



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION
In the Matter of Contravention of Section 64 (1) (c) of the Securities Act, Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago

by
JMMB Group Limited

ORDER OF THE COMMISSION

Dated this 28th day of January, 2020

WHEREAS Section 64(1)(c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file a copy of its published notice, when a material change occurs in its affairs, with the Commission, within the prescribed time frame;

AND WHEREAS JMMB Group Limited (the Respondent”), filed a copy of its published Notice of Material Change with the Commission, past the due date of January 8, 2019;

AND WHEREAS the Commission advised the Respondent that it was in contravention of Section 64(1)(c) of the Act by failing to file the said published Notice of Material Change on or before January 8, 2019;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 64(1)(c) of the Act;

AND WHEREAS the Respondent entered into a settlement agreement (“Settlement Agreement”) with the Staff of the Commission and the Settlement Agreement was approved by the Board of Commissioners;

AND WHEREAS the Commission has considered the Settlement Agreement and has determined that it is in the public interest to make the Order.

IT IS HEREBY ORDERED THAT:

1. JMMB Group Limited (“ the Respondent”) has contravened section 64(1)(c) of the Act;
2. Pursuant to Section 156 (2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Seven Thousand, Eight Hundred Trinidad and Tobago Dollars (TT\$7,800.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

BY ORDER OF THE COMMISSION