



## NOTICE TO ALL REGISTRANTS

**The Trinidad and Tobago Securities and Exchange Commission advises that:**

1. The transitional period provided for at **Section 53** of the amended Securities Act 2012 (“SA 2012”) has been extended from December 31, 2014 to the earlier of either:
  - a. **30 days** from the date of the Commission’s publication of notification that the Securities General By-Laws 2014 (“the Proposed By-Laws”) have come into force; or
  - b. **June 30, 2015**.
  
2. The Commission has made an **Order under section 155(1A)(e) of the SA 2012** that registrants who were registered as Brokers, Investment Advisers, Securities Companies, Traders and Underwriters, under the Securities Industry Act 1995 (“SIA 1995”) when the SA 2012 came into force and to whom section 53 of the SA 2012 applies, be provided with an extension in the transitional period outlined at section 53 of the SA 2012 and that:
  - a. this transitional period will be extended from December 31, 2014 to the earlier of either:
    - i. **30 days** from the date of the Commission’s publication of notification that the Proposed By-Laws have come into force; or
    - ii. **June 30, 2015**; and
  - b. during this extended transitional period, these registrants can continue to perform the functions that they were authorised to perform under the SIA 1995 and during which they can regularise their status as registrants under the SA 2012 by satisfying the relevant registration requirements of the Proposed By-Laws.

For further information, please email: [sa2012@ttsec.org.tt](mailto:sa2012@ttsec.org.tt)

**By Order of the Commission.**