

TRINIDAD & TOBAGO SECURITIES & EXCHANGE COMMISSION



EVEN AS RECENT EVENTS GLOBALLY
AND HERE AT HOME HAVE SHAKEN
YOUR CONFIDENCE IN INVESTING YOU
MUST CAREFULLY CONSIDER THE STEPS
YOU SHOULD TAKE BEFORE YOU
DECIDE TO INVEST.

The Trinidad and Tobago Securities and Exchange Commission (SEC) regulates the capital market by registering all securities issued to the public; all issuers of those securities; all market actors, i.e., brokers, dealers, traders, underwriters, securities companies and investment advisers and all self regulatory organizations, like the Trinidad and Tobago Stock Exchange.

We ensure that these companies are genuine entities with a registered office, headed by qualified professionals who possess the knowledge, skills and experience to conduct investment related transactions. They are required to continuously provide the Commission, as well as investors with information inclusive of changes which would be important to you in making an investment decision.

LAYING THE GROUND RULES SO THAT YOU CAN

INVEST WITH CONFIDENCE!



Trinidad and Tobago Securities and Exchange Commission

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