



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of Section 40 of the Securities Act, 2012

And

In the matter of an application by the Trinidad and Tobago Stock Exchange Limited for the addition of Rule 400(2) [Special Market Listing Requirements] and Rule 700s [Small and Medium Enterprises Mentorship Rules] to its Rules

ORDER OF THE COMMISSION

Dated this 29th day of August, 2022

WHEREAS on March 29, 2022 the Trinidad and Tobago Stock Exchange Limited (“the TTSE”) applied to the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) to approve amendments to its Rules to add Rule 400(2) [Special Market Listing Requirements] and Rule 700s [Small and Medium Enterprises Mentorship Rules (“SME Rules”)].

AND WHEREAS the Commission convened a Public Expedited Hearing of the Hearing Panel to consider the written and oral submissions of the Staff of the Commission and the Representatives of the TTSE.

AND UPON the Board of Commissioners having considered the matters as set out in Board Paper No. 83 of 2022.

IT IS HEREBY ORDERED THAT:

1. In accordance with section 40 of the Securities Act Chap. 83:02 and the Securities Industry (Hearings and Settlements) Practice Rules, 2008, Rule 400(2) [Special Market Listing Requirements] and Rule 700s [Small and Medium Enterprises Mentorship Rules] of the Trinidad and Tobago Stock Exchange Limited's Rules as amended be approved; and
2. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

Dated this 29th day of August, 2022

BY ORDER OF THE COMMISSION