

FORM 10A - MATERIAL CHANGE REPORT

Pursuant to section 64(1)(a) of the Securities Act, 2012



Request ID 6623

Mar 20, 2023, 7:49 am

1. REPORTING ISSUER INFORMATION

Name	1.1	Trinidad and Tobago Unit Trust Corporation
Street Address 1	1.2	UTC Financial Centre, 82 Independence Square, Port of Spain
Street Address 2	1.3	
City	1.4	Port of Spain
Province/State	1.5	
Postal/Zip	1.6	
Country	1.7	Trinidad and Tobago

2. DATE OF MATERIAL CHANGE

Date	2.1	16-03-2023
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3. TYPE OF MATERIAL CHANGE

Type of Material Change	3.1	Changes to the board of directors or any other senior officer as defined in Section 4 (1) of the Securities Act, 2012
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4. DESCRIPTION OF MATERIAL CHANGE

Description of Material Change		We wish to advise that Ms. Dawn Seepersad, General Counsel and Corporate Secretary of the Trinidad and Tobago Unit Trust Corporation ("TTUTC") retires effective May 9, 2023.
	4.1	Ms. Ayanna McGowan has been appointed to act as General Counsel and Corporate Secretary commencing March 22, 2023. Ms. McGowan will be responsible for the oversight and management of the Legal, Regulatory and Corporate Secretariat divisions of the Office of General Counsel and Corporate Secretariat of the TTUTC.
Attachment	4.2	

5. DETAILS OF PUBLICATION OF MATERIAL CHANGE

Will you be seeking an exemption from publishing a notice in accordance with section 64(2) of the Securities Act 2012?	5.1	No
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6. DETAILS OF SENIOR OFFICER

Name of Senior Officer	6.1	Other
First Name		Nigel
Last Name		Edwards
Position in Organization	6.2	Executive Director
Email Address	6.3	NEdwards@ttutc.com
Business Phone	6.4	18686248648

7. DATE, CERTIFICATION AND SIGNATURE

I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material

omission of information on this application may result in a breach of the Securities Act, 2012.

Certifying Officer

Nigel Edwards

Print Name

Executive Director

Position

2023-03-17

Date

Signature

By affixing a signature to this filing, the respective senior officer certifies the content of this filing.

Download a completed copy of this form (Form 10A) for date, certification and signature by a Senior Officer of the company, sign same and attach the signed form to this filing.

Attachments

Upload a signed copy of the Form 10A with the completed Date, Certification and Signature Section. *

Additional Files