

THE REPUBLIC OF TRINIDAD AND TOBAGO

IN THE HIGH COURT OF JUSTICE

Claim No. CV2011 - 02869

IN THE MATTER OF THE SECURITIES INDUSTRIES ACT CHAP. 89:02 OF THE  
LAWS OF TRINIDAD AND TOBAGO

AND

IN THE MATTER OF ALLEGED CONTRAVENTIONS OF SECTIONS 53(1) AND  
OR 82 OF THE SECURITIES INDUSTRIES ACT BY WELTHECON  
INVESTMENT MANAGERS LIMITED, PIERRE FINANCE INVESTMENTS  
LIMITED AND PETER DE GANNES

AND

IN THE MATTER OF AN APPLICATION BY THE TRINIDAD AND TOBAGO  
SECURITIES AND EXCHANGE COMMISSION FOR ORDERS PURSUANT TO  
SECTIONS 140(2) AND 144(4) OF THE SECURITIES INDUSTRIES ACT AND  
CERTAIN OTHER ANCILLARY ORDERS

Between:

THE TRINIDAD AND TOBAGO SECURITIES EXCHANGE COMMISSION

Claimant

And:

WELTHECON INVESTMENT MANAGERS LIMITED  
PIERRE FINANCE INVESTMENTS LIMITED  
PETER DE GANNES

Defendants

**ORDER**

Before the Hon. Mr. Justice Rajkumar

Dated the 14<sup>th</sup> day of February 2012

UPON this matter coming up for hearing

AND UPON hearing Counsel on behalf of the Claimant and the Third Named  
Defendant appearing in person and one Intervener in person. *on the 10<sup>th</sup> February, 2012*

IT IS HEREBY ORDERED AND DIRECTED as follows:

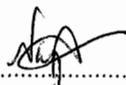


1. That the statements and balances of any accounts held in the name of Welthecon Investment Managers Limited, Pierre Finance Investments Limited and/or Mr. Peter De Gannes at:-
  - i. Republic Bank Limited;
  - ii. RBC(Royal Bank) Trinidad Limited;
  - iii. Scotiabank Trinidad and Tobago Limited;
  - iv. Unit Trust Corporation;
  - v. First Citizens Bank Limited;
  - vi. First Citizens Brokerage and Advisory Services Limited;
  - vii. First Citizens Investment Services Limited
  - viii. Any subsidiary and/or affiliate of the aforementioned
  - ix. Caribbean Money Market Brokers

be disclosed to the Trinidad and Tobago Securities and Exchange Commission together with information related to the structure of such accounts including the existence of any sub-accounts and the names in which any such sub-accounts are held and the balances thereof as at the date of this Order;

2. That Republic Bank Limited disclose immediately to the Trinidad and Tobago Securities and Exchange Commission the transaction history for the period July 1<sup>st</sup> 2011 to August 5<sup>th</sup> 2011 in relation to US Savings Account #000-213-096-679 i.n.o. Welthecon Investment Managers Limited;
3. That First Citizens Investment Services (formerly known as Caribbean Money Market Brokers) disclose immediately to the Trinidad and Tobago Securities and Exchange Commission a transaction history for the period July 1, 2011 to September 16, 2011 in relation to accounts held in the name of Welthecon Investment Managers Limited, Pierre Finance Investments Limited, Mr. Peter De Gannes and/or John and Carmen Georges.

**AND IT IS FURTHER ORDERED** that the matter is adjourned to 5<sup>th</sup> July 2012 for mention and report at 9:30 am in courtroom POS16.

  
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**Assistant Registrar  
Supreme Court**

TRINIDAD AND TOBAGO  
ASSISTANT REGISTRAR  
SUPREME COURT

TO: **Elaine Veronica Green**  
Attorney-at-Law  
HUDSON-PHILLIPS' CHAMBERS  
33 ST. VINCENT STREET  
PORT OF SPAIN,

TO: **PETER DE GANNES**  
WELTHECON INVESTMENT MANAGERS LIMITED  
NO.25 DE VERTUIEL STREET  
WOODBROOK