



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of Section 61(2) of the Securities Act
Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago**

by

Republic TT\$ Fixed Income Securities Fund

ORDER OF THE COMMISSION

Dated this 2nd day of March, 2021

WHEREAS Section 61(2) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file its revised registration statements with the Commission within the prescribed timeframe;

AND WHEREAS Republic TT\$ Fixed Income Securities Fund (“the Respondent”), filed its revised registration for the financial year ended December 31, 2019 with the Commission past the due date of January 14, 2020;

AND WHEREAS the staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 61(2) of the Act by failing to file its revised registration statements for the financial year ended December 31, 2019 with the Commission within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 61(2) of the Act by filing the aforementioned revised registration statements with the Commission past the due date of January 14, 2020;

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 015 of 2021 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(10) of the Act.

IT IS HEREBY ORDERED THAT:

1. Republic TT\$ Fixed Income Securities Fund (“the Respondent”) has contravened Section 61(2) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Fifty-Two Thousand Trinidad and Tobago Dollars (TT\$52,000.00), receipt of which the Commission will acknowledge; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

By Order of the Commission