



## Securities (Collective Investment Schemes) Bye-Laws, 2023

### SCHEDULE 1

#### FEES

Authorisation, Registration & Renewal Fees for Registrants		Revised Fees					
Registrant Type	Current Fee (\$ or %)	Phase 1 Year 1 - 2 (2 years)		Phase 2 Year 3 - 6 (4 years)		Phase 3 Year 7 and going forward	
		Initial	Renewal	Initial	Renewal	Initial	Renewal
		Reporting issuer	\$8,000	\$24,800	\$24,800	\$25,600	\$25,600
Broker dealer also conducting business of a CIS Manager	\$30,000	\$93,000	\$93,000	\$96,000	\$96,000	\$112,500	\$112,50
Broker dealer also conducting business of a CIS Manager and Underwriter	\$35,000	\$108,500	\$108,50	\$112,000	\$112,000	\$131,250	\$131,25
Restricted Broker dealer	\$20,000	\$62,000	\$62,000	\$64,000	\$64,000	\$75,000	\$75,000
Responsible Person	\$20,000	\$62,000	\$62,000	\$64,000	\$64,000	\$75,000	\$75,000
Responsible Person also conducting activities of a Custodian	\$25,000	\$77,500	\$77,500	\$80,000	\$80,000	\$93,750	\$93,750
Custodian	\$20,000	\$62,000	\$62,000	\$64,000	\$64,000	\$75,000	\$75,000
Registered Representative – per individual	\$2,000	\$6,200	\$6,200	\$6,400	\$6,400	\$7,500	\$7,500
Self-Managed Fund	\$35,000	\$108,500	\$108,50	\$112,000	\$112,000	\$131,250	\$131,25
Approval of Branch Office Self-Managed Fund	\$1,500	\$3,100	\$3,100	\$3,200	\$3,200	\$3,750	\$3,750



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### SCHEDULE 1

#### FEES

Registration Fees for Securities		Revised Fees		
Type	Current Fee (\$ or %)	Phase 1 Year 1 – 2 (2 years)	Phase 2 Year 3 – 6 (4 years)	Phase 3 Year 7 and going forward
Filing of a Distribution Statement	\$1,000	\$3,100	\$3,200	\$3,750
Market Access Fees for Securities (including close end CISs)	0.01% of the value of funds raised subject to a minimum of \$1,000	0.0120% Market Access Fees based on the value of Subscriptions in previous year (based on Audited accounts)	0.0125% Market Access Fees based on the value of Subscriptions in previous year (based on Audited accounts)	0.0130% Market Access Fees based on the value of Subscriptions in previous year (based on Audited accounts)
Market Access Fees for open-ended funds	0.01% of the value of funds raised in previous year (based on Audited accounts)	0.0120% Market Access Fees based on the value of Subscriptions in previous year (based on Audited accounts)	0.0125% Market Access Fees based on the value of Subscriptions in previous year (based on Audited accounts)	0.0130% Market Access Fees based on the value of Subscriptions in previous year (based on Audited accounts)

Filing Fees		Revised Fees		
Type	Current Fee (\$ or %)	Phase 1 Year 1 – 2 (2 years)	Phase 2 Year 3 – 6 (4 years)	Phase 3 Year 7 and going forward
Filing of Prospectus	\$17,500	\$54,250	\$56,000	\$65,625