



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In the Matter of Section 40 of the Securities Act, 2012**

**AND**

**In the Matter of an Application by the Trinidad and Tobago Stock Exchange Limited (“the TTSE”) for the amendment of Rule 203 [Ex-condition Dealing] and Rule 212 [Dealing and Account Periods] of its Rules.**

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**TAKE NOTICE** that the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) will convene a hearing to consider an application by the Trinidad and Tobago Stock Exchange Limited (“the TTSE”) for the amendment of Rule 203 [Ex-condition Dealing] and Rule 212 [Dealing and Account Periods] of the TTSE Rules.

The complete Statements of Substance and purpose with respect to Rules 203 and 212 are available on the Commission’s website [www.ttsec.org.tt](http://www.ttsec.org.tt) via the links:

- <https://www.ttsec.org.tt/wp-content/uploads/STATEMENT-OF-SUBSTANCE-AND-PURPOSE-Rule-203-Ex-Condition-Trading.pdf>
- <https://www.ttsec.org.tt/wp-content/uploads/STATEMENT-OF-SUBSTANCE-Rule-212.pdf>

**AND TAKE NOTICE** that:

1. This hearing will be expedited pursuant to Rule 11 of the Securities Industry (Hearings and Settlements) Practice Rules, 2008 and will be held via a virtual meeting platform at **9:30 a.m. on Wednesday 7<sup>th</sup> June 2023.**
2. Interested parties who wish to be heard at the hearing, may appear in person, or be represented by counsel. Such parties are requested to make written submissions addressed to the Commission’s Corporate Secretary.
3. Members of the public wishing to attend the hearing should confirm their attendance and indicate that they are attending as a member of the public.

All communication and filings concerning this Hearing must be directed to the Corporate Secretariat via email to [hearings@ttsec.org.tt](mailto:hearings@ttsec.org.tt) by **4:00p.m on Monday 5<sup>th</sup> June 2023.** The Corporate Secretariat may also be contacted via phone at 624-2991 Ext. 1286 or 1273.

**Dated the 22<sup>nd</sup> May 2023.**  
**BY ORDER OF THE COMMISSION**