## **FORM 10A - MATERIAL CHANGE REPORT**

Pursuant to section 64(1)(a) of the Securities Act, 2012

#### Request ID 5962

#### 1. REPORTING ISSUER INFORMATION

Name 1.1 Trinidad and Tobago Unit Trust Corporation

Street Address 1 1.2 UTC Financial Centre
Street Address 2 1.3 82 Independence Square

City 1.4 Port of Spain

Province/State 1.5

Postal/Zip 1.6

Country 1.7 Trinidad and Tobago



Dec 16, 2022, 3:56 pm

# 2. DATE OF MATERIAL CHANGE

Date 2.1 01-01-2023

# 3. TYPE OF MATERIAL CHANGE

Type of Material

Changes to the board of directors or any other senior officer as defined in Section 4 (1) of the Securities Act, 2012

#### 4. DESCRIPTION OF MATERIAL CHANGE

Description of Mr. Deyson Scott will be appointed to the position of Vice President Sales and Material 4.1 Marketing of the Trinidad and Tobago Unit Trust Corporation, with effect from January 1, 2023.

Attachment 4.2

#### 5. DETAILS OF PUBLICATION OF MATERIAL CHANGE

Will you be seeking an exemption from publishing a notice in accordance with section 64(2) of the Securities Act 2012?

Date of Publication of Notice

5.1.2 No
20-12-2022

### 6. DETAILS OF SENIOR OFFICER

Position in Organization 6.2 General Counsel and Corporate Secretary

Email Address 6.3 DSeepersad@ttutc.com

Business Phone 6.4 18686248648

#### 7. DATE, CERTIFICATION AND SIGNATURE

I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material

omission of information on this application may result in a breach of the Securities Act, 2012.

# **Certifying Officer**

Dawn Seepersad

**Print Name** 

General Counsel & Corporate Secretary

Position

2022-12-

Date

Signature

By affixing a signature to this filing, the respective senior officer certifies the content of this filing.

Download a completed copy of this form (Form 10A) for date, certification and signature by a Senior Officer of the company, sign same and attach the signed form to this filing.

# **Attachments**

Upload a signed copy of the Form 10A with the completed Date, Certification and Signature Section. \*

Additional Files