



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

IN THE MATTER OF THE CONTRAVENTION OF

- (1) SECTION 63(a) OF THE SECURITIES ACT, CHAPTER 83:02 OF THE LAWS OF THE REPUBLIC OF TRINIDAD AND TOBAGO AND BY-LAW 47(C) OF THE SECURITIES (GENERAL) BY-LAWS, 2015**
- (2) SECTION 65(1) OF THE SECURITIES ACT, CHAPTER 83:02 OF THE LAWS OF THE REPUBLIC OF TRINIDAD AND TOBAGO AND BY-LAW 48(1) OF THE SECURITIES (GENERAL) BY-LAWS, 2015 (“THE MATTER”)**

NOTICE OF HEARING

TAKE NOTICE that the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) will convene a virtual Hearing of the Matter pursuant to Section 159(1) of the Securities Act, Chap. 83:02 at **10:30a.m. on Tuesday 10th August, 2021;**

AND TAKE NOTICE that at this Hearing, the Commission will consider the following alleged contraventions by the **PUBLIC TRANSPORT SERVICE CORPORATION (“PTSC”)**:

1. Failure to file Annual Reports for the years ended 31st December 2015, 31st December 2016, 31st December 2017 and 31st December 2018 in accordance with section 63(a) of the Act and By-Law 47(c) of the By-Laws;
2. Failure to file Audited Annual Comparative Financial Statements for the financial years 2015 to 2019 in contravention of Section 65(1) of the Act and 48(1) of the By-Laws, respectively; and
3. Any other related Contraventions.

AND TAKE NOTICE that:

1. The proceedings will be conducted virtually;
2. Any party to the proceedings may appear in person or be represented by Counsel at the Hearing; and
3. Affected persons who wish to be heard at the proceedings are requested to make written submissions by **4:00 p.m. on Friday 6th August, 2021.**

AND TAKE NOTICE that upon failure of any party to attend, the Hearing may proceed in the absence of that party and such party is not entitled to any further notice of the proceedings.

AND FURTHER TAKE NOTICE that this Hearing is open to the public, therefore any interested member of the public, party to the proceedings, or their legal representative (s) may contact the Commission's Corporate Secretary by **Friday 6th August, 2021 at 4:00p.m.** via telephone at 624-2991 or email hearings@tsec.org.tt in order to:

1. Confirm whether they will be attending the Hearing; and
2. Provide the name(s) of their representatives.

The link for the hearing will be provided to confirmed attendees at a subsequent date.

Dated this 30th day of July, 2021

**Chief Legal Counsel
Trinidad and Tobago Securities and Exchange Commission**