

NAME OF FORM: REPURCHASE AGREEMENT TRANSACTIONS FORM SEC-MMRF07

| REFERENCE NUMBER | AREA/ITEM | INSTRUCTIONS |
|------------------|--|---|
| | REPO TRANSACTIONS FORM | This form collects information on Repos entered into during the reporting period by a Repo seller. Unless otherwise stated all amounts are to be expressed in TTD. |
| | Client ID | State the unique identifier used to refer to clients. Where a unique identifier is not used, state the name of the client. |
| | Transaction ID | State the unique transaction ID number generated for the transaction. |
| | Indicate if pledged (yes/no) | State whether the collateral securities are subject to a pledge. |
| | Currency (TT, US, etc.) | State the currency in which the Repo transaction is denominated. |
| | Type of Contract (New/Rollover) | State whether the Repo contract is a new or a rolled over transaction. |
| | Purchase Amount (\$) - In Original currency - Exchange Rate - Converted to TT\$ | - State the value (in absolute terms) of the first leg of the Repo transaction (i.e. the purchase price of the collateral security) in the currency of the Repo transactions. - State the TT\$ conversion rate. - State the TT\$ equivalent of the purchase price. |
| | Purchase Date (Initial Delivery) (dd/mm/yyyy) | State the date on which the Repo contract was entered into i.e. the date on which the security is purchased. |
| | Repurchase Amount (\$) - In original currency - Exchange rate - Converted to TT\$ | - State the value (in absolute terms) of the second leg of the Repo transaction (i.e. the repurchase price of the collateral security) in the currency of the Repo transaction. - State the TT\$ conversion rate. - State the TT\$ equivalent of the repurchase price |
| | Repurchase Date (dd/mm/yyyy) | State the date on which the Repo contract will mature. |
| | Repo Interest Rate (%) | State the implied interest rate of the Repo transaction as a percent. (For example, a Coupon Rate of 5.875% should be written as 5.875 and not 0.05875.) |

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| | Collateral <ul style="list-style-type: none"> - Name of Issuer - Coupon - Maturity Date - Type - ISIN (if possible) | <ul style="list-style-type: none"> - State the legal name of the security issuer. - State the annual coupon rate paid by the fixed income security, where applicable. - State the date on which the security will mature, if applicable. - Select from the drop down menu whether the security has a fixed or floating rate, where the security cannot be classified as fixed or floating select Not Applicable. - State the security's ISIN or CUSIP number, where applicable. <p>Where more than one security collateralizes a Repo transaction, please ensure that the details in columns B to O are repeated for each security that forms part of the collateral for this Repo transaction (column P to T).</p> |