



NOTICE

The Trinidad and Tobago Securities and Exchange Commission (TTSEC) wishes to advise all market actors registered pursuant to the Securities Industry Act Chap. 83:02 (SIA) that they can now access the **Application for the Approval of Compliance Officers Form** via the TTSEC website www.ttsec.org.tt.

To access the form click on the following link:

http://www.ttsec.org.tt/investor_resources.php?mid=90

Market Actors are reminded of the requirement to seek the approval of their Compliance Officer pursuant to **Section 4(2)** of the **Financial Obligations Regulations, 2010**.

For further information, concerns or queries, kindly contact the Commission via:

Email: aml@ttsec.org.tt or

Telephone: (868) 624-2991