

## TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

## **INVESTOR ALERT**

Over the past week, advertisements from **Acton Valley Investment** which offered **"INVESTMENT OPPORTUNITIES"** to members of the public, have appeared in the print media. Through this advertisement, potential investors were informed that they "stand to make up to 10x the investment capital within a Period of 14 days" and that there was "no risk involved". Acton Valley Investment also indicated that it specializes in, inter alia, "Foreign Exchange ("Forex") brokerage." Potential investors were also requested to contact an email address given in the advertisement for more information and investment opportunity.

## The public is hereby cautioned and advised as follows:

Acton Valley Investment is not now and has never been registered with the Trinidad and Tobago Securities and Exchange Commission ("the Commission") to conduct business according to any of the following categories: Broker Dealer, Investment Adviser, Underwriter, Reporting Issuer or Self-Regulatory Organization.

## Members of the public are reminded that:

- All offers to the public of securities (shares) must be registered with the Commission under section 62 (1) of the Securities Act, 2012 ("the Act").
- Only persons and entities registered by the Commission under the Act are permitted to offer and deal in securities. Investors and potential investors are further advised that they ought to be aware of the risks of accepting investment advice from persons who are unregistered and/or unqualified to provide same. Before making an investment decision or paying for any investment advice, investors must ensure that their broker dealer and/or investment advisers are registered with the Commission. You can check our list of registrants by visiting our website at <u>www.ttsec.org.tt</u>.
- Persons and/or entities that trade in securities and/or offer securities to the public without being registered with the Commission are contravening the Act and are therefore, subject to sanctions/penalties imposed by the Commission.
- Investors are also asked to be intimately familiar with their rights and responsibilities as investors, in order to avoid becoming the victims of scams and others forms of investment fraud.

For further information, please visit <u>www.ttsec.org.tt</u> or call 624 2991.