



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**IN THE MATTER OF SECTION 40 OF
THE SECURITIES ACT, 2012**

AND

**THE PROPOSED AMENDMENT TO RULE 400 (2) –
[SPECIAL MARKET LISTING REQUIREMENTS, SMALL
AND MEDIUM ENTERPRISE MARKET] OF THE TRINIDAD
AND TOBAGO STOCK EXCHANGE LIMITED RULES**

NOTICE

TAKE NOTICE that on the 18th day of April 2023, the Trinidad and Tobago Stock Exchange Limited (“the TTSE”), a duly registered Self-Regulatory Organisation under section 36 of the Securities Act, 2012 (“the Act”), filed with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) a concise Statement of Substance and Purpose in respect of **the proposed amendment to Rule 400 (2) – [Special Market Listing Requirements, Small and Medium Enterprise Market] of the TTSE Rules** in accordance with section 40 of the Act.

The complete Statement of Substance and Purpose can be accessed via the Commission’s website at the following link:

<https://www.ttsec.org.tt/sosp-ttse-rule-400-2-sme-special-market-listing-rule/>

Hard copies can also be obtained from the offices of the Commission and the TTSE at the following addresses:

Trinidad and Tobago Securities and Exchange Commission

Levels 22-23, Tower D
International Waterfront Centre
1 Wrightson Road
Port of Spain

Or

Trinidad and Tobago Stock Exchange Limited

10th Floor

Nicholas Tower
63-65 Independence Square
Port of Spain

AND FURTHER TAKE NOTICE that the Commission is inviting any interested persons to submit written comments on the proposed amendment no later than **July 9, 2023**. Comments may be submitted by letter addressed to the Director Market Regulation and Surveillance, Trinidad and Tobago Securities and Exchange Commission, Levels 22-23, Tower D, International Waterfront Centre, 1 Wrightson Road, Port of Spain OR by electronic mail at rule400amendments@ttsec.org.tt

Dated this 15th day of June, 2023

LYSTRA LUCILLIO
AG. CHIEF EXECUTIVE OFFICER