



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**IN THE MATTER OF SECTION 40 OF
THE SECURITIES ACT, 2012**

AND

**THE PROPOSED ADDITION OF
RULE 400(2) - [SPECIAL MARKET LISTING
REQUIREMENTS] AND RULE 700s – [SMALL AND MEDIUM
ENTERPRISES MENTORSHIP RULES]
TO THE TRINIDAD AND TOBAGO STOCK EXCHANGE
LIMITED RULES**

NOTICE

TAKE NOTICE that on the 23rd day of June 2022, the Trinidad and Tobago Stock Exchange Limited (“the TTSE”), a duly registered Self-Regulatory Organisation under section 36 of the Securities Act, 2012 (“the Act”), filed with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) a concise Statement of Substance and Purpose in respect of **the proposed addition of Rule 400(2) - [Special Market Listing Requirements] and Rule 700s - [Small and Medium Enterprises Mentorship Rules] to the TTSE Rules** in accordance with section 40 of the Act.

The complete Statement of Substance and Purpose as well as the proposed Small and Medium Enterprises Mentorship Rules can be accessed via the Commission’s website at the following link:

<https://www.ttsec.org.tt/notice-ttse-concise-statement-of-substance-and-purpose-re-proposed-addition-of-rule-4002-to-the-ttse-rules/>

Hard copies can also be obtained from the offices of the Commission and the TTSE at the following addresses:

Trinidad and Tobago Securities and Exchange Commission
Levels 22-23, Tower D
International Waterfront Centre
1 Wrightson Road
Port of Spain

Or

Trinidad and Tobago Stock Exchange Limited

10th Floor

Nicholas Tower

63-65 Independence Square

Port of Spain

AND FURTHER TAKE NOTICE that the Commission is inviting any interested persons to submit written comments on the proposed amendment no later than **July 15, 2022**. Comments may be submitted by letter addressed to the Director, Market Regulation and Surveillance, Trinidad and Tobago Securities and Exchange Commission, Levels 22-23, Tower D, International Waterfront Centre, 1 Wrightson Road, Port of Spain OR by electronic mail at ruleamendmentsme@ttsec.org.tt

Dated this **27th day of June, 2022**

LYSTRA LUCILLIO

AG. CHIEF EXECUTIVE OFFICER