



**NATIONAL INVESTMENT FUND  
HOLDING COMPANY LIMITED**

LEVEL 2 ERIC WILLIAMS FINANCIAL BUILDING, INDEPENDENCE SQUARE, PORT OF SPAIN  
Tel: 612-9700 Ext 1209

**20 August 2021**

The Director  
Disclosure Registration and Corporate Finance  
Trinidad and Tobago Securities and Exchange Commission  
Levels 22 – 23, Tower D  
International Waterfront Centre  
1 Wrightson Road  
**Port of Spain**



8:38 am, Aug 24, 2021

Dear Sir/Madam,

**Re: Notification of Resignation of the Chairman**

In keeping with our reporting requirements as a Reporting Issuer, National Investment Fund Holding Company Limited encloses for your records Form 6 – Notification of Change and Form 10 – Material Change Report with respect to notification of the resignation of the Chairman. In addition please find attached a copy of the notification to appear in two daily newspapers.

Please feel free to contact our Corporate Secretary, Ms. Christine Frank at [christinefrank02@gmail.com](mailto:christinefrank02@gmail.com) or 681-7012 if any further information is required.

Sincerely,

Director



Director

**Directors:**

Ms. Jennifer Lutchman, Ms. Nadira Lyder, Mr. Dexter Jaggernauth, Mr. Hayden Manzano

**FORM 6**

**NOTIFICATION**

**Pursuant to section 56 (4) of the Securities Act, 2012 and By-law 53 of the Securities (General) By-Laws, 2015**

|                              |   |
|------------------------------|---|
| <b>General Instructions:</b> | <p>Please complete all relevant sections; where the allocated space is insufficient, you may continue on a separate page and attach to the completed form. All supporting information and attached pages should be appropriately numbered and referenced.</p> <p>This form and any attachments should be certified in accordance with Item 7 of these Instructions.</p> <p>Completed Forms should be submitted to:</p> <p style="padding-left: 40px;">The Director<br/>Disclosure Registration and Corporate Finance<br/>Trinidad and Tobago Securities and Exchange Commission<br/>57-59 Dundonald Street<br/>Port of Spain<br/>Trinidad</p> |
| <b>Item 1</b>                | State exact name as specified in the Registrant's national identification (in the case of an individual) or constituting or organizational documents.   |
| <b>Item 2</b>                | State the registrant's principal business address, website, telephone numbers, email addresses and fax numbers.   |
| <b>Item 3</b>                | Please mark "x" by the relevant checkbox to indicate the registrant's category or categories of registration.   |
| <b>Item 4</b>                | Identify the type of change that is the subject of this Form, based on the content of List A or List B of Schedule 3 of the By-laws   |
| <b>Item 5</b>                | Attach and mark as an exhibit to this Form a statement of particulars of any change to any information set out List A or List B of Schedule 3 of the By -laws.  |

|               |   |
|---------------|---|
|               | <p>These particulars should include the date and details of the event that caused the submission of this Form. Where applicable, also include certified copies of any documents supporting the change.</p>  |
| <b>Item 6</b> | <p>State the name, business telephone number and email address of the designated officer of the registrant who is knowledgeable about the notice and who may be contacted for discussions.</p>  |
| <b>Item 7</b> | <p>Date the application. Certify this form and any attachment (s), by including the signature of the Registrant (if an individual) or the signatures of the Chief Executive Officer and two directors of the Registrant (if a company). Where the Chief Executive Officer is unavailable to sign the form, the form should be signed by any other duly authorized senior officer whose proof of authorization must be submitted with this form.</p> |

SEC 3

## FORM 6

### NOTIFICATION OF CHANGE

**Pursuant to section 56 (4) of the Securities Act, 2012 and By-law 53 of the Securities (General) By-Laws, 2015**

#### 1. NAME OF REGISTRANT

|  |
|--|
| Name of Registrant                               |
| NATIONAL INVESTMENT FUND HOLDING COMPANY LIMITED |

#### 2. CONTACT DETAILS OF REGISTRANT

|                               |   |                            |                |
|-------------------------------|---|----------------------------|----------------|
| Primary Business Address      | L2 ERIC WILLIAMS FINANCIAL BUILDING, INDEPENDENCE SQUARE, PORT-OF-SPAIN |                            |                |
| Work Phone (1-xxx-xxx-xxxx)   | 1-868-612-9700 *1208/9  | Fax Phone (1-xxx-xxx-xxxx) | 1-868-627-6108 |
| Mobile Phone (1-xxx-xxx-xxxx) | N/A   | Email Address              | nif@gov.tt     |

#### 3. CATEGORY OF REGISTRATION

|                           |                                     |
|---------------------------|-------------------------------------|
| Broker Dealer             | <input type="checkbox"/>            |
| Investment Adviser (only) | <input type="checkbox"/>            |
| Underwriter               | <input type="checkbox"/>            |
| Reporting Issuer          | <input checked="" type="checkbox"/> |

#### 4. TYPE OF CHANGE – AS PER SCHEDULE 3 OF SECURITIES (GENERAL) BY-LAWS, 2015

| List A | List B   |
|--------|--|
|        | Changes Requiring Notification by Reporting Issuers Registered under section 61(1)(a) of the Act – Resignation of a Director |
|        |  |
|        |  |

#### 5. DETAILS OF CHANGES

Resignation of Mr. Vishnu Dhanpaul (Chairman) with effect from August 16 2021.

6. CONTACT PERSON AT REGISTRANT

|   |  |                           |                            |
|---|--|---------------------------|----------------------------|
| Name (First Name Last Name)             | CHRISTINE INGRID FRANK   |                           |                            |
| Position in Organization                | PRINCIPAL OF CORPORATE SECRETARY<br>(C. Frank & Associates Ltd.) |                           |                            |
| Work Phone (1-xxx-xxx-xxxx)<br>ext. xxx | 1 (868) 638-6380   | Fax Phone(1-xxx-xxx-xxxx) | 1(868) 623-6338            |
| Mobile Phone (1-xxx-xxx-xxxx)           | 1(868) 681-7012  | Email Address             | christinefrank02@gmail.com |

7. DATE, CERTIFICATION AND SIGNATURE

I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material omission of information on this application may result in a breach of the Securities Act, 2012.

JENNIFER LUTCHMAN

Print Name

Jutchman

Signature

DIRECTOR

Position

20/08/2021

Date

DEEPA DAGGERNAUTH

Print Name

Deeпа Dаggerнаuth

Signature

DIRECTOR

Position

20 Aug 2021

Date

HAYDEN MANZANO

Print Name

Hayden Manzano

Signature

DIRECTOR

Position

20/08/2021

Date

**FOR OFFICIAL USE ONLY**

| <b>Tool</b>                                    | <b>ID Information</b> |
|--|-----------------------|
| Registrant's Number                            |                       |
| Director's Number                              |                       |
| Document / Record Number                       |                       |
| Record's Management Date Received (dd/mm/yyyy) |                       |

Approved By : \_\_\_\_\_ Date (DD/MM/YYYY) \_\_\_\_\_



**FORM 10**

**MATERIAL CHANGE REPORT**

**Pursuant to Section 64 of the Securities Act, 2012**

|                              |  |
|------------------------------|--|
| <b>General Instructions:</b> | <p>Please complete all relevant sections; where the allocated space is insufficient, you may continue on a separate page and attach to the completed form. All supporting information and attached pages should be appropriately numbered and referenced.</p> <p>This report and any attachments should be certified by a Senior Officer of the Reporting Issuer.</p> <p>Completed reports should be submitted to:</p> <p>The Director<br/>Market Regulation and Surveillance<br/>Trinidad and Tobago Securities and Exchange Commission<br/>57-59 Dundonald Street<br/>Port of Spain<br/>Trinidad</p> |
| <b>Item 1</b>                | State the name of the reporting issuer, and include its business address.  |
| <b>Item 2</b>                | State the date on which the material change occurred.  |
| <b>Item 3</b>                | Provide sufficient disclosure regarding the material change to enable a reader to appreciate the nature and substance of the material change without having to refer to any other sources. Examples of matters that would be subject to disclosure include: dates, parties, terms and conditions, effect on financial condition, value, reasons for the change, purpose of the change, and a general comment on the probable impact of the material change on the reporting issuer.  |
| <b>Item 4</b>                | State whether the issuer is seeking an exemption from publishing a notice in accordance with section 64(2) of the Securities Act 2012, and complete the associated "yes" or "no" sections of the form accordingly.   |
| <b>Item 5</b>                | Give the name, position, business telephone number and email address of a senior officer of the reporting issuer who may be contacted to discuss further details regarding the material change.  |
| <b>Item 6</b>                | Include the signature of the Senior Officer identified in Item 5, confirming the material change report, and certifying the statement outlined.  |

**FORM 10**

**MATERIAL CHANGE REPORT**

**Pursuant to section 64 of the Securities Act, 2012**

**1. NAME OF REPORTING ISSUER**

Name of Reporting Issuer

NATIONAL INVESTMENT FUND HOLDING COMPANY LIMITED

**2. DATE OF MATERIAL CHANGE**

Date of material change

16 AUGUST 2021

**3. DESCRIPTION OF MATERIAL CHANGE**

Provide a description of the material change

Resignation of the Chairman – Mr. Vishnu Dhanpaul with effect from 16 August 2021



4. DETAILS OF PUBLICATION OF MATERIAL CHANGE

|  | YES                      | NO                                  |
|--|--------------------------|-------------------------------------|
| Will you be seeking an exemption from publishing a notice in accordance with section 64(2) of the Securities Act 2012? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

If "No"

|  |                |
|--|----------------|
| Date of Publication of Notice<br>(dd/mmm/yyyy) | 23 August 2021 |
|--|----------------|

If "Yes"

| State the reasons for applying for the exemption |
|--|
| N/A  |

5. DETAILS OF SENIOR OFFICER

|  |   |
|--|---|
| Name (Salutation, First name, Last name) | MS. CHRISTINE I. FRANK  |
| Position in Organization                 | PRINCIPAL OF CORPORATE SECRETARY<br>(C. FRANK & ASSOCIATES LTD) |
| Business Address                         | 6 LLOYD STREET, SAN JUAN  |
| Work Phone (1-xxx-xxx-xxxx)              | 1-868-681-7012  |
| Fax Phone(1-xxx-xxx-xxxx)                | 1-868-623-6338  |
| Email Address                            | christinefrank02@gmail.com                                      |

6. DATE, CERTIFICATION AND SIGNATURE

I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material omission of information on this application may result in a breach of the Securities Act, 2012.

JENNIFER LUTCIANO      *Jitelman*      DIRECTOR      20/05/2021  
Print Name                      Signature                      Position                      Date

**FOR OFFICIAL USE ONLY**

| Tool   | ID Information |
|--|----------------|
| Registrant's Number                            |                |
| Director's Number                              |                |
| Document / Record Number                       |                |
| Record's Management Date Received (dd/mm/yyyy) |                |

Approved By : \_\_\_\_\_ Date (DD/MM/YYYY) \_\_\_\_\_