

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024



The Trinidad and Tobago Securities and Exchange Commission (“the Commission”) is responsible for the oversight of the securities industry ensuring that it operates in a fair, transparent and efficient manner in order to protect the rights of investors.

The Commission regulates the securities market by registering all securities that are distributed to the public, all issuers of those securities, and market intermediaries directly involved in the issuance, offering and sale of those securities e.g., ***Broker-Dealers, Investment Advisers, Underwriters, and Self-Regulatory Organisations***. Certain employees or officers of Broker-Dealers, Investment Advisers and Underwriters are also required to be registered with the Commission as Registered Representatives.

HOW DOES REGISTERING WITH THE COMMISSION PROTECT INVESTORS?

The registration process requires all registrants (issuers of securities as well as market intermediaries such as ***Broker-Dealers, Investment Advisers, and Underwriters***) to provide the Commission with pertinent information regarding the registrant itself (such as background information on its operations, management and financial position) as well as any securities that the registrant intends to distribute. **The Commission ensures that the information meets the requirements of the law and is available to the public.**

Firms and individuals are registered by category – each registration category attracts different educational, experience, and financial requirements, and permits the registrant to conduct different activities. **The Commission ensures that the companies are genuine entities with a registered office, staffed by qualified professionals who possess the knowledge, skills and experience to conduct the class of business for which the companies are being registered.**

WHAT ARE THE QUALIFICATIONS FOR REGISTRATION?

Registration will not be granted unless the Commission is satisfied that an applicant is fit and proper, meets the requirement of the law with respect to capital and educational qualifications, and discloses any information that may affect the conduct and integrity of the applicant’s business. This information is also available for public viewing at the Commission.

The provision of information does not end after the initial registration. Registrants are required to continuously provide the Commission, as well as investors, with information inclusive of changes which may positively or negatively impact the performance of their business and the securities available to investors.

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IS REGISTRATION AN ENDORSEMENT?

While we advise investors to deal only with registrants that are registered with the Commission, **registration with the Commission is NOT an endorsement of the registrant or of any security. The Commission provides neither guarantee nor opinion on the performance of a registrant or security.**

Always remember, **even though you are dealing with someone who is registered with the Commission, you should evaluate the registrant and the securities being offered and if necessary, seek independent financial advice before making an investment decision.**

DEFINITION OF TERMS

- ***Broker-Dealer***
A firm acting as an intermediary between a buyer and a seller of securities, usually for a fee or a commission. When acting as a broker, a Broker-Dealer executes orders on behalf of their client. When acting as a dealer, a Broker-Dealer executes trades for the firm's own account.
- ***Investment Adviser***
An individual or firm that advises clients on investment in, or the purchase or sale of securities on a professional basis.
- ***Underwriter***
A company that arranges for the issuance or distribution of securities and/or agrees to purchase any unsold securities thereby guaranteeing subscription either in full or in part.
- ***Registered Representative***
An employee or officer of a registered Broker-Dealer, Investment Adviser or Underwriter who liaises with members of the public on behalf of their employers further to the offering, distribution or sale of securities.
- ***Sponsored Broker-Dealer or Sponsored Investment Adviser***
An individual who is employed by a Brokerage or Investment firm from a foreign jurisdiction. This individual aligns with a local Broker-Dealer or Investment Adviser who sponsors that individual's registration with the Commission. A Sponsored Broker-Dealer or Sponsored Investment Adviser that is registered with the Commission can conduct securities business in Trinidad and Tobago for a maximum of ninety (90) days in a calendar year.
- ***Reporting Issuer***
A corporation that has distributed or proposes to distribute securities to the public and is subject to the continuous disclosure requirements of the Commission.
- ***Self-Regulatory Organisation***
A non-governmental organisation that has the power to create and enforce industry regulations and standards. The priority is to protect investors through the establishment of rules that promote ethics and equality.

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In accordance with the requirements of Section 52(8) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago, the Commission provides the undermentioned list of its Registrants and Self-Regulatory Organisations as at 31st March 2024.

WANT TO KNOW MORE?

For additional information, you are invited to contact, the Trinidad and Tobago Securities and Exchange Commission, at (868) 624-2991, or visit our website at www.ttsec.org.tt.

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024

<u>BROKER-DEALERS</u>	
1.	Admiral Limited
2.	Ansa Merchant Bank Limited
3.	Ansa Securities Limited
4.	B3V Holdings Limited
5.	Bourse Brokers Limited
6.	Bourse Securities Limited
7.	Capital Markets Elite Group (Trinidad and Tobago) Limited
8.	Caribbean Stockbrokers Limited
9.	Citicorp Merchant Bank Limited
10.	Development Finance Limited
11.	FigTree Financial (T&T) Limited
12.	First Citizens Bank Limited
13.	First Citizens Brokerage and Advisory Services Limited
14.	First Citizens Depository Services Limited
15.	First Citizens Investment Services Limited
16.	First Citizens Portfolio and Investment Management Services Limited
17.	FirstCaribbean International Bank (Trinidad & Tobago) Limited
18.	Firstline Securities Limited
19.	Guardian Asset Management and Investment Services Limited
20.	Guardian Group Trust Limited
21.	JMMB Bank (T&T) Limited
22.	JMMB Investments (Trinidad and Tobago) Limited
23.	JMMB Securities (T&T) Limited
24.	KCL Capital Market Brokers Limited
25.	KSBM Asset Management Limited
26.	Maritime Capital Limited
27.	Massy Finance GFC Ltd.
28.	Murphy Clarke Financial Limited
29.	NCB Merchant Bank (Trinidad and Tobago) Limited
30.	RBC Investment Management (Caribbean) Limited
31.	RBC Royal Bank (Trinidad and Tobago) Limited
32.	Republic Bank Limited
33.	Republic Wealth Management Limited
34.	Sagikor Investments Trinidad & Tobago Limited
35.	Sagikor Life Incorporated
36.	Scotia Investments (Trinidad and Tobago) Limited
37.	Scotiabank Trinidad and Tobago Limited
38.	Sheppard Securities Limited
39.	Sheppard Stockbrokers Limited
40.	The Home Mortgage Bank
41.	Trinidad and Tobago Unit Trust Corporation
42.	Waterloo Capital Advisors Limited
43.	West Indies Stockbrokers Limited

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024

INVESTMENT ADVISERS

1.	Aspire Fund Management Company Limited
2.	FCL Financial Limited
3.	Guardian Life of the Caribbean Limited
4.	Mondial (Trinidad) Limited
5.	SEAF Caribbean Management LLC
6.	SHBL Investments Company Limited
7.	Williams, Aldon Philip

UNDERWRITERS

1.	RBC Merchant Bank (Caribbean) Limited
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REGISTERED REPRESENTATIVES

	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
1.	Abdool, Amani	First Citizens Portfolio and Investment Management Services Limited	Advising
2.	Achong, Sean	Ansa Merchant Bank Limited	Brokering
3.	Adam, Karen	Sagicor Investments Trinidad & Tobago Limited	Associate
4.	Akan, Myrnelle	SHBL Investments Company Limited	Advising
5.	Albert, Joanne	First Citizens Investment Services Limited	Advising
6.	Alexander, Liesel	KCL Capital Market Brokers Limited	Advising, Brokering and Underwriting
7.	Alexander, Liesel	Aspire Fund Management Company Limited	Advising
8.	Alexander, Ria	Scotiabank Trinidad and Tobago Limited	Brokering
9.	Alexander, Sharon	First Citizens Bank Limited	Brokering
10.	Alfred-Pierre, Annissa	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
11.	Ali, Christopher	First Citizens Bank Limited	Brokering
12.	Ali, Ishmael	Ansa Merchant Bank Limited	Associate
13.	Ali, Ishmael	Ansa Securities Limited	Associate
14.	Ali, Salahudeen	Maritime Capital Limited	Brokering
15.	Ali, Salma	West Indies Stockbrokers Limited	Brokering
16.	Ali, Sarfraz	Scotiabank Trinidad and Tobago Limited	Associate

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17.	Ali-Manoo, Anisha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
18.	Alleyne, Candice	Scotiabank Trinidad and Tobago Limited	Associate
19.	Alleyne, Harold	Caribbean Stockbrokers Limited	Advising, Brokering and Underwriting
20.	Alleyne, Joshua	Sheppard Securities Limited	Associate
21.	Alleyne, Joshua	Sheppard Stockbrokers Limited	Associate
22.	Andrews, Schubert	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
23.	Aparicio-Owen, Talia	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
24.	Ashby, Lyndelle	KCL Capital Market Brokers Limited	Advising
25.	Ashton, Chevorn	Guardian Asset Management and Investment Services Limited	Advising
26.	Assing, Ryan	Scotiabank Trinidad and Tobago Limited	Brokering
27.	Atwell, Amrika	First Citizens Bank Limited	Brokering
28.	Atwell, Gabrielle	First Citizens Bank Limited	Brokering
29.	Austin, Kirby	JMMB Investments (Trinidad and Tobago) Limited	Advising
30.	Austin, Marsha	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
31.	Avey, Richard	Guardian Life of the Caribbean Limited	Advising
32.	Awai, Gary	Development Finance Limited	Advising, Brokering and Underwriting
33.	Baal, Rima	First Citizens Bank Limited	Brokering
34.	Babb, Tammie	FCL Financial Limited	Advising
35.	Baiju, Kirsten	Sheppard Securities Limited	Associate
36.	Baiju, Kirsten	Sheppard Stockbrokers Limited	Associate
37.	Bain, Asha	Guardian Asset Management and Investment Services Limited	Associate
38.	Balgobin, Robert	KSBM Asset Management Limited	Advising and Brokering
39.	Balliram-Harripersad, Sharda	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
40.	Balraj, Ronnie	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
41.	Bando-Collins, Judith	Republic Bank Limited	Brokering and Underwriting

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42.	Baptiste, Tamara	RBC Merchant Bank (Caribbean) Limited	Underwriting
43.	Basdeo, Anderson	Citicorp Merchant Bank Limited	Brokering
44.	Basdeo, Roshan	JMMB Securities (T&T) Limited	Associate
45.	Bassant, Terrence	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
46.	Bayne, Ryan	Sheppard Securities Limited	Advising
47.	Beachoo, Dana	Waterloo Capital Advisors Limited	Brokering
48.	Beharry, Amanda	First Citizens Investment Services Limited	Advising
49.	Beharrylal, Roshni	Scotiabank Trinidad and Tobago Limited	Associate
50.	Belmontes, Jesse	Scotiabank Trinidad and Tobago Limited	Associate
51.	Bernard-Cardinal, Rachel	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
52.	Bhagan, Candice	West Indies Stockbrokers Limited	Brokering
53.	Bhagwandeem-Ramdhanie, Samantha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
54.	Bhagwandin, Chrysse	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
55.	Bharat, Renata	Bourse Brokers Limited	Advising
56.	Bissessar, Avinash	JMMB Bank (T&T) Limited	Advising, Brokering and Underwriting
57.	Blackman, Javan	First Citizens Bank Limited	Brokering
58.	Blake, Lee-Ann	First Citizens Bank Limited	Associate
59.	Bleasdel, Erica	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
60.	Bleasdel, Jason	KCL Capital Market Brokers Limited	Associate
61.	Bobb, Sheldon	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
62.	Boissiere, Andrew	Ansa Merchant Bank Limited	Advising and Underwriting
63.	Boodram, Renelle	Guardian Asset Management and Investment Services Limited	Associate
64.	Boodram, Rodney Ajit	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
65.	Boodram, Sheryl	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
66.	Boodram, Winston	FirstCaribbean International Bank (Trinidad & Tobago) Limited	Brokering and Underwriting

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67.	Borde-Ferreira, Sabrina	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
68.	Bosland, Dirk	First Citizens Depository Services Limited	Brokering
69.	Bourne, Talibah	First Citizens Bank Limited	Associate
70.	Bovell, Khadine	Firstline Securities Limited	Advising and Brokering
71.	Bowen, Wendell	First Citizens Bank Limited	Brokering
72.	Bridgelal, Judy	Sagicor Investments Trinidad & Tobago Limited	Associate
73.	Bridgewater, Joanne Cheryl	Republic Wealth Management Limited	Brokering
74.	Bridglal, Alvin	B3V Holdings Limited	Brokering
75.	Bridglal, Bradley	Republic Bank Limited	Brokering and Underwriting
76.	Budrie, Leanna	Bourse Securities Limited	Associate
77.	Cabral, Kassann	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
78.	Caesar, Nigel	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
79.	Camacho, James	Sagicor Life Incorporated	Underwriting
80.	Campbell, Brent	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
81.	Cassim, Marc	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
82.	Cedenio, Claude	Firstline Securities Limited	Advising and Brokering
83.	Chamely, Anna	Murphy Clarke Financial Limited	Advising
84.	Charles Jnr, Andrew	Murphy Clarke Financial Limited	Brokering
85.	Charles, Latoya	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
86.	Charles, Ray	Scotiabank Trinidad and Tobago Limited	Associate
87.	Chester, Ryan	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
88.	Chin, Ian	Ansa Merchant Bank Limited	Advising and Brokering
89.	Chin, Ian	Ansa Securities Limited	Advising and Brokering
90.	Clarke, Dwayne	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
91.	Clarke, John Peter	Republic Bank Limited	Advising and Brokering
92.	Clarke, Leslie	Murphy Clarke Financial Limited	Brokering and Underwriting
93.	Clarke-Mohan, Narda	First Citizens Depository Services Limited	Brokering

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94.	Clifton, Emile	Guardian Asset Management and Investment Services Limited	Advising and Brokering
95.	Coonai, Ryan	Capital Markets Elite Group (Trinidad and Tobago) Limited	Advising and Brokering
96.	Cooper, Michael	Firstline Securities Limited	Associate
97.	Creese, Marli	NCB Merchant Bank (Trinidad and Tobago) Limited	Advising, Brokering and Underwriting
98.	Crooks, Victoria Marie	Sheppard Securities Limited	Brokering
99.	Crooks, Victoria Marie	Sheppard Stockbrokers Limited	Brokering
100.	Cruickshank-Hosam, Candice	KSBM Asset Management Limited	Advising
101.	Cruickshank-Simon, Lesa	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
102.	Da Silva, Michael	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
103.	Daniel, Adrian	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
104.	Darbasie, Karen	First Citizens Bank Limited	Brokering and Underwriting
105.	Darbasie, Karen	First Citizens Brokerage and Advisory Services Limited	Brokering
106.	Dasrathsingh, Jamie	JMMB Bank (T&T) Limited	Advising, Brokering and Underwriting
107.	Davis, Christie	First Citizens Investment Services Limited	Associate
108.	De Boulet, Krysel	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
109.	De Graff, Dunovan	Sagicor Investments Trinidad & Tobago Limited	Underwriting
110.	De Nobriga, Lisa	First Citizens Bank Limited	Brokering
111.	De Sormeaux, Candice	Scotiabank Trinidad and Tobago Limited	Brokering
112.	De Souza, Javan	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
113.	Denoon, Troy	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
114.	Deonarinesingh, Deanish	Scotiabank Trinidad and Tobago Limited	Brokering
115.	Des Vignes, Michelle	KCL Capital Market Brokers Limited	Advising

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116.	Desormeaux, Isaiah	First Citizens Investment Services Limited	Associate
117.	Dillon, Genevieve	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
118.	Dindial, Leanna	Guardian Asset Management and Investment Services Limited	Associate
119.	Donaldson, Graham Duff	Mondial (Trinidad) Limited	Advising
120.	Donaldson-Jeffrey, Amril	First Citizens Portfolio and Investment Management Services Limited	Brokering
121.	Donawa, Ralph	Sagicor Investments Trinidad & Tobago Limited	Associate
122.	Dookie, Dave	Waterloo Capital Advisors Limited	Advising and Brokering
123.	Douglas, Alana	West Indies Stockbrokers Limited	Associate
124.	Downie, Richard	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
125.	Drakes, Candice	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
126.	Drayton, Amichai	The Home Mortgage Bank	Advising, Brokering and Underwriting
127.	Dukharan, Nirvana	NCB Merchant Bank (Trinidad and Tobago) Limited	Advising and Brokering
128.	Duncan, Terrel	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
129.	Edoo, Richard	Scotiabank Trinidad and Tobago Limited	Associate
130.	Edwards, Belinda	First Citizens Bank Limited	Brokering
131.	Edwards, De Quan	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
132.	Edwards, Stacyann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
133.	Eligon, Michelle	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
134.	Ellis, Rory	Capital Markets Elite Group (Trinidad and Tobago) Limited	Advising and Brokering
135.	Emmanuel, Shayne	Ansa Merchant Bank Limited	Associate
136.	Emmanuel, Shayne	Ansa Securities Limited	Associate
137.	Escallier, Racine	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
138.	Evans, Richard	Trinidad and Tobago Unit Trust Corporation	Brokering

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139.	Fadahunsi, Lai	SHBL Investments Company Limited	Advising
140.	Fahey, Desmond	First Citizens Bank Limited	Associate
141.	Farmer, Daniel Wilfred	FigTree Financial (T&T) Limited	Brokering
142.	Farmer, Karen	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
143.	Ferdinand, Jean-Maurice	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
144.	Ferguson, Andrew	Maritime Capital Limited	Advising and Brokering
145.	Ferreira, Greg	First Citizens Investment Services Limited	Brokering
146.	Ferreira, Shauna	Bourse Securities Limited	Advising
147.	Forde, Maria	Trinidad and Tobago Unit Trust Corporation	Advising
148.	Francis, Sparkle Sally	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
149.	Gadraj-Baboolal, Neena	First Citizens Bank Limited	Brokering
150.	Gajadhar, Kerry	Caribbean Stockbrokers Limited	Associate
151.	Gajadhar, Usha	The Home Mortgage Bank	Brokering and Underwriting
152.	Gangaram, Radica	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
153.	Garcia, Edmund	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
154.	Garcia-David, Apphia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
155.	Gatt, Gordon Anthony	Sheppard Securities Limited	Associate
156.	Gayapersad, Camille	First Citizens Investment Services Limited	Associate
157.	George Andalcio-O'Neil, Carlene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
158.	George, Alicia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
159.	George, Trevelon	First Citizens Bank Limited	Brokering
160.	George-Sharpe, Melanie	Guardian Asset Management and Investment Services Limited	Associate
161.	Gill, Sherwin M.	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
162.	Girod, Renata	JMMB Investments (Trinidad and Tobago) Limited	Advising

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163.	Gittens, Richard	Sagikor Investments Trinidad & Tobago Limited	Associate
164.	Gokool, Kavita	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
165.	Gonzales, Adesha	Scotiabank Trinidad and Tobago Limited	Brokering
166.	Gooptar, Jerome	Guardian Asset Management and Investment Services Limited	Advising, Brokering and Underwriting
167.	Gopaul, Teneil	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
168.	Gosine, Ishan	NCB Merchant Bank (Trinidad and Tobago) Limited	Advising and Brokering
169.	Gosine, Jerome	Scotiabank Trinidad and Tobago Limited	Associate
170.	Gregory, Christopher Andre	FigTree Financial (T&T) Limited	Brokering
171.	Guerra, Nneka	Republic Wealth Management Limited	Brokering
172.	Guevara, Joshua	Capital Markets Elite Group (Trinidad and Tobago) Limited	Associate
173.	Guppy, Marcus	Firstline Securities Limited	Advising, Brokering and Underwriting
174.	Guyadeen-Gosine, Karen	Trinidad and Tobago Unit Trust Corporation	Advising
175.	Hackett-Murray, Desiree	Trinidad and Tobago Unit Trust Corporation	Advising
176.	Hamel-Smith, Shane	Sheppard Securities Limited	Advising
177.	Hanooman, Rodney	Scotiabank Trinidad and Tobago Limited	Brokering
178.	Hansraj, Richard	First Citizens Bank Limited	Associate
179.	Hardeo, Avin	Republic Bank Limited	Advising, Brokering and Underwriting
180.	Harding-Mohan, Nadine	First Citizens Bank Limited	Brokering
181.	Harrilal, Tanelle	First Citizens Bank Limited	Brokering
182.	Hector-Orr, Kamala	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
183.	Henry, Aliya Marisa	Republic Wealth Management Limited	Advising and Brokering
184.	Henry, Lester	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering

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185.	Henry, Ornella	Sagcor Investments Trinidad & Tobago Limited	Associate
186.	Hernandez, Alicia	Firstline Securities Limited	Advising, Brokering and Underwriting
187.	Hernandez, Jody	Guardian Group Trust Limited	Advising, Brokering and Underwriting
188.	Hills, Nikesha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
189.	Hinkson, Duane Anthony	Massy Finance GFC Ltd.	Advising, Brokering and Underwriting
190.	Holder, Omar	Trinidad and Tobago Unit Trust Corporation	Advising
191.	Hosein, Chelsea	Guardian Asset Management and Investment Services Limited	Associate
192.	Hosein, Narisa	Guardian Asset Management and Investment Services Limited	Advising, Brokering and Underwriting
193.	Hosein, Sean	Bourse Brokers Limited	Advising and Brokering
194.	Hosein, Sean	Bourse Securities Limited	Advising and Brokering
195.	Hudson, Makeda	Guardian Asset Management and Investment Services Limited	Associate
196.	Ible, Isabelle	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
197.	Inglefield, Geoffrey	Ansa Merchant Bank Limited	Associate
198.	Isahack-Paul, Naadira	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
199.	Israel, Stacy	First Citizens Investment Services Limited	Associate
200.	Jackman, Ihsan	Guardian Group Trust Limited	Advising, Brokering and Underwriting
201.	Jackman, Janice	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
202.	Jackson, Mikkell	First Citizens Bank Limited	Brokering
203.	Jacobie, Natalie	Scotiabank Trinidad and Tobago Limited	Associate
204.	Jagbirsingh, Candace	RBC Merchant Bank (Caribbean) Limited	Underwriting
205.	Jagdeosingh, Veronica	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
206.	Jagroop, Subash	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
207.	James, Kerron	Republic Bank Limited	Advising, Brokering and Underwriting

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208.	Jan, Nizea	Scotiabank Trinidad and Tobago Limited	Associate
209.	Jenkins, Paul	FirstCaribbean International Bank (Trinidad & Tobago) Limited	Brokering
210.	Jessop, Avionne	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
211.	John, Marisa Elisabeth	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
212.	John, Trever	Guardian Asset Management and Investment Services Limited	Advising and Brokering
213.	Johnson, Carolyn	Caribbean Stockbrokers Limited	Brokering
214.	Joseph, Cindy-Ann	Republic Wealth Management Limited	Associate
215.	Joseph, Davina	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
216.	Joseph, Kyle	Scotiabank Trinidad and Tobago Limited	Associate
217.	Joseph, Nikeisha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
218.	Joseph, Ru-Ann	First Citizens Investment Services Limited	Advising
219.	Joseph, Terri-Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
220.	Joseph-Seerattan, Carlene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
221.	Julien, Jason	First Citizens Bank Limited	Brokering and Underwriting
222.	Julien-Jack, Maxime	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
223.	Juman, Neil	First Citizens Bank Limited	Brokering
224.	Juman, Rachael	First Citizens Bank Limited	Brokering
225.	Kadir, Amanda	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
226.	Kalicharan, Ramcharan	KSBM Asset Management Limited	Advising and Brokering
227.	Katwaroo, Darren	Scotiabank Trinidad and Tobago Limited	Associate
228.	Kerr, Candace	Guardian Asset Management and Investment Services Limited	Advising
229.	Kesar, Curley	RBC Royal Bank (Trinidad and Tobago) Limited	Associate

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<u>REGISTERED REPRESENTATIVES</u>			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
230.	Khafra, Jeremy	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
231.	Khan, Marsha	Republic Wealth Management Limited	Associate
232.	Khan, Meera	Scotiabank Trinidad and Tobago Limited	Associate
233.	Khan, Michael Vaughn	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
234.	Khan, Tishara	Guardian Asset Management and Investment Services Limited	Advising
235.	Khan-Abdool, Amirah	Guardian Group Trust Limited	Advising, Brokering and Underwriting
236.	King, Dalia Patrice	Firstline Securities Limited	Advising, Brokering and Underwriting
237.	King, Keith Michael	Firstline Securities Limited	Advising, Brokering and Underwriting
238.	King, Mary	Mondial (Trinidad) Limited	Advising
239.	King, Maxine Cheryl	Firstline Securities Limited	Advising, Brokering and Underwriting
240.	Kirpaul-Mohammed, Natasha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
241.	Kirton, Kelly	Scotiabank Trinidad and Tobago Limited	Brokering
242.	Kishore, Rory	First Citizens Bank Limited	Brokering
243.	Kissoon, Tricia	JMMB Investments (Trinidad and Tobago) Limited	Brokering and Underwriting
244.	Kissoon, Tricia	JMMB Securities (T&T) Limited	Brokering and Underwriting
245.	Kissoondath Singh, Lollita	RBC Investment Management (Caribbean) Limited	Advising and Brokering
246.	La Borde, Kevin	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
247.	Labban, Hazel	Republic Wealth Management Limited	Advising and Brokering
248.	Lackan, Rajesh	KSBM Asset Management Limited	Advising
249.	Lakhan, Jagdesh	Waterloo Capital Advisors Limited	Advising and Brokering
250.	Lala, Salisha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
251.	Lambert, Daniel	FCL Financial Limited	Advising
252.	Le Gendre, Chala	RBC Royal Bank (Trinidad and Tobago) Limited	Associate

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<u>REGISTERED REPRESENTATIVES</u>			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
253.	Leach, Keron	The Home Mortgage Bank	Advising, Brokering and Underwriting
254.	Leander Yeates, Lisa	Republic Wealth Management Limited	Brokering
255.	Leggard, Kaffi	Sheppard Securities Limited	Advising
256.	Leggard, Kaffi	Sheppard Stockbrokers Limited	Brokering
257.	Leong Poi, Lezanne	Murphy Clarke Financial Limited	Associate
258.	Leons, Keron	First Citizens Investment Services Limited	Associate
259.	Lewis, Lumecha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
260.	Lewis, Robin	First Citizens Investment Services Limited	Brokering
261.	Lewis, Robin	First Citizens Brokerage and Advisory Services Limited	Brokering
262.	Lewis, Robin	First Citizens Portfolio and Investment Management Services Limited	Brokering
263.	Lewis-Best, Leah	KCL Capital Market Brokers Limited	Associate
264.	Logan, Nigel	Bourse Brokers Limited	Associate
265.	London, Terrence	Scotiabank Trinidad and Tobago Limited	Brokering
266.	Loney, Tineal	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
267.	Lourenco, Keomi	Guardian Group Trust Limited	Advising, Brokering and Underwriting
268.	Lucie-Smith, Kristina	Sagicor Investments Trinidad & Tobago Limited	Associate
269.	Lum Sang-De Silva, Gina	West Indies Stockbrokers Limited	Brokering
270.	Lum Sang-De Silva, Gina	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
271.	Lutchman, Khadeen	Scotiabank Trinidad and Tobago Limited	Brokering
272.	Ma Kuan, Christopher	Republic Wealth Management Limited	Associate
273.	Mac Quan, Keisha	First Citizens Investment Services Limited	Advising
274.	Mackoon, Darlene	Scotiabank Trinidad and Tobago Limited	Brokering
275.	Mahabir, Keshala	Maritime Capital Limited	Brokering

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
276.	Mahabir, Shival	RBC Investment Management (Caribbean) Limited	Advising and Brokering
277.	Maharaj, Avindra Lal	Ansa Merchant Bank Limited	Advising
278.	Maharaj, Geerevan	Republic Bank Limited	Advising, Brokering and Underwriting
279.	Maharaj, Nadya	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
280.	Maharaj, Sally	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
281.	Maharaj, Varun	Maritime Capital Limited	Brokering
282.	Maharaj-Ramnarine, Laura	Scotiabank Trinidad and Tobago Limited	Associate
283.	Maingot, Danielle	Murphy Clarke Financial Limited	Brokering
284.	Majid, Fareesha	Murphy Clarke Financial Limited	Brokering
285.	Manmohan, Adrian	West Indies Stockbrokers Limited	Brokering
286.	Mannah, Shivanand	JMMB Investments (Trinidad and Tobago) Limited	Associate
287.	Marcano, Annalee	KCL Capital Market Brokers Limited	Associate
288.	Marcus, Kriss	Massy Finance GFC Ltd.	Advising, Brokering and Underwriting
289.	Mark, Terrel	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
290.	Marlow, Marissa	Ansa Merchant Bank Limited	Associate
291.	Martinez, Miguel	Guardian Asset Management and Investment Services Limited	Advising, Brokering and Underwriting
292.	Martinez, Miguel	Guardian Group Trust Limited	Advising, Brokering and Underwriting
293.	Martinez, Robert	Republic Bank Limited	Advising and Brokering
294.	Mc Donald, Anika	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
295.	Mc Dougall, Kalifa	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
296.	Mc Kenzie, Racine	Scotia Investments (Trinidad and Tobago) Limited	Brokering
297.	Mc Quilkin, Michael	Republic Bank Limited	Advising, Brokering and Underwriting
298.	McKell, Romesh	First Citizens Investment Services Limited	Advising
299.	Melville, Adalene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
300.	Merritt, Thandi	Guardian Group Trust Limited	Advising, Brokering and Underwriting
301.	Milford, Janine	Republic Wealth Management Limited	Advising and Brokering
302.	Millar, Michael	Sagicor Investments Trinidad & Tobago Limited	Brokering
303.	Minwah-Mohammed, Balmatie	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
304.	Mitchell, Myles	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
305.	Mohammed, Denika	Guardian Asset Management and Investment Services Limited	Associate
306.	Mohammed, Faye	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
307.	Mohammed, Nicholas	Firstline Securities Limited	Associate
308.	Mohammed, Reshard	Scotia Investments (Trinidad and Tobago) Limited	Brokering
309.	Mohammed, Ronald	Bourse Securities Limited	Associate
310.	Mohammed, Salisha	Guardian Asset Management and Investment Services Limited	Associate
311.	Mohammed, Shaun	First Citizens Brokerage and Advisory Services Limited	Associate
312.	Mohammed, Shyam	First Citizens Bank Limited	Brokering
313.	Mohammed, Xoceketzal	First Citizens Portfolio and Investment Management Services Limited	Brokering
314.	Mohammed, Zinora	KCL Capital Market Brokers Limited	Advising
315.	Mohammed-Dass, Shazeema	Trinidad and Tobago Unit Trust Corporation	Advising
316.	Mohan, Justin	Republic Bank Limited	Advising and Brokering
317.	Moonan, Videsh	B3V Holdings Limited	Brokering
318.	Moonsammy, Vishwadai	The Home Mortgage Bank	Associate
319.	Moore, Nichelle	Guardian Asset Management and Investment Services Limited	Associate
320.	Moses-Rodriguez, Geneil	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
321.	Murrell, Cherise	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
322.	Murrell-Richardson, Neisha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
323.	Muzaffarr, Bibi Ruhanna	RBC Investment Management (Caribbean) Limited	Advising
324.	Nagassar, Nigel	Guardian Asset Management and Investment Services Limited	Advising and Brokering
325.	Narine, Estelle	Scotiabank Trinidad and Tobago Limited	Brokering
326.	Nelson, Avalon	Scotiabank Trinidad and Tobago Limited	Associate
327.	Neverson, Diandra	Republic Bank Limited	Advising and Brokering
328.	Noor, Malekha Trudy	First Citizens Bank Limited	Brokering
329.	Nuzum, Adrian	Sheppard Securities Limited	Associate
330.	Nuzum, Adrian	Sheppard Stockbrokers Limited	Associate
331.	Olliviera, David	First Citizens Bank Limited	Associate
332.	Padia, Andre	First Citizens Investment Services Limited	Advising
333.	Pagee, Gillian	First Citizens Investment Services Limited	Advising
334.	Pantin, Clarissa	West Indies Stockbrokers Limited	Associate
335.	Pardais, Maria	First Citizens Portfolio and Investment Management Services Limited	Advising
336.	Parrage, Ryan	Waterloo Capital Advisors Limited	Brokering
337.	Pascal, Anand	Guardian Life of the Caribbean Limited	Advising
338.	Pasea, Christian	Sagicor Life Incorporated	Brokering
339.	Passee, Johann	Firstline Securities Limited	Advising and Brokering
340.	Patrick, Cole	Firstline Securities Limited	Advising, Brokering and Underwriting
341.	Patterson, Denese	First Citizens Bank Limited	Brokering
342.	Patterson, Rodney	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
343.	Pemberton, Tiffany	Development Finance Limited	Advising, Brokering and Underwriting
344.	Pereira, Marc Richard	Sheppard Securities Limited	Associate
345.	Perez, Adrian	Guardian Group Trust Limited	Advising, Brokering and Underwriting
346.	Perryman, Jarod	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
347.	Persad, Anuradha	First Citizens Bank Limited	Advising
348.	Persad, Darius	First Citizens Bank Limited	Brokering
349.	Persadie, Ginelle	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
350.	Persad-Joseph, Aneisha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
351.	Persad-Lewis, Cherry-Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
352.	Phelps, Alesha	Sagicor Life Incorporated	Underwriting
353.	Phelps, Alesha	Sagicor Investments Trinidad & Tobago Limited	Brokering
354.	Phillips, Myles	Citicorp Merchant Bank Limited	Underwriting
355.	Pierre, Jabari	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
356.	Pilgrim, Jonathan	Republic Wealth Management Limited	Associate
357.	Pirali, Aamir	Scotiabank Trinidad and Tobago Limited	Associate
358.	Piralli, Charles	JMMB Investments (Trinidad and Tobago) Limited	Brokering
359.	Piralli, Charles	JMMB Securities (T&T) Limited	Brokering
360.	Pollard Jnr., Duke	Capital Markets Elite Group (Trinidad and Tobago) Limited	Advising and Brokering
361.	Pollidore, Jaeden	Guardian Asset Management and Investment Services Limited	Associate
362.	Pounder, Giselle	Republic Wealth Management Limited	Brokering
363.	Prevatt, Osmond Cleaveland	The Home Mortgage Bank	Advising, Brokering and Underwriting
364.	Prince, Jamila	Guardian Asset Management and Investment Services Limited	Associate
365.	Racha, Delano	Scotiabank Trinidad and Tobago Limited	Brokering
366.	Rackal, Cindy	First Citizens Bank Limited	Brokering
367.	Raghunanansingh, Laura	Sagicor Investments Trinidad & Tobago Limited	Associate
368.	Ragoonath, Randy	Scotiabank Trinidad and Tobago Limited	Associate
369.	Rajkumar, Keisha	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
370.	Ramcharan, Diana	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
371.	Ramdass, Pamela	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
372.	Ramdhanie, Timmel	First Citizens Bank Limited	Brokering

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
373.	Ramdhanie-Sultan, Sita	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
374.	Ramdial, Thaies	Guardian Asset Management and Investment Services Limited	Associate
375.	Ramgoolie, Videsh	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
376.	Ramirez, Roberto	Scotiabank Trinidad and Tobago Limited	Underwriting
377.	Ramjattan, Stacey	Guardian Asset Management and Investment Services Limited	Associate
378.	Ramjit, Shivani	Scotiabank Trinidad and Tobago Limited	Brokering
379.	Ramkhalawan, Keith	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
380.	Ramkhelawan, Sarodh	Bourse Securities Limited	Advising, Brokering and Underwriting
381.	Ramkhelawan, Sarodh	Bourse Brokers Limited	Advising, Brokering and Underwriting
382.	Ramkhelawan, Subhas	Bourse Securities Limited	Advising, Brokering and Underwriting
383.	Ramkhelawan, Subhas	Bourse Brokers Limited	Advising, Brokering and Underwriting
384.	Ramkissoon, Baldath	Republic Bank Limited	Advising and Brokering
385.	Ramkissoon, Dana	First Citizens Investment Services Limited	Associate
386.	Ramkissoon, Fahreena	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
387.	Ramkissoon-Joseph, Shivana	Republic Bank Limited	Advising and Brokering
388.	Ramkripaul, Rachel	KCL Capital Market Brokers Limited	Associate
389.	Ramlal, Charanjit	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
390.	Ramlochan, Gideon	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
391.	Ramlogan, Riad	Scotiabank Trinidad and Tobago Limited	Brokering
392.	Ramnarine, Sasha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
393.	Ramnath, Christian	Sheppard Securities Limited	Associate
394.	Ramnath, Rachel	RBC Royal Bank (Trinidad and Tobago) Limited	Associate

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
395.	Ramnath-Singh, Gail	Caribbean Stockbrokers Limited	Associate
396.	Ramoutar, Emily	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
397.	Rampartap, Rondel	JMMB Investments (Trinidad and Tobago) Limited	Associate
398.	Rampersad, Reshma	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
399.	Rampersad, Travis	First Citizens Investment Services Limited	Brokering
400.	Rampersad-Sookah, Annecia	Scotiabank Trinidad and Tobago Limited	Associate
401.	Ramphal, Leeana	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
402.	Ramroop, Rajesh	First Citizens Investment Services Limited	Associate
403.	Ramsaran, Anrika	West Indies Stockbrokers Limited	Associate
404.	Ramsaroop, Onilla	Scotiabank Trinidad and Tobago Limited	Associate
405.	Ramsingh, Chanelle	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
406.	Ramsumair-Mahabir, Nadia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
407.	Ramsumair-Mohammed, Shivana	First Citizens Investment Services Limited	Advising
408.	Ransome, Diann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
409.	Rattan, Basdai	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
410.	Rattansingh, Prasanna	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
411.	Redhead, Eric	Murphy Clarke Financial Limited	Associate
412.	Reid, Stefan	Guardian Asset Management and Investment Services Limited	Associate
413.	Remy, Crystal	First Citizens Bank Limited	Brokering
414.	Reyes, Erfan	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
415.	Roberts, Pernel	Republic Wealth Management Limited	Advising and Brokering
416.	Robinson, David	Republic Bank Limited	Advising and Brokering
417.	Rochard, Wayne	First Citizens Bank Limited	Associate
418.	Rodrigues, Herman	Mondial (Trinidad) Limited	Advising

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
419.	Romilly-Raymah, Selene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
420.	Roopchand, Alicia	JMMB Investments (Trinidad and Tobago) Limited	Advising
421.	Ruiz, Carol-Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
422.	Sa Gomes, Chip	Admiral Limited	Advising and Brokering
423.	Sabga, Nigel Anthony	Ansa Merchant Bank Limited	Advising and Brokering
424.	Sadoo, Mark	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
425.	Salazar, Krystal	Scotia Investments (Trinidad and Tobago) Limited	Brokering
426.	Salloum Clarke, Christiane Michelle	FigTree Financial (T&T) Limited	Brokering
427.	Salvary, Brent	KSBM Asset Management Limited	Advising and Brokering
428.	Samaroo, Lawrence	Scotiabank Trinidad and Tobago Limited	Brokering
429.	Samodee, Keisha	Guardian Asset Management and Investment Services Limited	Associate
430.	Samuel-James, Natasha	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
431.	Sanasie-Ali, Renee	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
432.	Sanderson, Daniell	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
433.	Sandy, Dexter	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
434.	Sankar-Cassie, Liandra	Sagicor Investments Trinidad & Tobago Limited	Brokering
435.	Santoo, Barry	First Citizens Bank Limited	Brokering
436.	Sawh, Vasudev	Massy Finance GFC Ltd.	Advising, Brokering and Underwriting
437.	Scott, Deyson	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
438.	Seale, Marlon	First Citizens Bank Limited	Brokering
439.	Seebaran, Madree	Bourse Brokers Limited	Advising and Brokering
440.	Seedhan, Annette	Citicorp Merchant Bank Limited	Brokering
441.	Seedial, Yuri	First Citizens Brokerage and Advisory Services Limited	Brokering
442.	Seenath, Liz	Scotiabank Trinidad and Tobago Limited	Associate

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443.	Seenath, Shushilla	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
444.	Seepaul, Diana	First Citizens Bank Limited	Advising
445.	Seepersad Singh, Ornella	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
446.	Seepersad, Shane	Republic Bank Limited	Advising and Brokering
447.	Seepersad, Shani	Republic Wealth Management Limited	Advising and Brokering
448.	Seepersadsingh, Sheldon	Scotiabank Trinidad and Tobago Limited	Associate
449.	Sharma-Kamaludin, Adita	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
450.	Sheppard, Alan Michael	Sheppard Securities Limited	Advising
451.	Sheppard, George David	Sheppard Securities Limited	Brokering
452.	Sheppard, George David	Sheppard Stockbrokers Limited	Brokering
453.	Silva, Loise	Guardian Asset Management and Investment Services Limited	Associate
454.	Simeon, Simone	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
455.	Simmons, Dhaana	Scotiabank Trinidad and Tobago Limited	Associate
456.	Sinanan-Seepersad, Sally	Republic Wealth Management Limited	Associate
457.	Singh, Amit	Guardian Asset Management and Investment Services Limited	Associate
458.	Singh, Dianne	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
459.	Singh, Gia	Sheppard Securities Limited	Advising
460.	Singh, Lillian	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
461.	Singh, Roshnie	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
462.	Singh, Shyermarlene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
463.	Singh, Vishana	JMMB Investments (Trinidad and Tobago) Limited	Associate
464.	Slater, Sue-Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering

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465.	Smith, Cortlyn	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
466.	Smith, Kamlis	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
467.	Somair, Sean Gregory	RBC Investment Management (Caribbean) Limited	Advising and Brokering
468.	Sookdeo, Natasha	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
469.	Sookdeo, Shivanand	Republic Bank Limited	Advising and Brokering
470.	Sookhan, Sheba	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
471.	Sookoo, Anganie	JMMB Investments (Trinidad and Tobago) Limited	Brokering
472.	Sookoo, Anganie	JMMB Securities (T&T) Limited	Brokering
473.	Sookoo, Jennifer	JMMB Investments (Trinidad and Tobago) Limited	Advising
474.	Sookram-Bridgelal, Nadia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
475.	St. Louis, Leslie	First Citizens Brokerage and Advisory Services Limited	Brokering
476.	Stanford, Dahlia	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
477.	Stephen, Chrissie	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
478.	Stone, Frank	Murphy Clarke Financial Limited	Associate
479.	Sudama, Neeta	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
480.	Sukhu, Rochelle	First Citizens Bank Limited	Advising
481.	Sund-Olton, Angelique	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
482.	Sutherland, Damian	First Citizens Bank Limited	Brokering
483.	Sylvester, Kristopher	Capital Markets Elite Group (Trinidad and Tobago) Limited	Advising and Brokering
484.	Syne, Sacha	First Citizens Bank Limited	Brokering and Underwriting
485.	Telesford-Pierre, Trudie	KCL Capital Market Brokers Limited	Advising
486.	Thomas, Alvin	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
487.	Thomas, Gary	Bourse Securities Limited	Advising and Brokering
488.	Thomas, Marquis	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering

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	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
489.	Thomas, Rowland Marc	Guardian Asset Management and Investment Services Limited	Advising, Brokering and Underwriting
490.	Thomas, Shivon	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
491.	Thompson, Cedric	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
492.	Tirbany, Lena	First Citizens Bank Limited	Brokering
493.	Tittil, Daniel	Admiral Limited	Advising and Brokering
494.	Titus, Heather	FirstCaribbean International Bank (Trinidad & Tobago) Limited	Brokering and Underwriting
495.	Tom Yew, Brad	Republic Wealth Management Limited	Advising and Brokering
496.	Tom Yew, Katrine	Guardian Asset Management and Investment Services Limited	Advising and Brokering
497.	Toney-Gooding, Marcia	First Citizens Depository Services Limited	Brokering
498.	Toolsee, Jayde	Bourse Brokers Limited	Associate
499.	Trestrail, Robert	Sagicor Life Incorporated	Brokering
500.	Turpin-Carrington, Kelsey	Scotiabank Trinidad and Tobago Limited	Associate
501.	Valdez, Stacy	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
502.	Valentine, Selene	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
503.	Valley, Kerwyn	KCL Capital Market Brokers Limited	Advising, Brokering and Underwriting
504.	Valley, Kerwyn	Aspire Fund Management Company Limited	Advising
505.	Valley, Kevin	Aspire Fund Management Company Limited	Advising
506.	van der Vaart, Hubertus Jan	SEAF Caribbean Management LLC	Advising
507.	Vieira, Lisa	SHBL Investments Company Limited	Advising
508.	Vincent, Trisha	First Citizens Investment Services Limited	Advising
509.	Walker-Huggins, Tricia	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
510.	Wallace, Wilfred Gregory	Mondial (Trinidad) Limited	Advising
511.	Webster-Villafana, Akisha	Trinidad and Tobago Unit Trust Corporation	Associate

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024

<u>REGISTERED REPRESENTATIVES</u>			
	NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER- DEALER, INVESTMENT ADVISER OR UNDERWRITER	TYPE OF REGISTERED REPRESENTATIVE
512.	Welch, Luke	Sheppard Securities Limited	Associate
513.	Wellington, Bryan	KCL Capital Market Brokers Limited	Associate
514.	Wells-Fraser, Bernadette	JMMB Securities (T&T) Limited	Associate
515.	Westfield, Vanessa	Scotiabank Trinidad and Tobago Limited	Advising and Brokering
516.	Whiskey, Tennille	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
517.	White, Darryl	RBC Merchant Bank (Caribbean) Limited	Underwriting
518.	White, Marlon	Scotiabank Trinidad and Tobago Limited	Associate
519.	Whiteman, Sharon	Trinidad and Tobago Unit Trust Corporation	Advising and Brokering
520.	Williams, Beverly	RBC Royal Bank (Trinidad and Tobago) Limited	Brokering
521.	Williams, Leo	SEAF Caribbean Management LLC	Advising
522.	Williams, Marlon	First Citizens Bank Limited	Associate
523.	Williams, Rawlena	Scotiabank Trinidad and Tobago Limited	Associate
524.	Williams, Reynold	Ansa Merchant Bank Limited	Advising and Brokering
525.	Williams, Reynold	Ansa Securities Limited	Advising and Brokering
526.	Williams-Samlal, Kerry Ann	RBC Royal Bank (Trinidad and Tobago) Limited	Associate
527.	Wilson-Mano, Linda	Trinidad and Tobago Unit Trust Corporation	Advising
528.	Wright, Christopher	Ansa Merchant Bank Limited	Advising
529.	Wynter, Paul	Guardian Asset Management and Investment Services Limited	Advising and Brokering
530.	Young, Angus Peter	NCB Merchant Bank (Trinidad and Tobago) Limited	Advising, Brokering and Underwriting
531.	Youseff, Daniel	First Citizens Investment Services Limited	Advising and Underwriting
532.	Zakour, Georgina	NCB Merchant Bank (Trinidad and Tobago) Limited	Associate

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024

SPONSORED BROKER-DEALERS

	NAME OF SPONSORED BROKER-DEALER	ASSOCIATED BROKER-DEALER
1.	Cusimano, Paul	Scotia Investments (Trinidad and Tobago) Limited
2.	Franks, Jerome	Scotia Investments (Trinidad and Tobago) Limited
3.	Guedez, Arnaldo Jose	Scotia Investments (Trinidad and Tobago) Limited
4.	Juarez, Yuly	Scotia Investments (Trinidad and Tobago) Limited
5.	Stollmeyer, Scott	Scotia Investments (Trinidad and Tobago) Limited
6.	Vandewater, Thomas	Scotia Investments (Trinidad and Tobago) Limited
7.	Villamil Giraldo, Juan Carlos	Scotia Investments (Trinidad and Tobago) Limited
8.	Ylagan, Carlo	Scotia Investments (Trinidad and Tobago) Limited

REPORTING ISSUERS

1.	Add Venture Capital Fund Limited
2.	Agostini's Limited
3.	Airports Authority of Trinidad and Tobago
4.	Angostura Holdings Limited
5.	ANSA McAL Limited
6.	Ansa Merchant Bank Limited
7.	Ansa Money Market Funds
8.	BWIA West Indies Airways Limited
9.	Capital Markets Elite Group (Trinidad and Tobago) Limited
10.	CinemaONE Limited
11.	Citibank (Trinidad and Tobago) Limited
12.	Citicorp Merchant Bank Limited
13.	Development Finance Limited
14.	Dynamic Equity Fund II Limited
15.	Education Facilities Company Limited
16.	Endeavour Holdings Limited
17.	Eppley Caribbean Property Fund Limited SCC
18.	First Citizens Bank Limited
19.	First Citizens Depository Services Limited
20.	First Citizens Group Financial Holdings Limited
21.	First Citizens Investment Services Limited
22.	First Money Market Fund
23.	FirstCaribbean International Bank Limited
24.	Flavorite Foods Limited
25.	FNCU Venture Capital Company Limited
26.	Furness Trinidad Limited
27.	GraceKennedy Limited
28.	Guardian Asset Management and Investment Services Limited
29.	Guardian Caribbean Equity Fund
30.	Guardian Fixed Income Fund
31.	Guardian Holdings Limited

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024

<u>REPORTING ISSUERS</u>	
32.	Guardian Media Limited
33.	JMMB Bank (T&T) Limited
34.	JMMB Group Limited
35.	JMMB Life Goal Funds S2
36.	L.J. Williams Limited
37.	Mack Investment Business Corporation Limited
38.	Massy Holdings Limited
39.	Mora Ven Holdings Limited
40.	Mortgage Participation Fund
41.	MPC Caribbean Clean Energy Limited
42.	National Enterprises Limited
43.	National Flour Mills Limited
44.	National Infrastructure Development Company Limited
45.	National Insurance Property Development Company Limited
46.	National Investment Fund Holding Company Limited
47.	National Maintenance Training and Security Company Limited
48.	NCB Financial Group Limited
49.	Nestle Trinidad and Tobago Limited
50.	One Caribbean Media Limited
51.	Point Lisas Industrial Port Development Corporation Limited
52.	Port Authority of Trinidad and Tobago
53.	Prestige Holdings Limited
54.	Public Transport Service Corporation
55.	RBC Merchant Bank (Caribbean) Limited
56.	RBC Royal Bank (Trinidad and Tobago) Limited
57.	Republic Bank Limited
58.	Republic Financial Holdings Limited
59.	Republic TT\$ Fixed Income Securities Fund
60.	RGM Property Fund
61.	Royal Bank of Canada
62.	Sagikor Financial Company Limited
63.	Sagikor Funds Incorporated
64.	Sagikor Go-Mutual Funds
65.	Samaan Tree Fund
66.	Savinvest India Asia Fund
67.	Scotia Canadian Equity Fund
68.	Scotia Caribbean Income Fund Inc.
69.	Scotia Global Equity Fund
70.	Scotia Money Market Fund
71.	Scotia Trinidad and Tobago Fixed Income Fund
72.	Scotia Trinidad and Tobago Growth and Income Fund
73.	Scotia Trinidad and Tobago Short Term Income Fund
74.	Scotia US Dollar Bond Fund
75.	Scotia US Equity Fund

LIST OF REGISTRANTS AS AT 31ST MARCH, 2024

REPORTING ISSUERS

76.	Scotiabank Trinidad and Tobago Limited
77.	St. Christopher Air and Sea Ports Authority
78.	St. Kitts Urban Development Corporation Limited
79.	Telecommunications Services of Trinidad and Tobago Limited
80.	The Home Mortgage Bank
81.	The Sports Company of Trinidad and Tobago Limited
82.	The West Indian Tobacco Company Limited
83.	Tourism & Industrial Development Company of Trinidad & Tobago Limited
84.	Trinidad and Tobago Housing Development Corporation
85.	Trinidad and Tobago Mortgage Bank Limited
86.	Trinidad and Tobago NGL Limited
87.	Trinidad and Tobago Unit Trust Corporation
88.	Trinidad Cement Limited
89.	Unilever Caribbean Limited
90.	Urban Development Corporation of Trinidad & Tobago Limited
91.	UTC (Cayman) SPC Ltd
92.	Utmost International Isle of Man Limited
93.	Water & Sewerage Authority

SELF-REGULATORY ORGANISATIONS

1.	The Trinidad and Tobago Central Depository Limited
2.	The Trinidad and Tobago Stock Exchange Limited