



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**IN THE MATTER OF SECTION 40 OF
THE SECURITIES ACT, 2012**

AND

**THE AMENDMENT OF
RULE 210 - [BARGAINS PUT-THROUGH THE MARKET]
OF THE TRINIDAD AND TOBAGO STOCK EXCHANGE
LIMITED RULES**

NOTICE

TAKE NOTICE that on the 18th day of October 2019, the Trinidad and Tobago Stock Exchange Limited (“the TTSE”), a duly registered Self-Regulatory Organisation under section 36 of the Securities Act, 2012 (“the Act”), filed with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) a concise Statement of Substance and Purpose in respect of **the amendment of Rule 210 - [Bargains put-through the market] of the TTSE Rules** in accordance with section 40 of the Act.

The complete Statement of Substance and Purpose can be accessed via the Commission’s corporate website at the following link:

<https://www.ttsec.org.tt/notice-statement-of-substance-and-purpose-re-amendment-of-rule-210/>

Hard copies can also be obtained from the offices of the Commission and the TTSE at the following addresses:

Trinidad and Tobago Securities and Exchange Commission

Levels 22-23, Tower D
International Waterfront Centre
1 Wrightson Road
Port of Spain

Or

Trinidad and Tobago Stock Exchange Limited

10th Floor

Nicholas Tower

63-65 Independence Square

Port of Spain

AND FURTHER TAKE NOTICE that the Commission is inviting any interested persons to submit written comments on the proposed amendment no later than **February 3rd, 2020**. Comments may be submitted by letter addressed to Mrs. Mariella Woodruffe-Charles, Financial Research Officer, Trinidad and Tobago Securities and Exchange Commission, Levels 22-23, Tower D, International Waterfront Centre, 1 Wrightson Road, Port of Spain OR by electronic mail at ruleamendments@ttsec.org.tt.

Dated this 6th day of January, 2020

HADYN GITTENS

CHIEF EXECUTIVE OFFICER