



The logo for the Securities & Exchange Commission (SEC) of Trinidad and Tobago, celebrating its 25th anniversary. It features a circular emblem with the text "SECURITIES & EXCHANGE TRINIDAD AND TOBAGO COMMISSION" and "SEC" in the center. To the right, "25th Year Anniversary" is written in a stylized font.

'I am TTSEC – Celebrating 25 Years'
EST. 1997

2022 CALENDAR





Celebrating the year of our 25th Anniversary

I am excited to present to you our annual calendar for 2022, the year of our 25th Anniversary. On May 2, 2022 the Trinidad and Tobago Securities and Exchange Commission (“TTSEC”) will celebrate its 25th year in existence, as the regulator of the securities industry in Trinidad and Tobago. This memento calendar highlights some of our achievements as we sought to develop the industry, protect investors and collaborate with regulators to reduce systemic risk.

There is much work yet to be done and we are steadfast in our commitment to continue to develop a fair, transparent and efficient securities market for the benefit of all. We invite you to journey with us, and share in the memories that we have created in bringing to you a securities market, in which you can invest with confidence.

You Invest. We protect. Everyone Benefits.

Lystra Lucillio
Chief Executive Officer Ag.



MEMBERS OF THE
TRINIDAD AND TOBAGO
SECURITIES AND EXCHANGE
COMMISSION



Amoy Chang Fong
Commissioner



Carlton Robinson
Chairman



Monica Clement
Commissioner



Ian Bertrand
Commissioner



Fyard Hosein
Commissioner

WHEN OUR JOURNEY BEGAN!
TTSEC's first Board of Commissioners.

On April 25th, 1997, the Securities Industry Act (SIA) 1995 was proclaimed ushering a new regulatory regime in the securities market in Trinidad and Tobago. Three days later, the Chairman and three (3) members of the Trinidad and Tobago Securities and Exchange Commission ("TTSEC") were presented with instruments of appointments by the acting Minister of Finance. The Board comprised: Chairman Carlton Robinson, Commissioner Amoy Chang Fong, Commissioner Ian Bertrand, Commissioner Monica Clement and Commissioner Fyard Hosein.



JANUARY

2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

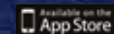
New Year's Day - SAT 1

YOU INVEST. WE PROTECT. EVERYONE BENEFITS!

Find us on



DOWNLOAD OUR
MOBILE APP TTSEC



www.ttsec.org.tt | www.investucatett.com



Staff of the Commission



A GROWING TTSEC TEAM TTSEC Offices (1997-2000)

The first meeting of the Board of Commissioners was convened on May 2nd, 1997; and the offices of the TTSEC located, at 23A Chacon Street, Port of Spain, were opened to the public, with just two (2) employees. At that meeting, the Board of Commissioners approved an organisational structure for the TTSEC. In July 2000, the TTSEC's office was relocated to the 12th Floor Central Bank Towers, Eric Williams Financial Complex, Port of Spain, due to the expanding Staff and the need for more accommodation.



FEBRUARY

2 0 2 2

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28					

YOU INVEST. WE PROTECT. EVERYONE BENEFITS!

Find us on



DOWNLOAD OUR
MOBILE APP TTSEC



www.ttsec.org.tt | www.investucatett.com

HIGHLIGHTS OF THE ANNUAL MEETING OF THE COUNCIL OF SECURITIES REGULATORS OF THE AMERICAS (COSRA)

Held in Port of Spain, Trinidad in February 2002



Opening Ceremony: L to R:
Senator the Honourable Conrad Enil, Minister in the Ministry of Finance; Mr. Carlton Robinson, Chairman of the Trinidad and Tobago Securities and Exchange Commission; Ms. Monica Clement, General Manager of the Trinidad and Tobago Securities and Exchange Commission; and Ms. Carmen Crépin, Chairman of COSRA.



A cross section of the Delegates and Guests in attendance at the Opening Ceremony.



Chairman Mr. Robinson addressed the meeting.



Delegates tried their hands at playing the national instrument of Trinidad and Tobago, the Steelpan.



An evening of entertainment held at the Pier 1 Chaguaramas in honour of delegates.

TTSEC HOSTS FIRST REGIONAL CONFERENCE COSRA Annual Meeting in 2002.

In February 2002, the TTSEC hosted the Annual Meeting of the Council of the Securities Regulators of the Americas. This meeting provided a forum for discussing many of the critical issues being addressed by regulators in Latin America and the Caribbean including, inter alia, corporate governance, clearance and settlements, regulatory transparency, and mutual cooperation and the exchange of information among regulators.



MARCH

2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

International Women's Day - TUE 8
Global Money Week - 21 - 27

Spiritual Baptist/Shouter
Liberation Day - WED 30

YOU INVEST. WE PROTECT. EVERYONE BENEFITS!

Find us on



DOWNLOAD OUR
MOBILE APP TTSEC



www.ttsec.org.tt | www.investucatett.com



Investor Education Course!

Want to learn more about budgeting, saving & investing?

[Take our free online course & quiz](#)

TTSEC LAUNCHES INVESTOR EDUCATION PROGRAMME National IE Programme in 2005.

The TTSEC launched its Investor Education (IE) Programme on April 13th, 2005. The launch of the IE Programme represented an important intervention, as for the first time in Trinidad and Tobago, there was a programme geared towards promoting saving, investing in the local capital market, money management and the rights of investors. Investor Education remains a core function of the TTSEC.



'I am TTSEC – Celebrating 25 Years'
EST. 1997

APRIL

2 0 2 2

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

Good Friday - FRI 15
Easter Sunday - SUN 17

Easter Monday - MON 18

YOU INVEST. WE PROTECT. EVERYONE BENEFITS!

Find us on



DOWNLOAD OUR
MOBILE APP TTSEC



www.ttsec.org.tt | www.investucatett.com



**TTSEC RELOCATES TO NEW BUILDING & LAUNCHES NEW WEBSITE!
Formal opening of new office and launch of corporate website, in 2006.**

Formal opening of the new TTSEC building on Dundonald Street, Port of Spain, and launch of new corporate website: "www.ttsec.org.tt" on April 6th, 2006.



'I am TTSEC – Celebrating 25 Years'
EST. 1997

MAY

2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

Investor Education Month
TTSEC 25th Anniversary - MON 2

Eid-ul-Fitr - TUE 3 (TBC)
Indian Arrival Day - MON 30

YOU INVEST. WE PROTECT. EVERYONE BENEFITS!

Find us on



DOWNLOAD OUR
MOBILE APP TTSEC



www.ttsec.org.tt | www.investucatett.com



The TRINIDAD AND TOBAGO
Securities & Exchange Commission
and The Ministry of Finance say



“Thank You Trinidad and Tobago!”



To all those who took time to attend our public consultations on the proposed Securities Act we extend a heartfelt ‘Thank You’.

ROVANEL'S, BON ACCORD, TOBAGO – May 9th, 2008

Dr Anselm London, Secretary of Finance and Enterprise Development kicked off the Tobago leg of the consultation with a spirited address. Participants kept interaction between the panel and the floor open and stimulating.

CROWNE PLAZA, PORT OF SPAIN, TRINIDAD – MAY 13th, 2008

The official proceedings began with a thoughtful examination of the changing marketplace and the factors driving the need for revisions to the Securities Industry Act by TTSEC Chairman and CEO Osborne Nurse. The TTSEC's General Counsel Susan Francois took the 125+ audience through the revisions, their implications and benefits. Deputy GM Charles de Silva joined his colleagues in responding to comments from the floor. The dialogue was engaging and yielded input that the TTSEC is committed to submitting.



Deadline for receipt of comments from the public is Friday 30th May, 2008.

All comments may be emailed to arlenes@ttsec.org.tt
or by mail to 57 - 59 Dunderdahl Street, Port of Spain, and will be posted online
and available for review on the TTSEC's website: www.ttsec.org.tt from
June 3rd, 2008.



NEW SECURITIES ACT AND LEGISLATION

Proclamation of the Securities Act (SA 2012), of the Laws of the Republic of Trinidad and Tobago.

In December 2012, the SIA 1995 was repealed and replaced by the Securities Act (SA 2012) “an Act to provide protection to investors from unfair, improper or fraudulent practices; foster fair and efficient securities markets and confidence in the securities industry in Trinidad and Tobago; to reduce systemic risk and for other related matters”. The Act was proclaimed by His Excellency, The President of the Republic of Trinidad and Tobago on December 28, 2012 and came into operation on December 31, 2012.



JUNE

2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

Corpus Christi - THU 16

Labour Day - SUN 19

YOU INVEST. WE PROTECT. EVERYONE BENEFITS!

Find us on



DOWNLOAD OUR
MOBILE APP TTSEC



www.ttsec.org.tt | www.investucatett.com



LAUNCH OF AML-CFT AND REPURCHASE GUIDELINES **AML-CFT and Repurchase Guidelines approved in 2012.**

On the April 23rd, 2012, the TTSEC launched its Guidelines on Anti-Money Laundering and Combating the Financing of Terrorism (AML-CFT). These Guidelines were issued by the TTSEC in accordance with Section 6(b) of the SIA 1995, given its mandate to “formulate principles for the guidance of the securities industry”. The Board of Commissioners also approved the issue of the Repurchase Agreements Guidelines in April 2012 under Section 6(b) of the SIA 1995.



JULY

2022

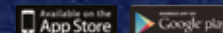
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

YOU INVEST. WE PROTECT. EVERYONE BENEFITS!

Find us on



DOWNLOAD OUR MOBILE APP TTSEC



www.ttsec.org.tt | www.investucatett.com



TTSEC HOSTS 8TH ANNUAL MEETING OF THE CGSR.

The TTSEC's first female Chairman, Deborah Thomas-Felix, delivered the opening remarks.

The TTSEC hosted regional Securities regulators from twelve (12) jurisdictions at the annual Caribbean Group of Securities Regulator meeting, on October 31, 2012.



TTSEC BECOMES FULL SIGNATORY TO IOSCO MMoU TTSEC Chairman formally signs the MMoU-IOSCO in 2013.

Trinidad and Tobago, through the TTSEC, became a full signatory to the International Organization of Securities Commission's (IOSCO) Multilateral Memorandum of Understanding (MMoU) concerning Consultation and Cooperation and the Exchange of Information. The MMoU was entered into on June 19th, 2013, at a meeting of the Board of the IOSCO in Montréal, Canada. Trinidad and Tobago therefore joined a select group of 95 MMoU signatories.



'I am TTSEC – Celebrating 25 Years'
EST. 1997

AUGUST

2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

Emancipation Day - MON 1
International Youth Day - FRI 12

Independence Day - WED 31

YOU INVEST. WE PROTECT. EVERYONE BENEFITS!

Find us on



DOWNLOAD OUR
MOBILE APP TTSEC



www.ttsec.org.tt | www.investucatett.com



**TTSEC BOARD APPROVES FINTECH POLICY.
Financial Regulators supports move towards financial technology in 2019.**

In July 2019 the Board of Commissioners approved a Fintech Policy for the regulation of Fintech products and services. This policy included the implementation of a Regulatory Innovation Hub and a Joint Regulatory Sandbox with the Central Bank of Trinidad and Tobago and the Financial Intelligence Unit of Trinidad and Tobago.



'I am TTSEC – Celebrating 25 Years'
EST. 1997

SEPTEMBER

2 0 2 2

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

International Literacy Day - THU 8

Republic Day - SAT 24

YOU INVEST. WE PROTECT. EVERYONE BENEFITS!

Find us on



DOWNLOAD OUR
MOBILE APP TTSEC



www.ttsec.org.tt | www.investucatett.com



TTSEC LAUNCHES EDUCATIONAL INVESTING GAME

Investor Quest TT launched in 2019.

On September 30th, 2019, the TTSEC in collaboration with the Securities Dealers Association of Trinidad and Tobago (SDATT) and the Trinidad and Tobago Stock Exchange Limited (TTSE) launched 'Investor Quest TT', an investing gaming platform which simulates an actual trading experience. The launch took place on Level 22, Tower D, International Waterfront Center, Port of Spain.



OCTOBER

2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

World Investor Week - 2-8

Divali - MON 24 (TBC)

YOU INVEST. WE PROTECT. EVERYONE BENEFITS!

Find us on



DOWNLOAD OUR
MOBILE APP TTSEC



www.ttsec.org.tt | www.investucatett.com



TTSEC LAUNCHES E-BUSINESS SOLUTION Electronically Advanced Submission interface (EASi) in 2020.

The TTSEC launched its e-business solution, the Electronically Advanced Submission interface or EASi platform on September 22nd, 2020. EASi was implemented to significantly improve the market's ease of doing business with the TTSEC. It allows for the electronic submission and renewal of applications and disclosure filings among other important applications.



'I am TTSEC – Celebrating 25 Years'
EST. 1997

NOVEMBER

2 0 2 2

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

International Men's Day - SAT 19

YOU INVEST. WE PROTECT. EVERYONE BENEFITS!

Find us on



DOWNLOAD OUR
MOBILE APP TTSEC



www.ttsec.org.tt | www.investucatett.com



TTSEC LAUNCHES INVESTOR PROTECTION MOBILE APPLICATION
One of only three (3) securities regulators, within IOSCO, to introduce an app to combat investment fraud and scams.

The TTSEC became only the third securities regulator in the world after India and France, to introduce a mobile application to facilitate the submission of investor complaints and tips on investments fraud and scams. The TTSEC Investor Protection Mobile Application (IPA) was launched on Wednesday 4th August, 2021, at a virtual event attended by securities regulators from among the Caribbean Group of Securities Regulators, local Financial Regulators, market participants and the media. The TTSEC IPA is now available for download on both the Apple and Google Playstores.



'I am TTSEC – Celebrating 25 Years'
EST. 1997

DECEMBER

2022

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

Christmas Day - SUN 25

Boxing Day - MON 26

YOU INVEST. WE PROTECT. EVERYONE BENEFITS!

Find us on



DOWNLOAD OUR
MOBILE APP TTSEC



www.ttsec.org.tt | www.investucatett.com

2023

January

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

February

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28				

March

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

April

S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

May

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

June

S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

July

S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

August

S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

September

S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

October

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

November

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

December

S	M	T	W	T	F	S
						1
						2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
						31



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

Levels 22-23, Tower D, International Waterfront Centre, 1 Wrightson Road,
Port of Spain 101605, Trinidad, W.I.

Phone: (868) 624-2991

Fax: (868) 624-2995

Corporate Website: www.ttsec.org.tt

Investor Education Website: www.investucatett.com

