



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of Section 66(1) of the Securities Act Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago**

By

JMMB BANK (T&T) Limited

ORDER OF THE COMMISSION

Dated this 28th day of January, 2020

WHEREAS Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file its interim financial statements with the Commission within a prescribed time frame;

AND WHEREAS JMMB BANK (T&T) Limited (“the Respondent”), filed its interim financial statements for the interim period ended September 30, 2019 with the Commission past the due date of November 29, 2019;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 66(1) of the Act by failing to file its interim financial statements with the Commission for the aforementioned interim period, by the required due date;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 66(1) of the Act by filing the aforementioned interim financial statements with the Commission past the due date of November 29, 2019;

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 006 of 2020 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(10)(a) of the Act.

IT IS HEREBY ORDERED THAT:

1. JMMB BANK (T&T) Limited (“the Respondent”) has contravened Section 66(1) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent paid an administrative fine in the total sum of Three Thousand Trinidad and Tobago Dollars (TT\$3,000.00) to the Commission, receipt of which the Commission acknowledges; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

BY ORDER OF THE COMMISSION