



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**IN THE MATTER OF SECTION 40 OF**

**THE SECURITIES ACT, 2012**

**AND**

**THE REPEAL AND REPLACEMENT OF**

**RULE 203 - [EX-CONDITION DEALING]**

**OF THE TRINIDAD AND TOBAGO STOCK EXCHANGE  
LIMITED RULES**

**NOTICE**

**TAKE NOTICE** that on the 23<sup>rd</sup> day of September 2022, the Trinidad and Tobago Stock Exchange Limited (“the TTSE”), a duly registered Self-Regulatory Organisation under section 36 of the Securities Act, 2012 (“the Act”), filed with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) a concise Statement of Substance and Purpose in respect of **the repeal and replacement of Rule 203 - [Ex-Condition Dealing] of the TTSE Rules** in accordance with section 40 of the Act.

The complete Statement of Substance and Purpose can be accessed via the Commission’s website at the following link:

<https://www.ttsec.org.tt/notice-for-written-comments-ttse-rule-203/>

Hard copies can also be obtained from the offices of the Commission and the TTSE at the following addresses:

**Trinidad and Tobago Securities and Exchange Commission**

Levels 22-23, Tower D  
International Waterfront Centre  
1 Wrightson Road  
Port of Spain

Or

**Trinidad and Tobago Stock Exchange Limited**

10<sup>th</sup> Floor  
Nicholas Tower  
63-65 Independence Square  
Port of Spain

**AND FURTHER TAKE NOTICE** that the Commission is inviting any interested persons to submit written comments on the proposed amendment no later than **March 24, 2023**. Comments may be submitted by letter addressed to the Director Market Regulation and Surveillance, Trinidad and Tobago Securities and Exchange Commission, Levels 22-23, Tower D, International Waterfront Centre, 1 Wrightson Road, Port of Spain OR by electronic mail at [rule203amendment@ttsec.org.tt](mailto:rule203amendment@ttsec.org.tt)

Dated this 28th day of February, 2023

**LYSTRA LUCILLIO**  
**AG. CHIEF EXECUTIVE OFFICER**