

# FORM 10A - MATERIAL CHANGE REPORT

Pursuant to section 64(1)(a) of the Securities Act, 2012



Request ID 5509

Sep 26, 2022, 8:35 am

## 1. REPORTING ISSUER INFORMATION

Name	1.1	Trinidad and Tobago Unit Trust Corporation
Street Address 1	1.2	82 Independence
Street Address 2	1.3	Square
City	1.4	Port of Spain
Province/State	1.5	
Postal/Zip	1.6	
Country	1.7	Trinidad and Tobago

## 2. DATE OF MATERIAL CHANGE

Date	2.1	23-09-2022
------	-----	------------

## 3. TYPE OF MATERIAL CHANGE

Type of Material Change	3.1	Changes to the board of directors or any other senior officer as defined in Section 4 (1) of the Securities Act, 2012
-------------------------	-----	---

## 4. DESCRIPTION OF MATERIAL CHANGE

Description of Material Change	4.1	The Board of Directors of the Trinidad and Tobago Unit Trust Corporation, at its Board meeting held on September 22, 2022, appointed: 1. Mr. Stephen Allum Poon 2. Mr. Robert Green; and 3. Mr. Brevard Nelson as Independent Directors to the Board, effective September 23, 2022 for a period of one (1) year, in accordance with Sections 7(2) and (5A) of the Unit Trust Corporation of Trinidad and Tobago Act, Chapter 83:03
Attachment	4.2	

## 5. DETAILS OF PUBLICATION OF MATERIAL CHANGE

Will you be seeking an exemption from publishing a notice in accordance with section 64(2) of the Securities Act 2012?	5.1	No
Date of Publication of Notice	5.1.2	26-09-2022

## 6. DETAILS OF SENIOR OFFICER

---

Name of Senior Officer	6.1	Other
First Name		Dawn
Last Name		Seepersad
Position in Organization	6.2	General Counsel & Corporate Secretary
Email Address	6.3	DSeepersad@ttutc.com
Business Phone	6.4	18686248648

## 7. DATE, CERTIFICATION AND SIGNATURE

---

I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material

omission of information on this application may result in a breach of the Securities Act, 2012.

### **Certifying Officer**

Dawn Seepersad

Print Name

General Counsel & Corporate Secretary

Position

2022-09-22

Date



Signature

By affixing a signature to this filing, the respective senior officer certifies the content of this filing.

**Download a completed copy of this form (Form 10A) for date, certification and signature by a Senior Officer of the company, sign same and attach the signed form to this filing.**

## Attachments

Upload a signed copy of the Form 10A with the completed Date, Certification and Signature Section. *
--

Additional Files
------------------