVEST WITH CONFIDENCE!**

**Trinidad and Tobago Securities and Exchange Commission**

57-59 Dundonald Street, Port of Spain, Trinidad, W.I.

• Phone: (868)-624-2991 • Fax: (868)-624-2995 • E-mail: [ttsec@ttsec.org.tt](mailto:ttsec@ttsec.org.tt)

[www.ttsec.org.tt](http://www.ttsec.org.tt)