



# FORM 10A - MATERIAL CHANGE REPORT

Pursuant to section 64(1)(a) of the Securities Act, 2012

Request ID 6590

Mar 13, 2023, 3:51 pm

## 1. REPORTING ISSUER INFORMATION

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Name	1.1	Trinidad and Tobago Unit Trust Corporation
Street Address 1	1.2	UTC Financial Centre, 82 Independence Square, Port of Spain
Street Address 2	1.3	
City	1.4	Port of Spain
Province/State	1.5	
Postal/Zip	1.6	
Country	1.7	Trinidad and Tobago

## 2. DATE OF MATERIAL CHANGE

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Date	2.1	25-06-2023
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## 3. TYPE OF MATERIAL CHANGE

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Type of Material Change	3.1	Changes to the board of directors or any other senior officer as defined in Section 4 (1) of the Securities Act, 2012
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## 4. DESCRIPTION OF MATERIAL CHANGE

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Description of Material Change	4.1	The Board of Directors of the Bankers Association of Trinidad and Tobago (BATT) has advised that it has agreed to reappoint Ms. Sharmaine Caballero as a Director of Board of the Trinidad and Tobago Unit Trust Corporation for a further term of four years with effect from June 25, 2023 to June 24, 2027, in accordance with sections 7(1) (f), 7(5) and (6) of the Unit Trust Corporation of Trinidad and Tobago Act, Chapter 83:03.
Attachment	4.2	

## 5. DETAILS OF PUBLICATION OF MATERIAL CHANGE

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Will you be seeking an exemption from publishing a notice in accordance with section 64(2) of the Securities Act 2012?	5.1	No
Date of Publication of Notice	5.1.2	15-03-2023

## 6. DETAILS OF SENIOR OFFICER

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Name of Senior Officer	6.1	Seepersad, Dawn - 19630509079
Position in Organization	6.2	
Email Address	6.3	DSeepersad@ttutc.com
Business Phone	6.4	18686248648

## 7. DATE, CERTIFICATION AND SIGNATURE

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I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material

omission of information on this application may result in a breach of the Securities Act, 2012.

### **Certifying Officer**

DAWN SEEPERSAD

Print Name

General Counsel & Corporate Secretary

Position

2023/03-13

Date

  
Signature

By affixing a signature to this filing, the respective senior officer certifies the content of this filing.

**Download a completed copy of this form (Form 10A) for date, certification and signature by a Senior Officer of the company, sign same and attach the signed form to this filing.**

## Attachments

Upload a signed copy of the Form 10A with the completed Date, Certification and Signature Section. \*

Additional Files